

ACTIVE SUPPRESSION OF MACHINE TOOL CHATTER

by

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PREFACE

The work described in this thesis was undertaken in the Department of Mechanical Engineering at the University of Newcastle-upon-Tyne during the period October 1973 to September 1976.

All figures and plates are included at the end of the chapter in which they are first referred to, and are prefixed by the number of the chapter accordingly.

Appendices are presented at the end of the thesis , and contain flowcharts and listings of the computer programs used during this research.

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SUMMARY

The aim of the work described in this thesis is to design, build, and test an active, chatter suppression system for use on a lathe.

Many methods have been developed to minimise the effects of regenerative chatter in machine tools. These include machine redesign and stiffening, the inclusion of additional damping, and the use of passive and active control systems. The method described here is a development of two of these active methods, those of Comstock and Nachtigal, which control the relative position of the cutting tool. An on-line digital computer is used to monitor the cutting force, predict the relative tool-workpiece displacement, and drive the tool to suppress chatter build-up.

The work is described in five main sections.

After the introductory section, in which the problem is outlined and past work discussed, a theoretical analysis of chattering and its suppression is presented. Digital simulation is used to confirm and expand the theoretical results. The basic on-line identification method used to investigate the machine-workpiece structure is also presented.

The third section describes the design and implementation of the experimental rig, especially the computer system and the tool positioner. Its use as a driver for a cheap, bolt-on CNC turning system is also discussed.

The fourth section details the experimental work, including calibration, cutting tests, suppressor validation and testing.

Finally, the theory, simulation and experiments are discussed and related to past work. Suggestions are made for further research and development, including other applications of the system. Conclusions are drawn about the various techniques used during the work, with comments on the effectiveness of the suppression method.

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NOMENCLATURE

x_w	- workpiece centreline displacement
x_t	- tool displacement
x_t'	- quantized tool displacement
x_p	- predicted workpiece centreline displacement
x_p'	- sampled, predicted workpiece centreline displacement
x_c'	- tool positioner control signal
F_c	- cutting force
U	- instantaneous depth of cut
U_0	- nominal depth of cut
K_c	- cutting stiffness
M_m, C_m, K_m	- effective mass, damping, stiffness for machine
M_w, C_w, K_w	- effective mass, damping, stiffness for workpiece
μ	- cut overlap coefficient
t	- time
T	- delay for one cycle of the workpiece
s	- Laplace operator
$H(s)$	- transfer function for machine-workpiece dynamics
$G(s)$	- transfer function for feedback loop
w	- frequency

j - $\sqrt{-1}$

A_1, A_2, B_1, B_2 - discrete 2 nd. order model parameters

z - discrete plane (z) operator

D, H - quantizer parameters

S_s - tool positioner step size

M_t, C_t, K_t - effective mass, damping, stiffness for tool positioner

N_1 - idealised controller non-linearity

ζ, ω_n, K - damping factor, natural frequency, gain

ϕ - pseudo correlation functions

E - mean square error

x, y - chuck centreline horizontal and vertical axes

u, v - chuck centreline axes at 45 to vertical

e - exponential constant (2.718)

c_{k-n}, m_{k-n} - actual discrete model inputs and outputs

c_{k-n}^* - predicted discrete model outputs

1. CHATTER IN MACHINE TOOLS.

1. 1. Introduction.

The occurrence of chatter in metal cutting machines is recognised as one of the most important factors limiting production rate, surface finish quality, and tool life. Over recent years much work has been done to understand the mechanism which causes chatter, to predict the occurrence of chatter, and to devise ways by which it may be prevented (34, 33, 32, 1, 2, 3, 27, 31).

Chatter is defined as self-excited vibrations between the tool and the workpiece, and can be recognised by a rapid build-up in the vibration amplitude (32). The amplitude will increase until limited by such non-linearities as the tool leaving the workpiece, tool-tip fracture, or other dramatic changes in cutting conditions. The chatter frequency is associated with the natural frequency of one of the major resonance modes of the machine tool (32, 34).

It has been found through discussion with machine operators that chatter is a particular problem in many turning operations, and especially when machining long, compliant components such as gun barrels and turbine rotors. Milling operations are also prone to chatter instabilities. Many traditional solutions are discussed later in this chapter, as well as more modern passive and active methods. During this investigation the experimental work was performed on a lathe, and the theory is restricted to turning operations. However, it is possible to extend this work to other machining operations.

This chapter outlines the physical causes of chatter, discusses various chatter suppression philosophies and presents a brief review of some of the previous work in this field.

1. 2. Causes of chatter.

The machine tool in operation can be represented by a closed

loop system where instability arises because of the interaction of the dynamic behaviour of the machine structure and the cutting operation (FIG1. 1). Chatter occurs primarily because of the non-rigidity of the machine structure and the time delay associated with the rotation of the workpiece. Surface irregularities caused by relative tool-workpiece motion are overlapped by successive cuts and vibration is regenerated causing chatter. The cutting force generated by the cutting process at the tool-workpiece interface causes a relative displacement between the two. The cutting force varies due to the non-homogeneity of the workpiece (i.e. hard spots, weakness) which gives rise to varying relative tool-workpiece displacement and hence surface waviness. Under certain conditions the amplitude of the surface waviness increases on each successive cycle of the workpiece, resulting in chattering of the machine. A detailed breakdown of the mechanism which causes chatter is presented in FIG1. 2. The cutting operation is subdivided into the cutting process, which relates instantaneous depth of cut and cutting force and cut overlap effects, which form the feedback loop.

1. 3. Chatter suppression techniques.

An understanding of the mechanism which causes chatter immediately presents a facile solution to the problem. If the machine stiffness could be made infinite, relative tool-workpiece displacement would never occur and chatter would not build-up. In practice, infinite stiffness is not realisable, although modern machines are designed and built to be as rigid as possible. This tends to make machines big, heavy and above all, expensive. Still the problem is not solved, the limit of chatter stability may be increased, but has not been eliminated.

Another factor influencing chatter instability is the workpiece dynamics. Even if the machine itself were rigid, certain types of workpiece could still be the cause of considerable tool-workpiece deflection.

The next approach therefore is to accept that chatter will

occur under certain conditions and then to attempt to suppress it using vibration absorbtion techniques (3). Problems arise with this method due to the need for accurate tuning of the absorbers. Initially the machine has to be tested at the manufacturing stage and the dampers tuned. Periodically, throughout the life of the machine, the dampers have to be re-tuned.

Modern machines are designed so that damping is built into the structure. The major source of structural damping arises from joints, both sliding and fixed, and is due both to frictional effects and to oil film behaviour. Many modern rubbers and plastics are also incorporated in the structure to act as absorbers.

Much recent work has been done in the analysis and developement of both passive and active dampers for machine tools (35, 36, 37, 38, 39, 40). Many types have been designed and tested, with varying success, and work is continuing. Several are self-optimising over a small dynamic range and hence can allow for small machine variations. These however cannot cope with large dynamic variations caused by some workpiece configurations. Active dampers consist essentially of a damping arrangement with feedback which incorporates a means for measuring the vibrations at some point on the structure. Their effectiveness for chatter suppression is determined by the point of vibration measurement. This technique has been applied to both hydraulic and electromagnetic exciters with considerable improvement in response (36, 37).

Other active control methods have also been tried, working on the principle of increasing the "apparent stiffness" of a machine. Analysis of the chatter mechanism has shown that it is the 'relative' tool-workpiece deflection that is the important factor influencing instability. If the tool position is controlled relative to the workpiece deflection, so that the relative displacement is maintained constant, the machine appears to have infinite stiffness. Hence, no matter how the cutting force varies, the relative tool-workpiece remains constant. So far there have been two major attempts using this approach (1, 2). Both of these attempts have shown considerable

promise and are examined in more detail in the following section.

1. 4. Review of previous work.

1. 4. 1. The method of Comstock.

Comstock (1) adopted the direct approach to the problem and attempted to measure the relative tool-workpiece deflection and use this as input to a servo-controller driving the tool position. This method is outlined in block diagram form in FIG1. 3. Any relative tool-workpiece deflection causes the tool position to be altered so that the deflection is kept as small as possible. Due to imperfections in the servo-controller this deflection will never be identically zero, but should be good enough to prevent chatter build-up. Comstock claims that, when operating under experimental conditions, the cutting rate could be increased by "an order of magnitude" before the onset of chatter.

The main problem envisaged with this approach is the need for accurate, reliable measurement of the tool-workpiece deflection. The magnitude of the displacement is small (typically around 1 to 5 thousandths of an inch) necessitating a sensitive transducer, and the environment in which it must operate is very hostile. The transducer must measure the displacement of the rotating workpiece as near to the tool-workpiece interface as possible. The measurement will be subject to interference by swarf, cutting fluid containing metallic particles, high local temperatures, surface irregularity effects and possible operator interference. For this reason, it is doubted whether consistent, reliable performance could be obtained in an industrial environment.

Much work has also been done by Comstock into the development of a high-speed, small-displacement hydraulic servopositioner. Information regarding this device is difficult to obtain as it is subject to classification by the U.S. Air Force. This servo was used by Comstock in the implementation of this chatter suppression method and it is claimed to have had a natural frequency of approximately 1,000 rad/sec at displacements of 5-10 thousandths of an inch. The bandwidth of the servo is critical in this application

as it must be greater than that of the machine-workpiece system, or else undesirable phase effects occur. This phenomenon is discussed in greater detail later in chapter 3. These points demonstrate that the controller and servo must meet a high performance specification and hence will tend to be expensive pieces of equipment. From an economic point of view, this gives scope for consideration of other alternatives.

1. 4. 2. The method of Nachtigal.

Nachtigal's work (2) differs from that of Comstock in that the feedback signal is derived from a measure of the cutting force, which is used to control the machine-tool system. The basic system consists of an analogue model, operating on a real time cutting force signal, driving a tool servo positioner. A block diagram for the system is presented in FIG1. 4. The work includes an analysis of the machine-tool equipped with the active chatter controller and experimental results which verify this analysis. It was claimed that the following design objectives could be fulfilled using this active controller:

- Significant increase in machining rate (500% to 1,500%) without reducing the relative stability of the controlled machine tool system.
- Improvement in the transient response to disturbances at the tool-workpiece interface.
- Increase in apparant static stiffness of the system, and thereby improvement of the system's tolerance capability.
- Suppression of input forcing functions such as spindle imbalance, foundation vibration, etc.

In this method, measurement of the cutting force presents no problems. Using either strain gauges or load cells a clean, reliable signal can be obtained. The effect of the analogue model is to predict from the force input the uncontrolled, relative tool-workpiece displacement. A servo positioner translates this into

the tool position. In the actual experiment, the model-driver system was simulated by an electrohydraulic activator driving a sprung tool holder. The spring, activator characteristics and tool holder mass were varied so that the tool position varied in the same manner as the relating tool-workpiece displacement. This was a purely experimental system which was to be replaced by an active controller and tool servo positioner. Nachtigal found during the experiments that the system was effective for controllers within a band $\pm 10\%$ of the natural frequency of the machine-workpiece, with the system gain inversely proportional to the machine-workpiece stiffness.

It is obvious that the model characteristics will have to change if the machine-workpiece configuration changes, so that the model and machine-workpiece remain matched. Variation in the machine dynamic characteristics may occur due to wear, lubrication changes, temperature, environmental conditions, etc. The overall dynamic characteristics will also be influenced by the workpiece dimensions and material.

Work is continuing to develop the system and also to adapt it for use on several types of machine tool.

1. 4. 3. Comments on the methods of Comstock and Nachtigal.

As has been seen in the previous two sections, the concept of increasing the apparent stiffness of a machine by controlling the tool position has been found to be a feasible method for suppressing the onset of chatter. The disadvantage of Comstock's method is the inherent difficulty of measuring the relative tool-workpiece displacement. However, work is continuing to improve the practical feasibility of this approach and to test it on various types of machines.

Nachtigal successfully developed a working experimental system, but more work is needed to develop this for industrial applications. Primarily, study is required to determine the most

suitable type of model and to consider the use of a variable model which could be tuned to different machine-workpiece configurations. The possibility of developing self-tuning(adaptive) models also needs to be considered.

1. 5. Project objectives and developments.

From the analysis of previous work, it is claimed that the basic chatter suppression philosophy of controlling the relative tool-workpiece displacement is sound and that further developments of Nachtigal's work would be worthwhile. The project objective is to implement a variable model which could eventually be made self-optimising.

Initially, a study of the machine-workpiece dynamics is made as an aid to the selection of a suitable model. It is assumed that a second-order model, with suitable parameters, will be a good-enough approximation of the machine-workpiece dynamics. This has been found to be the case in much past work. (2, 24, 25, 26, 27, 28, 29, 31). Parameter estimation techniques are used as a guide to the 'best' values for the model parameters. In this case, 'best' will be those which give the least mean square error between the model output and the actual machine-workpiece behaviour. Experimental means are also used as an aid to parameter estimation.

A minicomputer with analogue-digital conversion equipment is used to monitor the machine behaviour and perform the parameter estimation. A least-squares algorithm (18) is used to perform this task.

The chatter suppression method is outlined in block diagram form in FIG1. 5. The modelling and controlling function are performed using a minicomputer, with a stepper motor acting as the driver. A minicomputer was chosen for the following reasons:

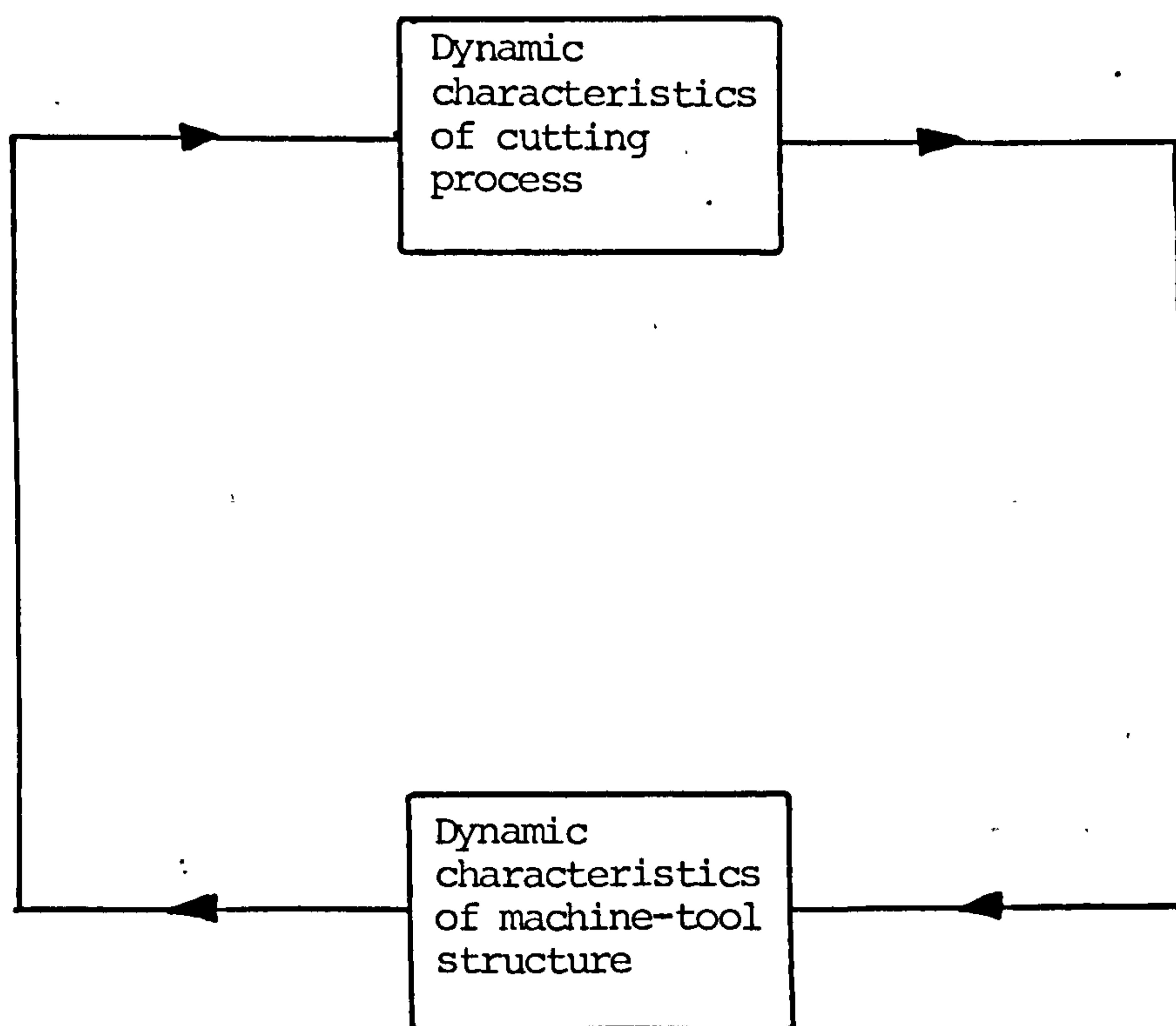
- The ease with which the model and controller characteristics could be varied by program modification.

- The possibility of using sophisticated model functions.
- To enable the possibility of developing self-optimising models.
- The existence of modern CNC machines which already contain facilities which could be used at no extra cost to implement the method.
- The possibility, in a workshop environment, of using one computer to control more than one machine, hence spreading the cost.
- The competitive price of computer equipment compared to complex analogue equipment.
- The development of microprocessors which will allow this method to be implemented very cheaply, with little extra work.

A stepping motor is chosen as the driver because of the ease with which it can be interfaced to the computer. Also, as long as a count is kept of the number of steps moved by the motor, the exact position is known without feedback.

The effect of this system is for the tool to track the relative tool-workpiece displacement in a quantised manner (see FIG1. 6.). Analysis and simulation of the machine system is performed, both with and without the chatter suppressor, to assess its effectiveness and stability. Experiments are performed to validate the analysis and simulation, and to confirm the practical feasibility of the method. All experimental work is done on a medium sized turning lathe, although the basic principles could be developed for other types of machine.

It is found during the project, that by re-programming the chatter suppressor it can be used as a simple, 'bolt-on' CNC device for lathes. Many axisymmetric shapes can be produced by varying the tool position in a pre-determined manner relative to the feedrate. The way in which the tool is moved is calculated by the computer based on the desired shape and the feedrate. This by-product is discussed in greater detail in Chapter 6.



Representation of the machine tool as a closed loop system

FIG1. 1.

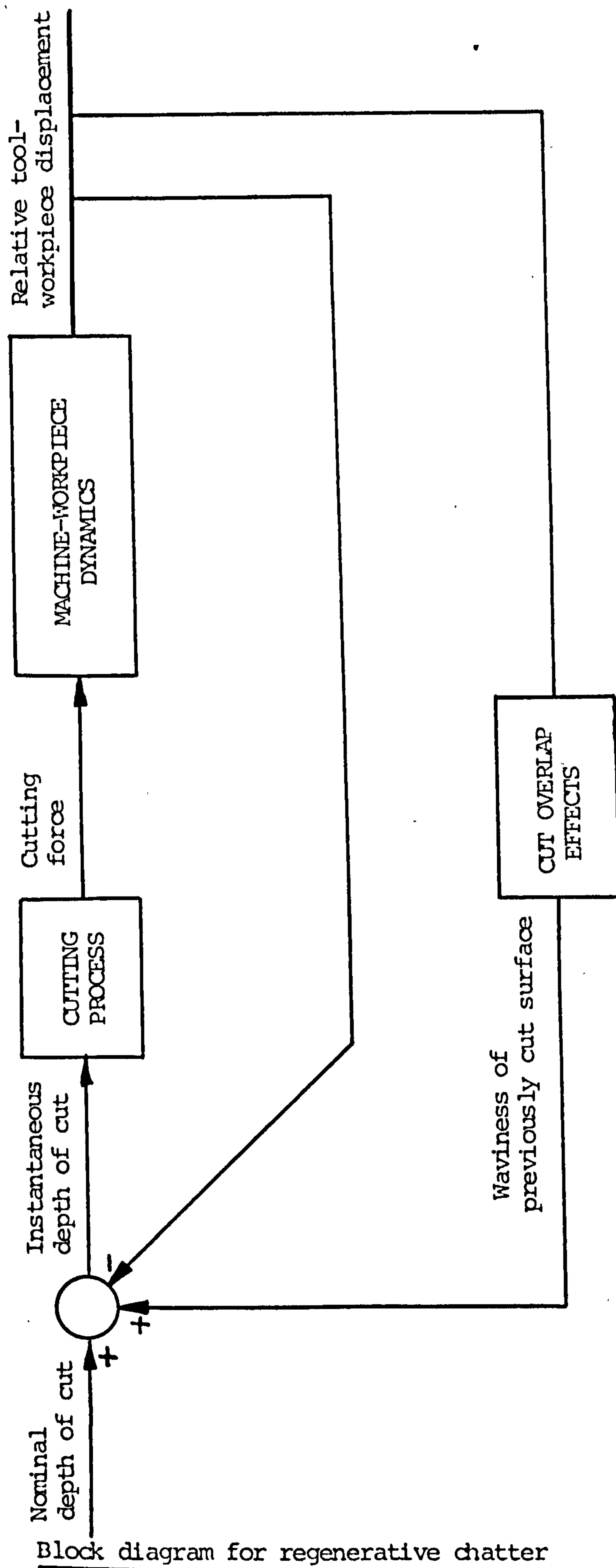
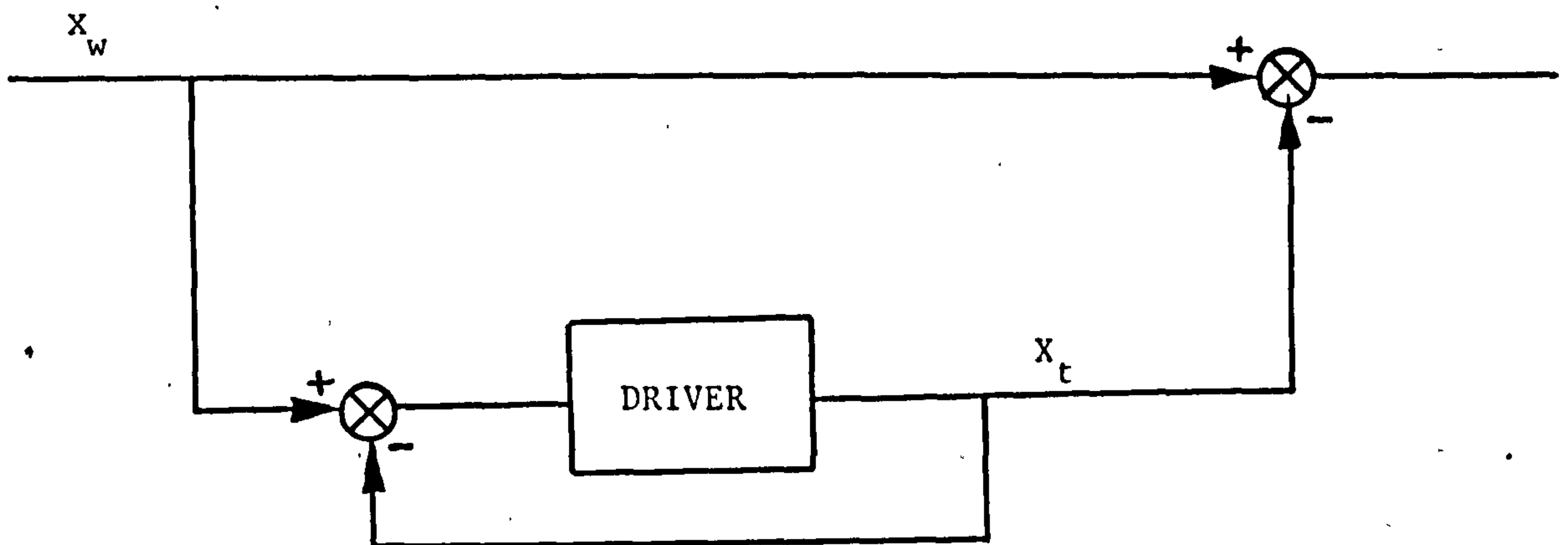
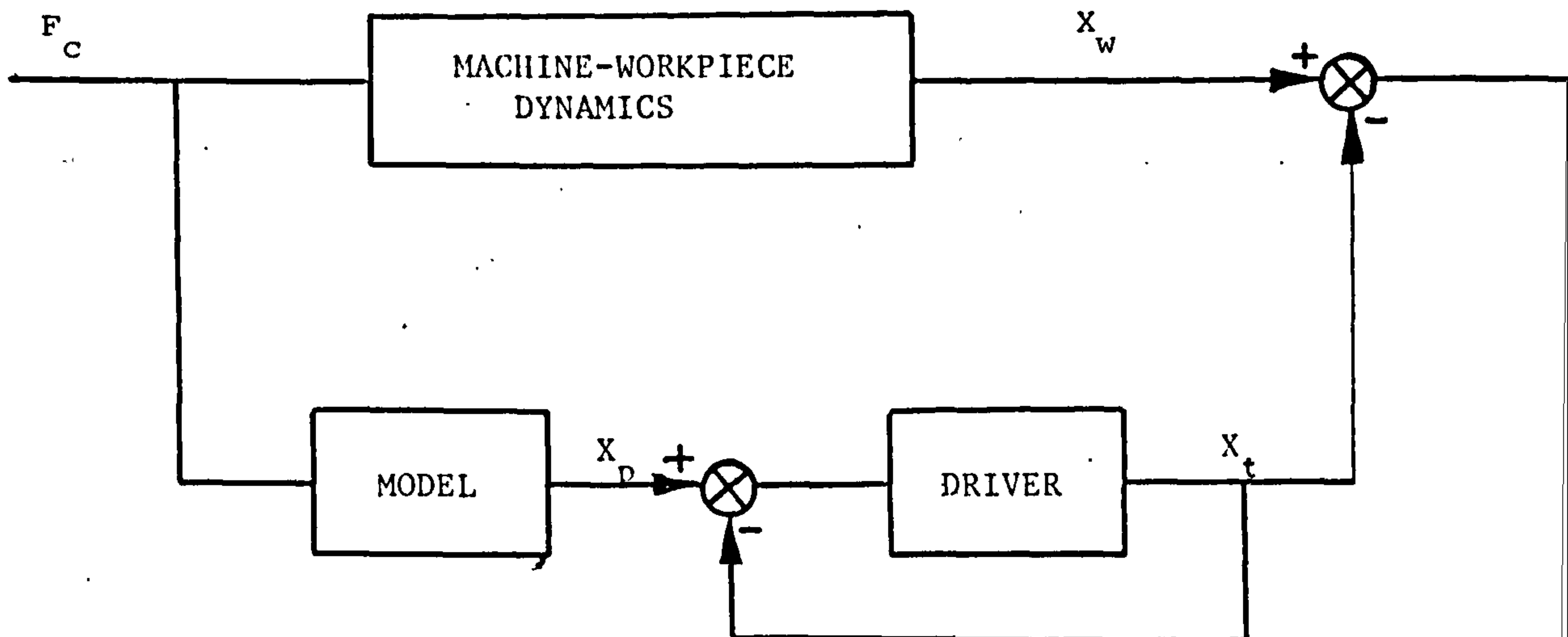


FIG1. 2.



Comstock's method.

FIG1. 3.



Nachtigal's method.

FIG1. 4.

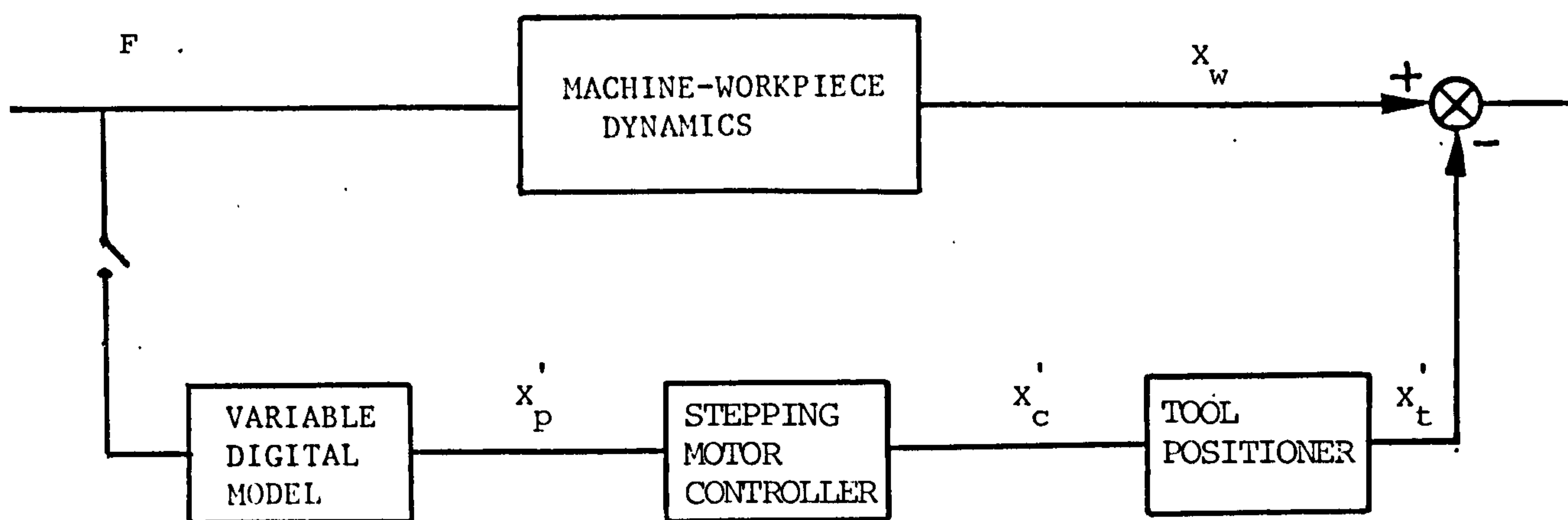
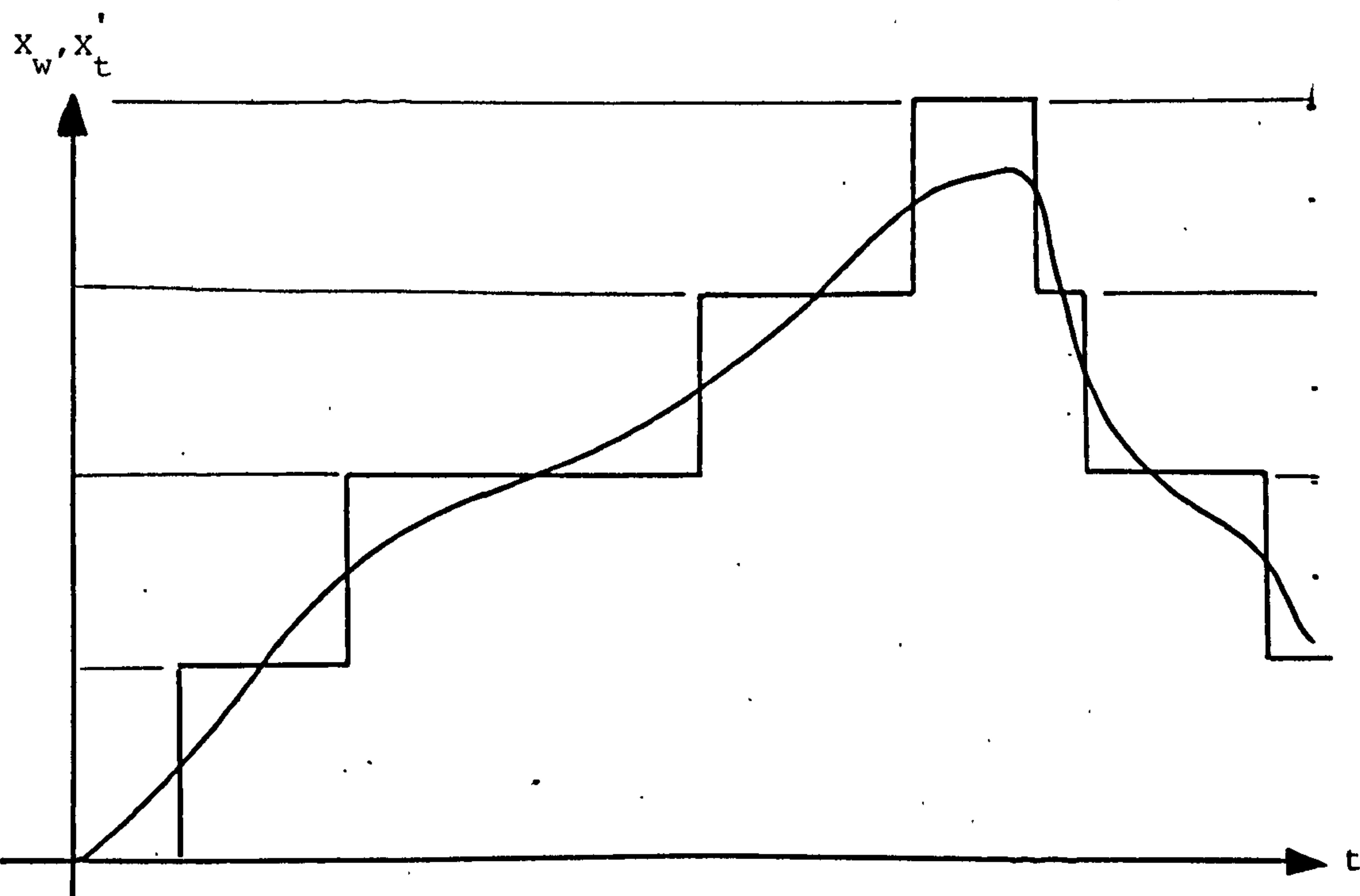


FIG1. 5.



Proposed control method.

FIG1. 6.

2. THEORY OF REGENERATIVE CHATTER.

2. 1. Introduction.

Many dynamic systems can be represented schematically by an arrangement of masses, springs and dampers. Movement will only occur when a disturbance is applied, and any vibration set-up by transient changes in loading will gradually be damped out. Steady-state vibration will only be produced if the disturbance is periodic. Resonance occurs when the frequency of the disturbance is close to one of the natural frequencies of the system.

In machine tools, periodic forces can be introduced by unbalanced rotating components. Usually speed ranges are selected so that the frequencies of these forces do not occur near any known resonance points. Vibrations can also be caused by nonlinearities such as clearances, uneven wear and friction, even when no exciting force is present.

Chatter is a vibration phenomenon associated with chip thickness variation and the rotation of the workpiece. As described in the previous chapter, the unstable loop which causes chatter can be represented by the block diagram shown in FIG1.2.

2. 1. 1. The cutting process.

The dynamics of the cutting process are very complex, and much work has been done to gain an understanding of this process (27, 31, 33, 34). However, for the purpose of this analysis, it is sufficient to represent the process by means of an expression which relates cutting force to instantaneous depth of cut. For a given cutting operation many variables can be considered as constants, these are :

- Rotational speed.
- Feed rate.

$$M_m \ddot{X}_m + C_m \dot{X}_m + K_m X_m = C_w (\dot{X}_w - \dot{X}_m) + K_w (X_w - X_m) \quad \text{2.2}$$

$$M_w \ddot{X}_w + C_w (\dot{X}_w - \dot{X}_m) + K_w (X_w - X_m) = F_c \quad \text{2.3}$$

For the purpose of this analysis, the overall relationship between the cutting force (F_c) and the relative tool-workpiece displacement (X_w) is of interest. This can be obtained by converting equations 2. 2 and 2. 3 via Laplace Transform, and then combining to eliminate X_m . This gives:-

$$\frac{X_w}{f_c} = \frac{[M_m s^2 + (C_m + C_w)s + (K_m + K_w)]}{[M_w s^2 + C_w s + K_w][M_m s^2 + (C_m + C_w)s + (K_m + K_w)] - [C_w s + K_w]} \quad \text{2.4}$$

This ratio is the transfer function describing the overall dynamics of the machine-workpiece structure, and can be expressed as :

$$G(s) = \frac{X_w}{f_c} = \frac{A_2 s^2 + A_1 s + A_0}{B_4 s^4 + B_3 s^3 + B_2 s^2 + B_1 s + B_0} \quad \text{2.5}$$

where:-

$$A_2 = M_m \quad \text{2.5a}$$

$$A_1 = C_m + C_w \quad \text{2.5b}$$

$$A_0 = K_m + K_w \quad \text{2.5c}$$

$$B_4 = M_m \cdot M_w \text{ ————— } 2.5d$$

$$B_3 = M_w \cdot (C_m + C_w) + M_m \cdot C_w \text{ ————— } 2.5e$$

$$B_2 = M_w \cdot (K_m + K_w) + C_w \cdot (C_m + C_w) \\ + M_m \cdot K_w - C_w^2 \text{ — } 2.5f$$

$$B_1 = C_m \cdot (K_m + K_w) + K_w \cdot (C_m + C_w) \\ - 2 \cdot C_w \cdot K_w \text{ — } 2.5g$$

$$B_0 = K_w \cdot (K_m + K_w) - K_w^2 \text{ ————— } 2.5h$$

2. 1. 2. 1. Special cases.

There are two special cases which are of interest. The first is where the machine has a much higher stiffness than the workpiece, i.e. $K_m \gg K_w$, so that the machine can be considered as effectively

rigid. Hence the dynamics of the system are totally dependant on the workpiece characteristics. This is represented schematically in FIG2. 2. The transfer function is a simple second-order type:-

$$G(s) = \frac{X_W}{f_c} = \frac{1}{M_W \cdot S^2 + C_W \cdot S + K_W} \quad 2.6$$

This relationship can also be obtained from equation 2. 4, by dividing top and bottom by K_m , and neglecting any terms with K_m in the denominator.

The second case is where the workpiece is much stiffer than the machine, i.e. $K_w \gg K_m$. Hence, the extra mass of the workpiece merely modifies the effective mass of the machine. This is represented schematically in FIG2. 3. The transfer function in this case is:-

$$G(s) = \frac{X_W}{f_c} = \frac{1}{(M_W + M_m) \cdot S^2 + C_m \cdot S + K_m} \quad 2.7$$

This transfer function can also be obtained from equation 2. 4, by dividing top, and bottom by K_w , and neglecting any terms with K_w in the denominator.

2. 1. 2. 2. The general case.

In general this type of system will exhibit two resonance modes. At the lower frequency both masses will be moving in-phase and at the higher frequency they will be out-of-phase. The resonance frequencies, and the amplitude characteristics at these points will depend on the relative characteristics of two subsystems.

2. 1. 3. The feedback effects.

The instantaneous chip thickness is determined by the cut, the relative tool-workpiece displacement, and the waviness of the

previously cut surface overlapped by the tool, This waviness having been formed by the relative tool-workpiece displacement on the preceeding cycle. Depending on the type of cutting operation and the tool geometry, only a fraction of the preformed surface will be overlapped. Hence, the instantaneous depth of cut can be expressed in terms of the following relationship:-

$$U(t) = U_0 - X_w(t) + \mu.X_w(t-T) \quad \text{2.8}$$

The coefficient μ allows for the fractional overlap of successive cuts. This expression can also be written in terms of Laplace Transforms:-

$$U(s) = U_0 - H(s).x_w(s) \quad \text{2.9}$$

Where:-

$$H(s) = 1 - \mu.e^{-sT} \quad \text{2.9a}$$

2.2. The chatter model.

The equations derived in sections 2. 1. 1, 2. 1. 2, and 2. 1. 3, can be combined to give an overall block diagram for the chatter model as shown in FIG2. 4. The frequency responses of both the machine-workpiece transfer function and the feedback transfer function are presented in the next sections, followed by an analysis of the open loop frequency response.

2. 2. 1. The frequency response of the machine-workpiece system.

The frequency response of the machine-workpiece system is obtained by making the substitution of $j\omega$ for S in equation 2.5 giving:-

$$G(j\omega) = \frac{C_1 + j.C_2}{D_1 + j.D_2} \quad 2.10$$

Where:-

$$C_1 = A_0 - A_2.\omega^2 \quad 2.10a$$

$$C_2 = A_1.\omega \quad 2.10b$$

$$D_1 = B_0 - B_2.\omega^2 + B_4.\omega^4 \quad 2.10c$$

$$D_2 = B_1.\omega - B_3.\omega^3 \quad 2.10d$$

This rationalises to give:-

$$G(j\omega) = P + j.Q \quad 2.11$$

Where:-

$$P = \frac{C_1.D_1 + C_2.D_2}{D_1^2 + D_2^2} \quad 2.11a$$

$$Q = \frac{C_2 \cdot D_1 - C_1 \cdot D_2}{D_1^2 - D_2^2} \quad 2.11b$$

These equations give rise to a polar plot with the general form shown in FIG2. 5. The equivalent form of the Bode diagram is shown in FIG2. 6a, and FIG2. 6b. The in-phase resonance occurs at the point when $W \approx W_1$ and the out-of-phase resonance at the point when $W \approx W_2$. Examples of several basic combinations are presented in the figures FIG2. 7 to FIG2. 9. In all the examples the machine characteristics (dimensionless) were taken to be:-

$$M_m = 0.03$$

$$K_m = 120,000$$

$$C_m = 0.1$$

In FIG2. 7, the workpiece was made significantly less stiff than the machine ($K_w = 12,000$), and the effective mass of the workpiece was varied from greater to less than the effective mass of the machine. In FIG2. 8, the stiffnesses of the machine and workpiece were set equal, and in FIG2. 9, the stiffness of the workpiece was significantly greater than that of the machine ($K_w = 1200,000$).

It can be seen that the gain at the in-phase resonance (lower frequency mode) is much greater than that at the out-of-phase resonance. For all but FIG2. 8a., the gains at the out-of-phase resonances were so small that they could not be shown on the same scale as the in-phase resonances. For each figure, it can be seen that as the effective mass of the workpiece is decreased the gains at the in-phase resonances decrease, and the frequency at

which the resonances occur decrease.

The purpose of this investigation is to show that it is reasonable, for many machine-workpiece configurations, to assume that the machine-workpiece system can be approximated by a simple second-order system. This approximation is helpful when performing the stability analysis for both the controlled and uncontrolled chatter system. It is also useful when selecting a suitable form for the controller model.

2. 2. 2. The frequency response of the feedback loop.

The frequency response of the feedback loop can be obtained from the following relationship:-

$$H(j\omega) = 1 - \mu e^{-j\omega T} \quad \text{2.12}$$

By writing:-

$$e^{-j\omega T} = \cos(\omega T) - j \sin(\omega T) \quad \text{2.12a}$$

We have:-

$$H(j\omega) = [1 - \mu \cos(\omega T)] + j[\mu \sin(\omega T)] \quad \text{2.13}$$

This expression can be represented by the polar plot shown FIG2. 10, or the Bode diagram shown in FIG2. 11a, and FIG2. 11b.

2. 2. 3. The open loop frequency response.

The open loop frequency response for the system shown in FIG2. 4, is given by the following expression:-

$$K_c \cdot G(j\omega) \cdot H(j\omega) \text{ _____ } 2.14$$

By writing:-

$$G(j\omega) = A(\omega) \cdot e^{j \cdot \alpha(\omega)} \text{ _____ } 2.15$$

$$H(j\omega) = B(\omega) \cdot e^{j \cdot \beta(\omega)} \text{ _____ } 2.16$$

This gives:-

$$K_c \cdot M(\omega) \cdot e^{j \cdot \phi(\omega)} \text{ _____ } 2.17$$

Where:-

$$M(\omega) = A(\omega) \cdot B(\omega) \text{ _____ } 2.17a$$

$$\phi(\omega) = \alpha(\omega) + \beta(\omega) \text{ _____ } 2.17b$$

This gives rise to the family of generalised open loop polar plots as shown in FIG2. 12. This form is generated by the interaction of the periodic effects of the feedback loop and the resonance effects of the machine-workpiece system. The main feature is the major resonance loop which is displaced by

the effects of the feedback effects. Some polar plots of actual systems are shown in FIG2. 13. The system parameters (dimensionless) associated with all the plots are as follows:-

$$M_m = 0.003$$

$$K_m = 120,000$$

$$C_m = 0.1$$

Machine parameters

$$\mu = 1.0$$

$$T = 0.2$$

Feedback parameters

$$K_c = 1.0$$

Cutting gain

and the numbers attached to each plot describe the workpiece parameters as in FIG2. 7, 2. 8, and 2. 9.

2. 2. 4. Frequency response analysis.

2. 2. 4. 1. Simplified stability criterion.

It was shown by Nachtigal (2) that the characteristic equation may be written as:-

$$1 + \frac{K_c}{K_m} [1 - \mu.e^{-sT}] . G(s) = 0 \quad \text{2.18}$$

Hence, at the boarderline of stability the expression gives:-

$$\frac{K_c}{K_m} G(j\omega) = \frac{-1}{1 - \mu.e^{-j\omega T}} \quad \text{2.19}$$

It can be shown that the right-hand side of equation 2. 19 has a real part no greater than -0. 5. Thus, a criterion for unconditional stability becomes:-

$$\text{Re} \left[\frac{K_c}{K_m} G(j\omega) \right] > -0.5 \quad \text{2.20}$$

This relationship will usually give a pessimistic limit for K_c as the stability limit is more accurately determined from the minimum real value on the real axis, which is usually greater than the minimum real value.

2. 2. 4. 2. The Nyquist stability criterion.

For the types of plot shown in FIG2. 12, the limit of stability can be determined using the Simplified Nyquist Stability Criterion. For a given cutting process (i.e. fixed $G(s)$ and $H(s)$) a value of K_c can be found that will give a plot that goes through the point $(-1, j0)$. This is the limiting value of K_c below which the system will be stable, and hence chatter will not occur. This will be a more realistic estimate than that derived by Nachtigal.

For the systems shown in FIG2. 13, the approximate limiting values of K_c are as follows:-

$$\textcircled{1} \quad K_c \leq 420$$

$$\textcircled{2} \quad K_c \leq 230$$

$$\textcircled{3} \quad K_c \leq 13$$

$$\textcircled{4} \quad K_c \leq 40$$

$$\textcircled{7} \quad K_c \leq 250$$

2. 2. 4. 3. Chatter frequency.

The behaviour of a system, when chattering, can be determined by considering the effect of closing the loop. Oscillations will only be propagated around the loop, allowing the build-up of chatter, when the open loop phase is -180° and the gain is greater than unity. Hence, the chatter frequency can be predicted by examination of the polar plot to see when these conditions are fulfilled. It can be seen from FIG2. 13, that the predicted chatter frequencies for the systems considered, are as follows:-

$$\textcircled{1} \quad w_c = 348$$

$$\textcircled{2} \quad w_c = 601$$

$$\textcircled{3} \quad w_c = 1028$$

$$\textcircled{4} \quad w_c = 782$$

$$\textcircled{7} \quad w_c = 972$$

2. 2. 4. 4. Review of frequency response analysis.

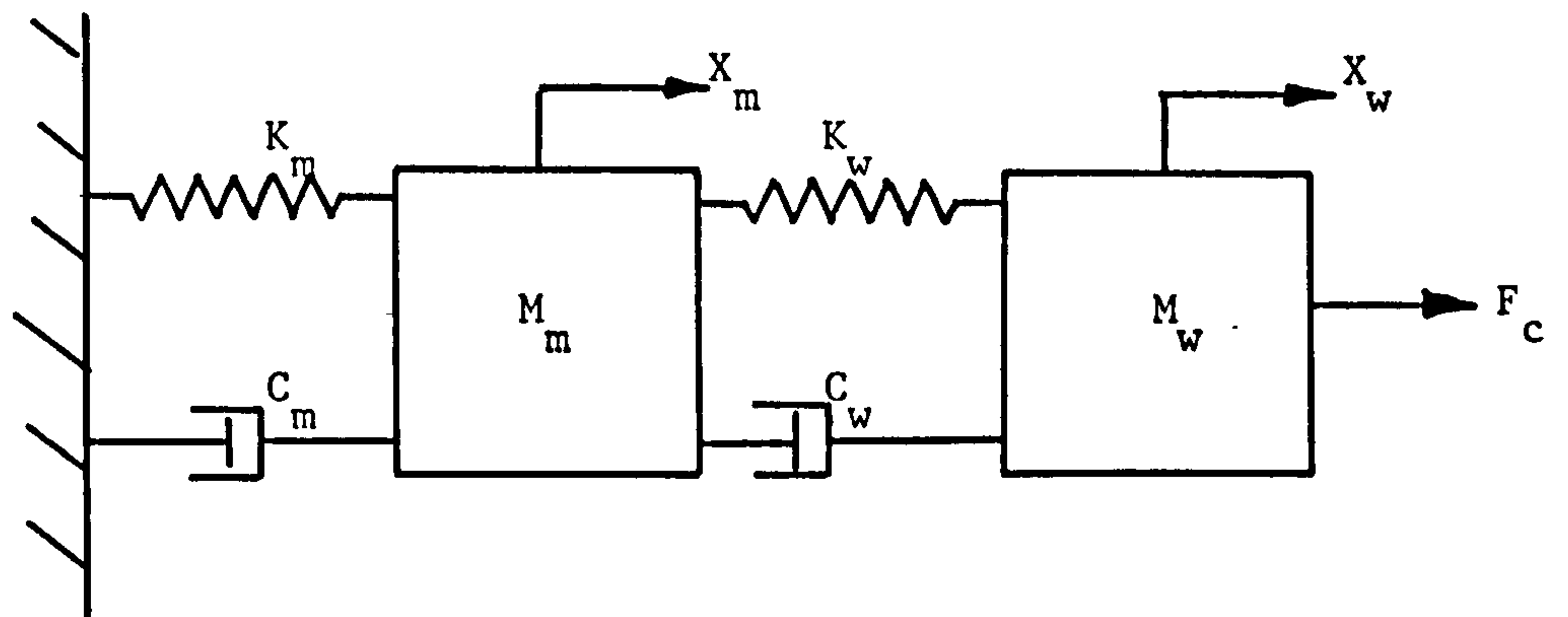
As has been shown, the frequency response analysis can be used to estimate the stability limits and chatter frequencies of machining systems. The stability limit is determined by the interaction of the machine-workpiece dynamics and the feedback effects. The chatter frequency usually occurs near the dominant natural frequency of the machine-workpiece structure. Although

in this analysis a model has been used for the machine-workpiece dynamics, it is possible to analyse actual systems by experimentally finding the frequency response and using this in place of the model. Much work has been done (24, 25, 26, 28, 29) into experimental determination of machine tool frequency responses.

2. 3. Chatter simulation.

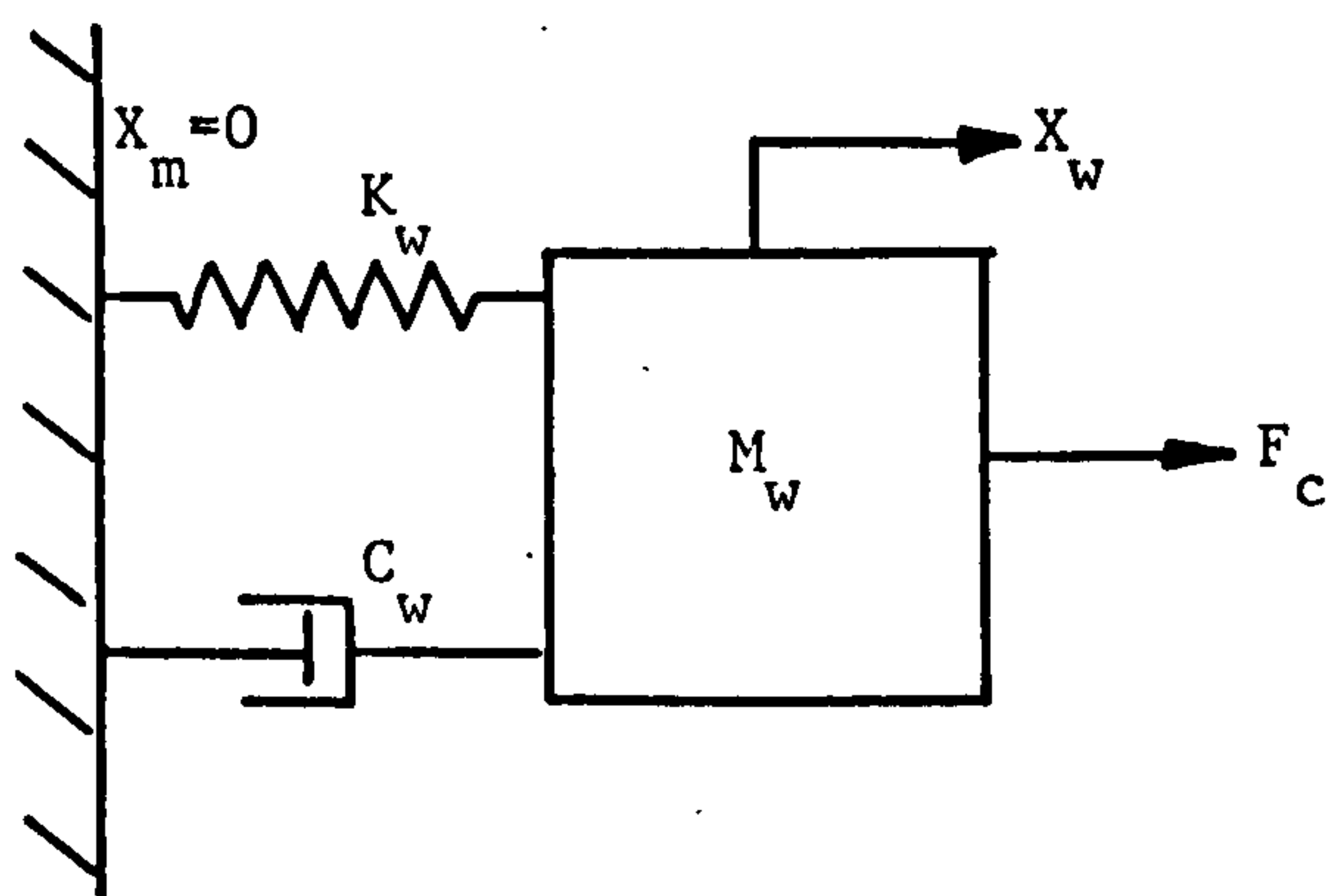
In order to support the findings of the frequency analysis and to investigate the transient behaviour of the machine tool system, a dynamic simulation is performed. This is implemented on an IBM 360, using the digital simulation language CSMP (41). The model used in the simulation has been described in section 2. 1, and is presented in block diagram form in FIG2. 4. A digital approach is chosen in preference to an analogue method to facilitate case of simulation of the delay term in the feedback loop. Systems ①, ②, ③, ④, ⑦ (as described in sections 2. 2. 1, and FIG'S 2. 7a, 2. 7b, 2. 8a, 2. 9a) are simulated to verify the stability limits predicted in sections 2. 2. 4. 2, and the chatter frequencies predicted in sections 2. 2. 4. 3. Results are presented only for systems ① & ② as these are found to be entirely representative of the general trends for all the systems. FIG'S 14 & 17 demonstrate the limits of stability and chatter frequencies for systems ① and ② respectively. The limits of stability for K_c are within 8% of the values predicted by the analysis, and the chatter frequencies are within 3%. FIGS2. 15 & 2. 16 and FIGS2. 18 and 2. 19 show chatter build-up for systems ① & ② respectively, for values of K_c 3 and 5 times greater than the stability limit. For all the systems, the agreement between the simulation results and the analysis is always better than 10% for the stability limit and 5% for the chatter frequency, and are usually considerably better.

The programme used to perform the simulation is described in APPENDICES A.3 and B.3



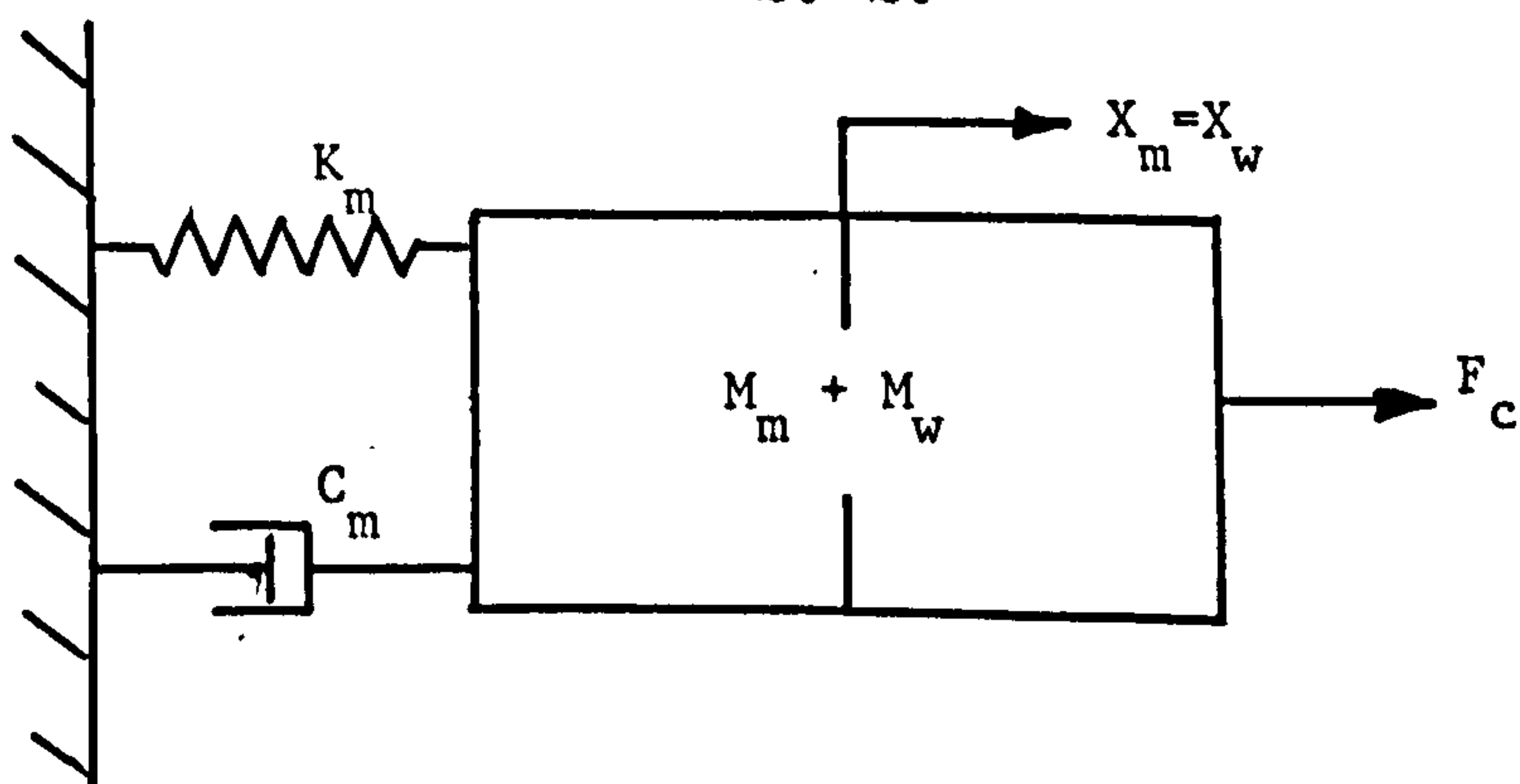
General model of machine-workpiece system.

FIG2. 1.



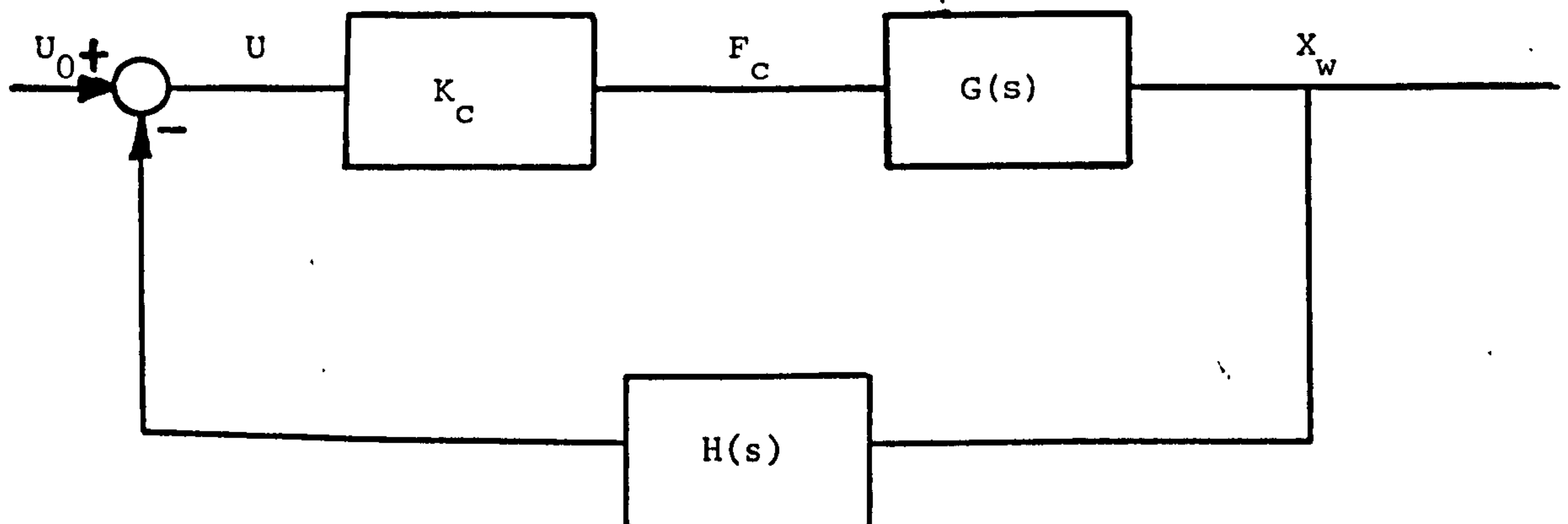
Approximate model of machine-workpiece system for $K_w \ll K_m$.

FIG2. 2.

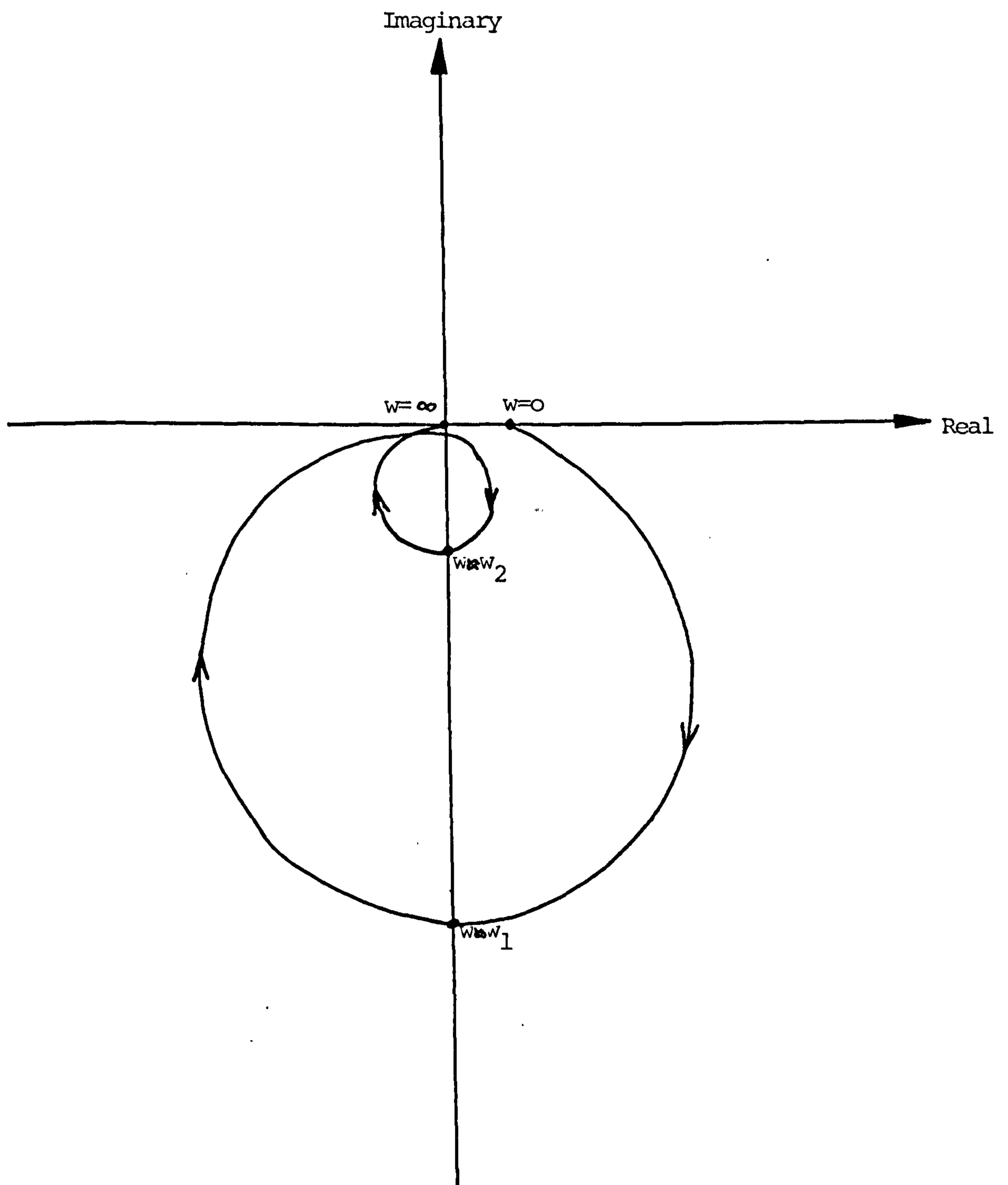


Approximate model of machine-workpiece system for $K_m \ll K_w$.

FIG2. 3.



Block diagram for regenerative chatter.



Polar plot for machine-workpiece model

FIG2. 5.

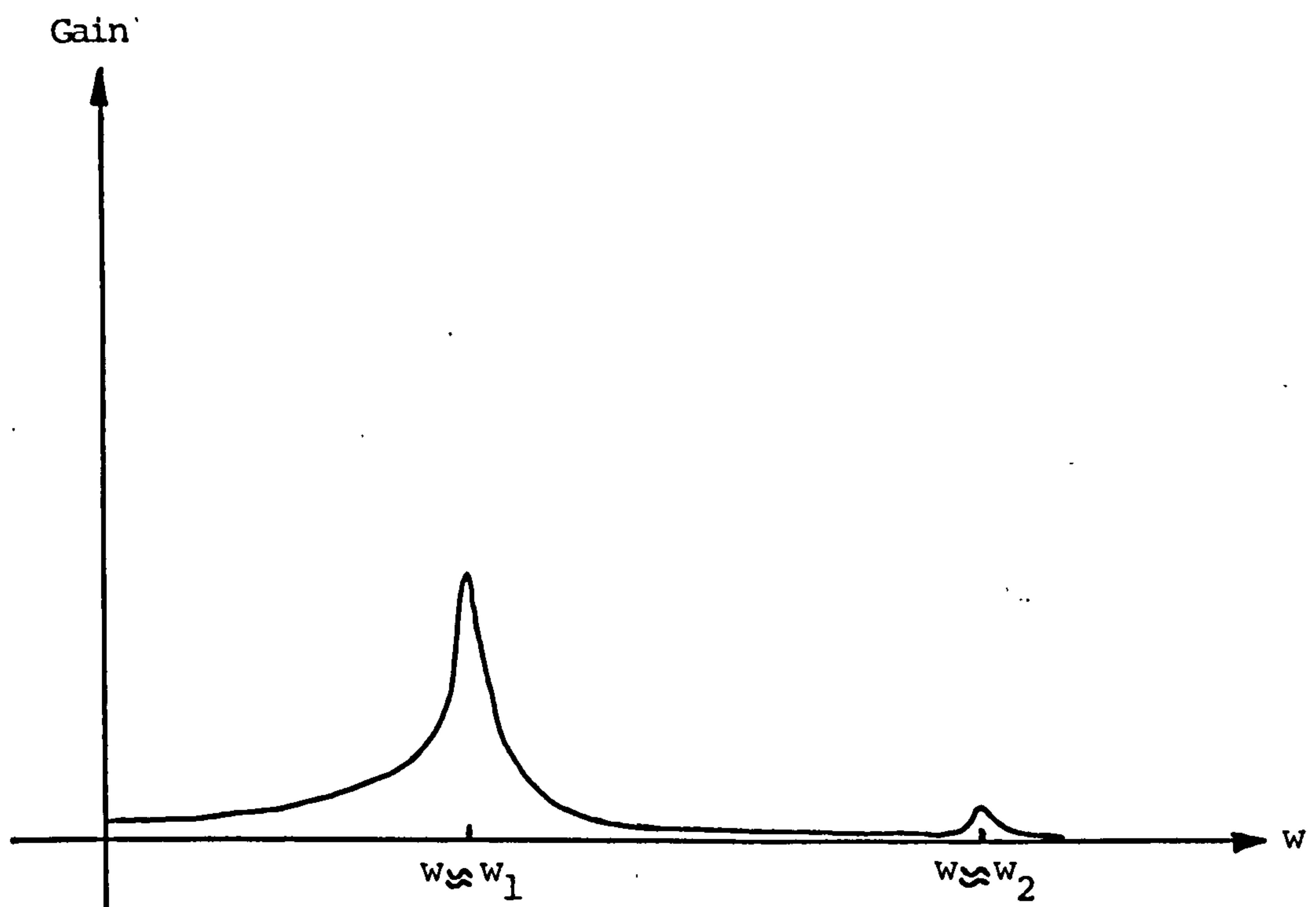
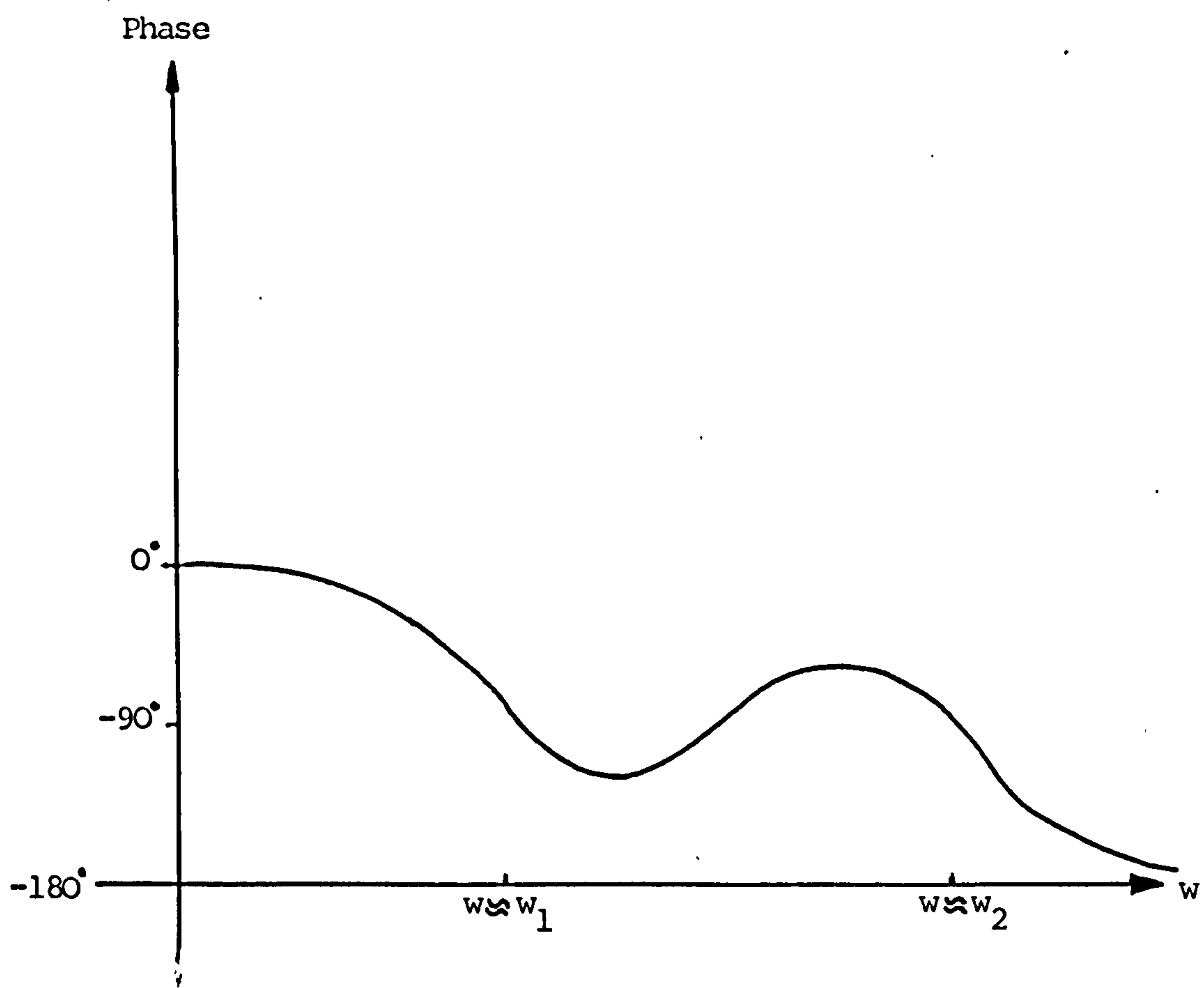
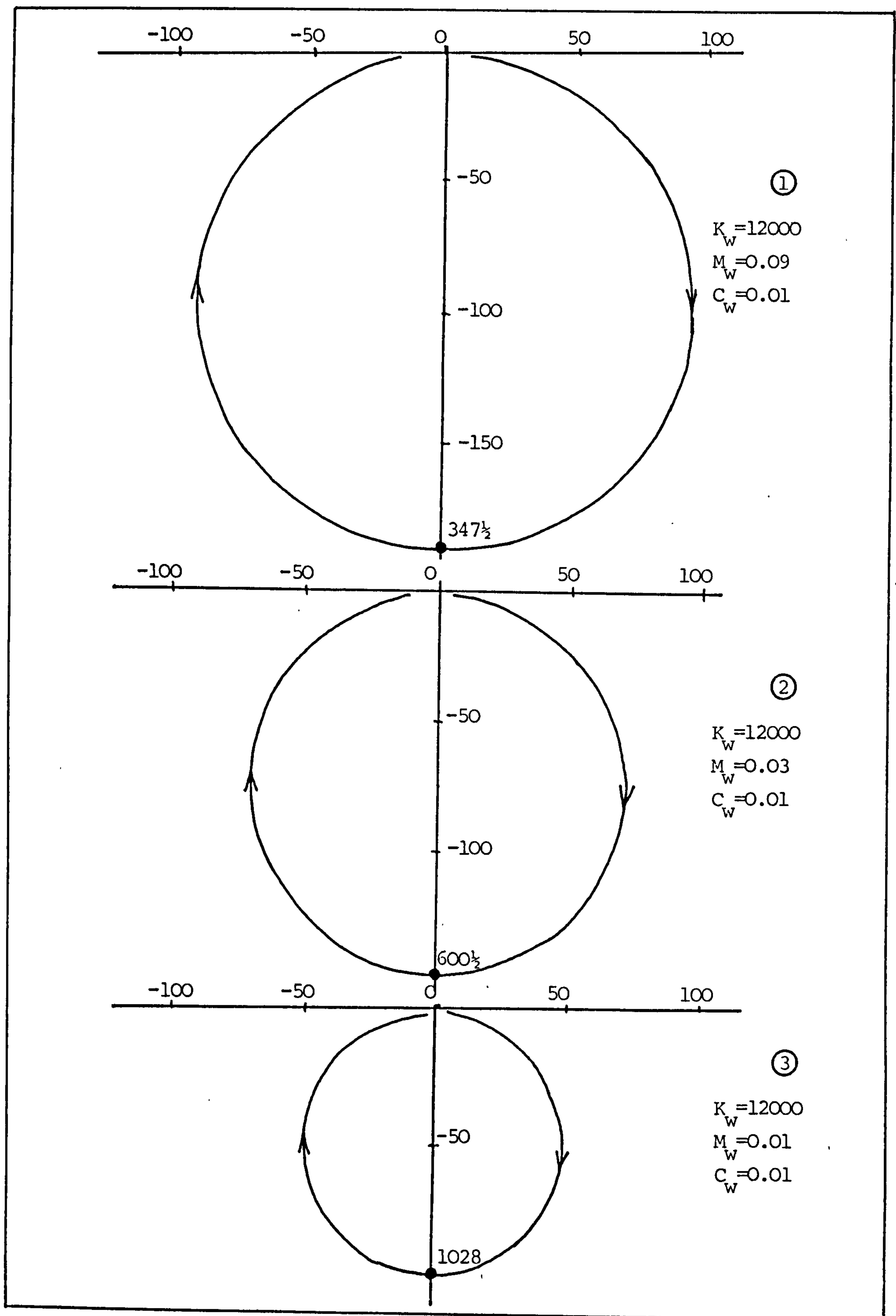


FIG2. 6a.



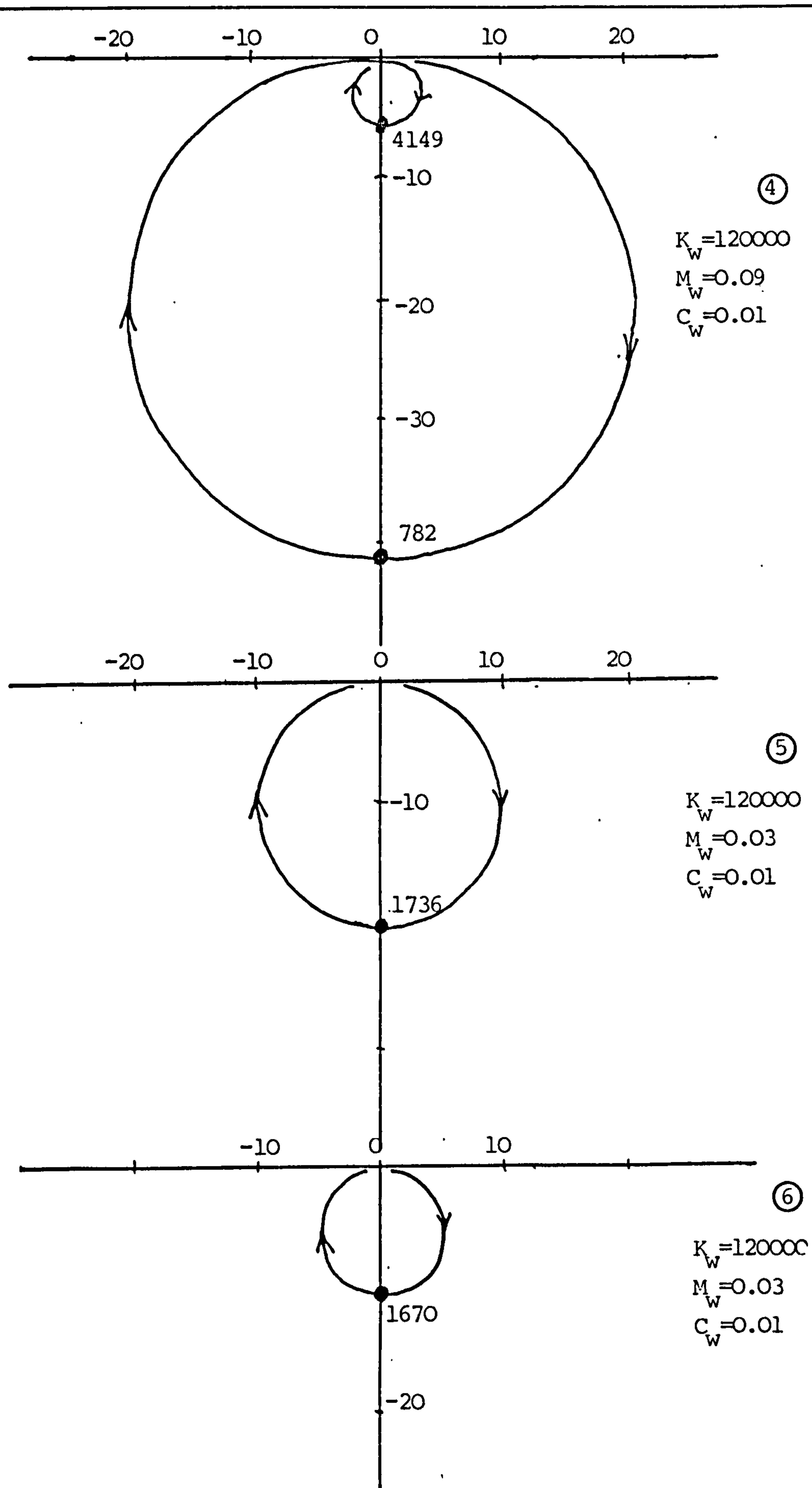
Bode diagram for machine-workpiece model

FIG2. 6b.

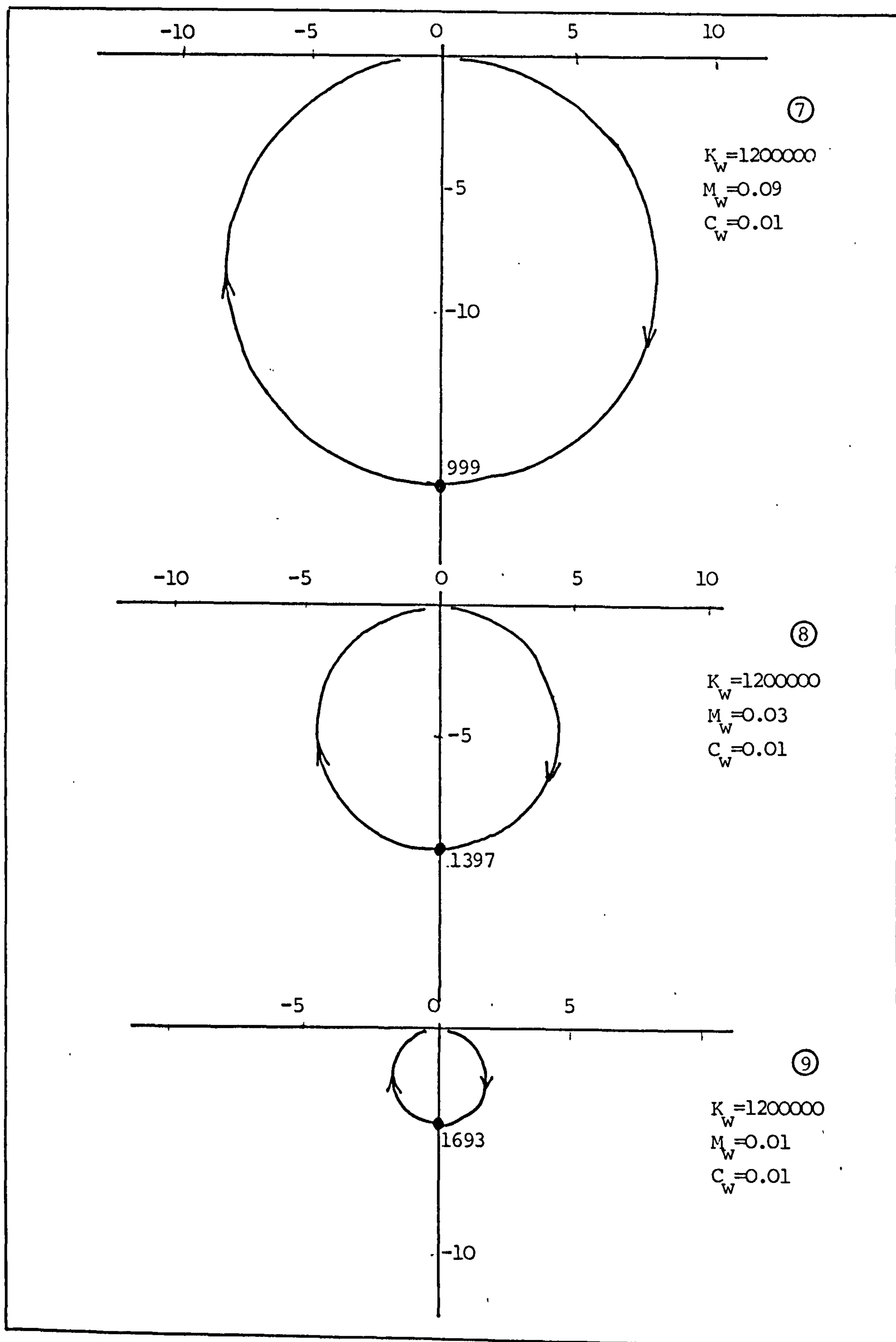


Polar plots for specific combinations of machine and workpiece.

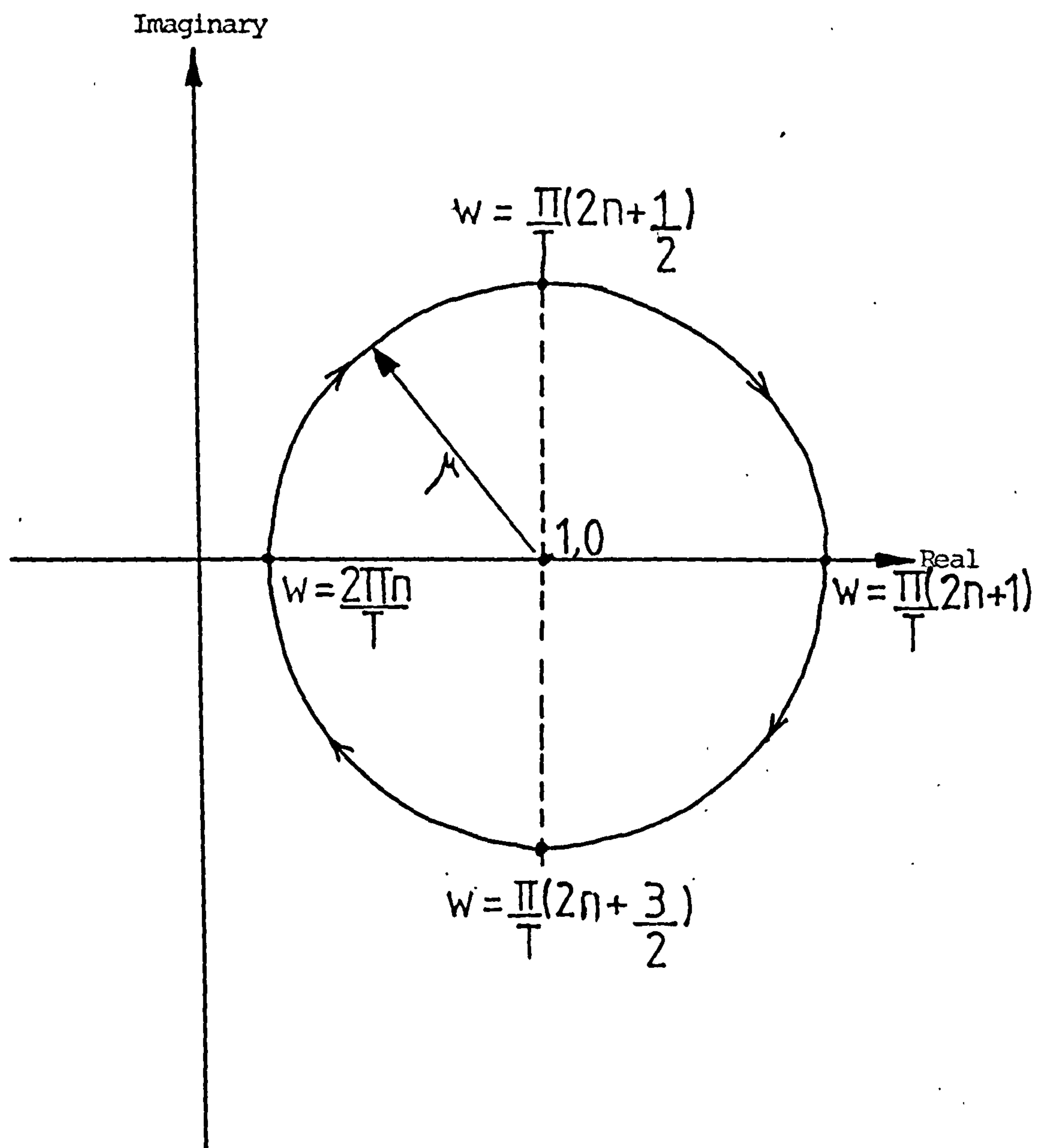
FIG2. 7.



Polar plots for specific combinations of machine and workpiece.



Polar plots for specific combinations of machine and workpiece



Polar plot for the feedback transfer function

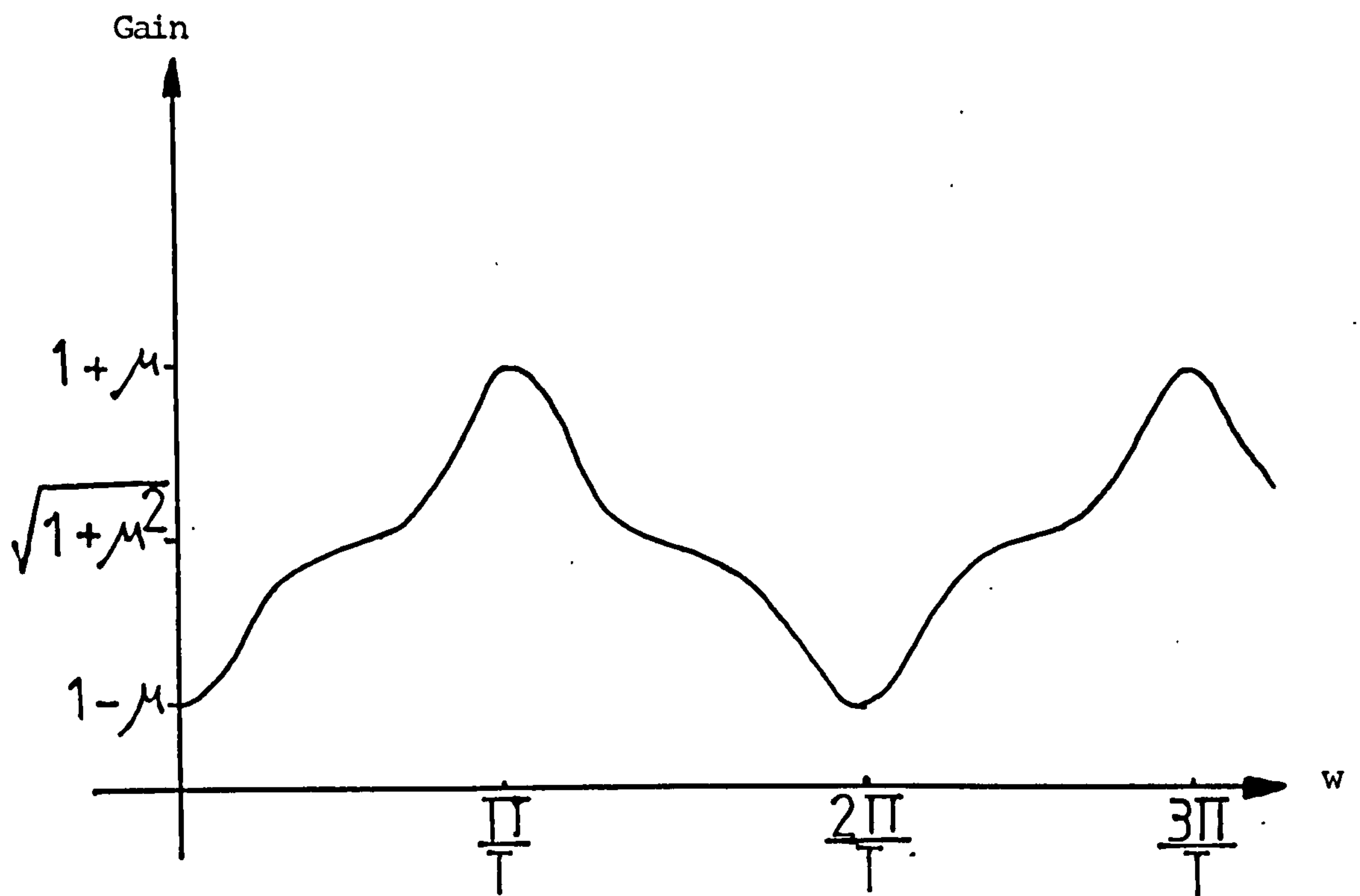
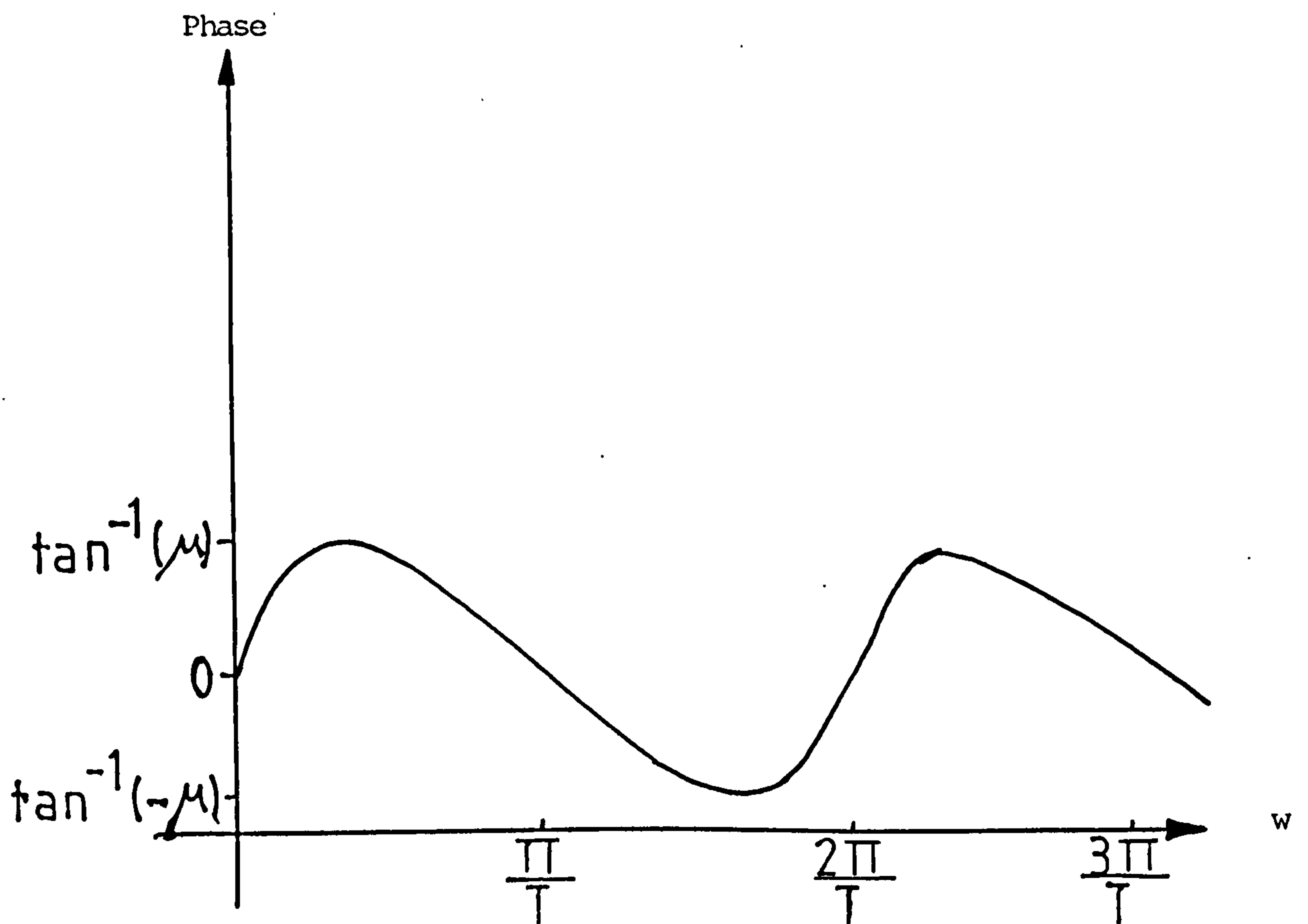
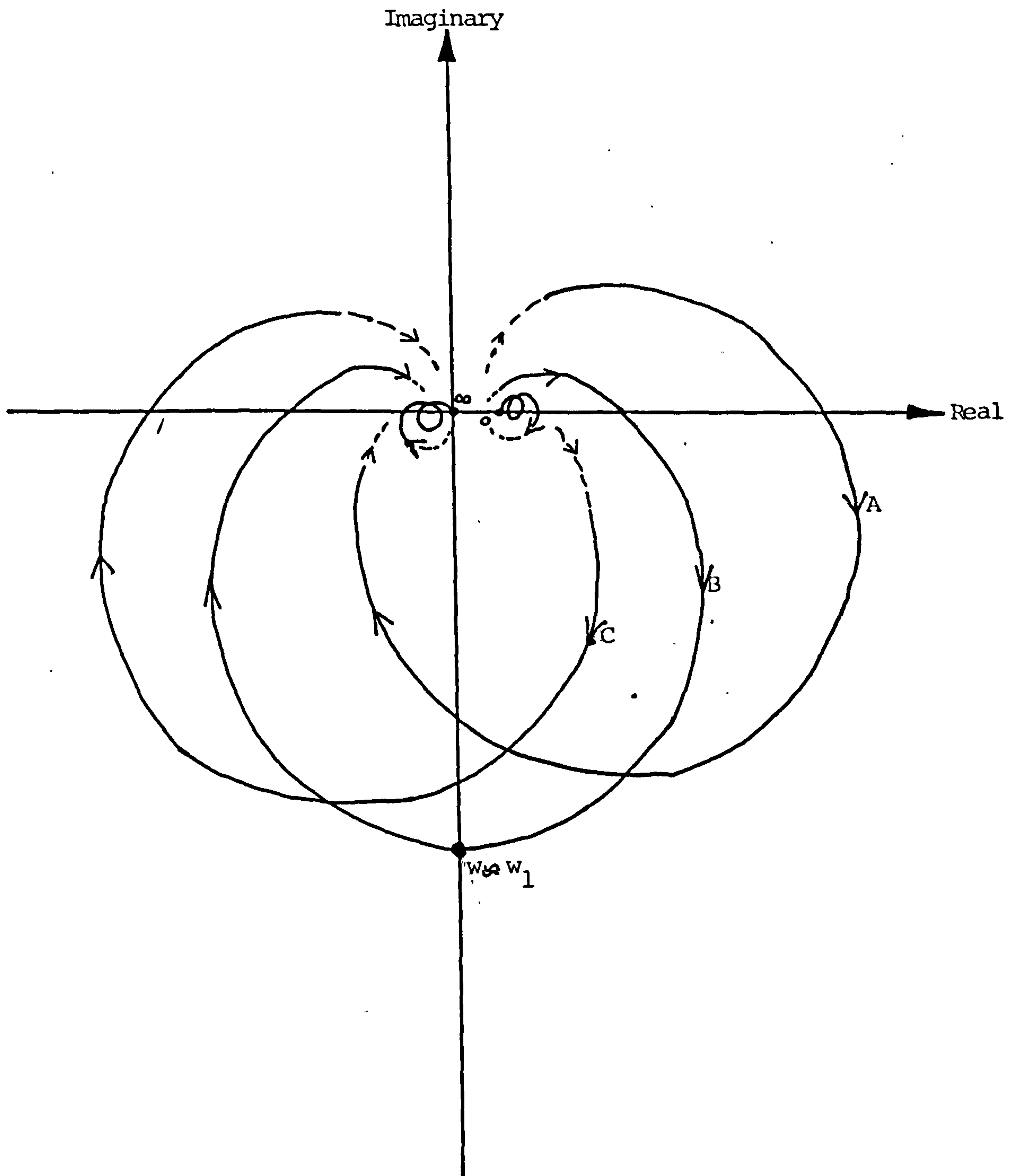


FIG2. 11a.



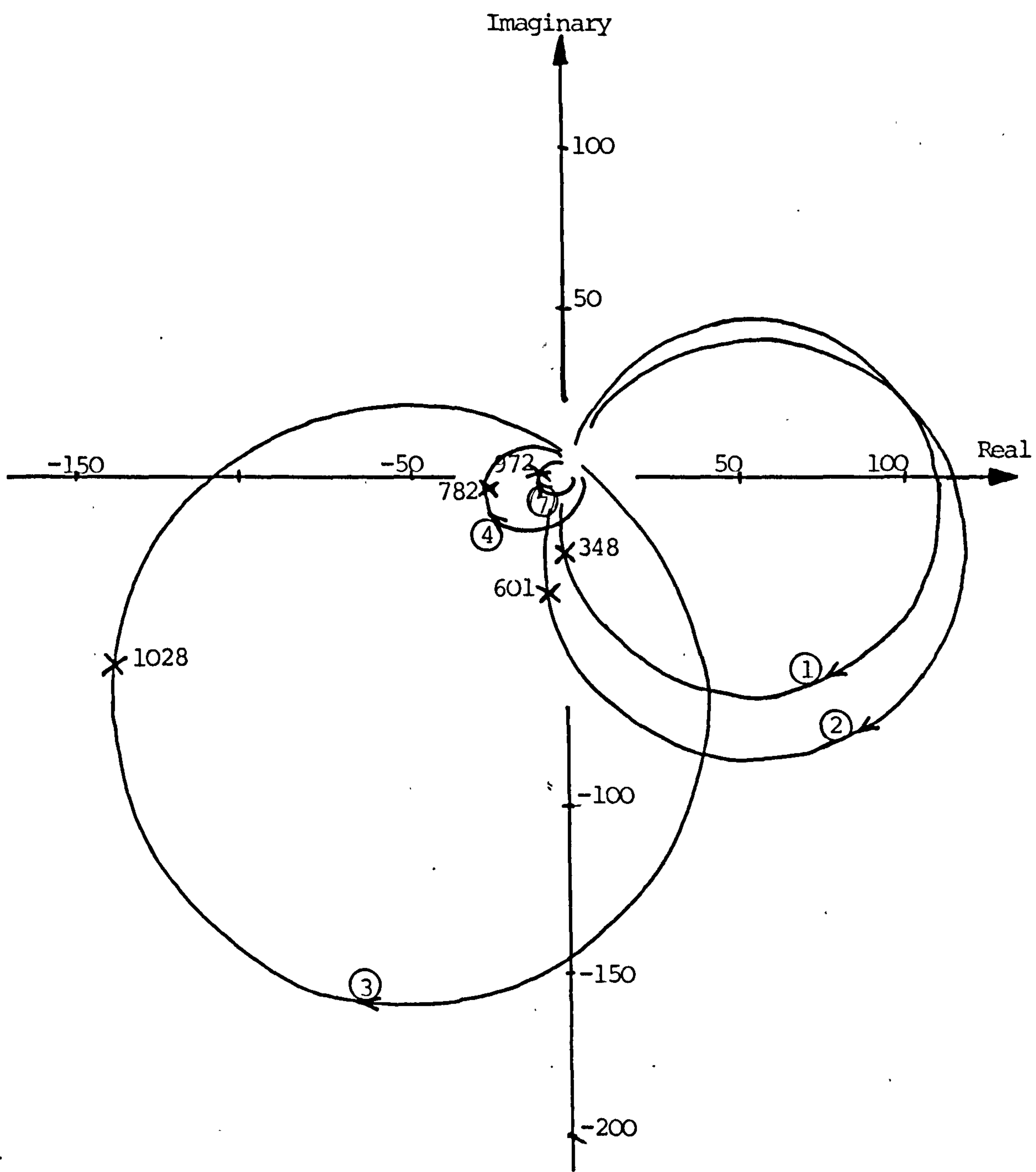
Bode plot for feedback element

FIG2. 11b.



Generalised polar plot for open loop response

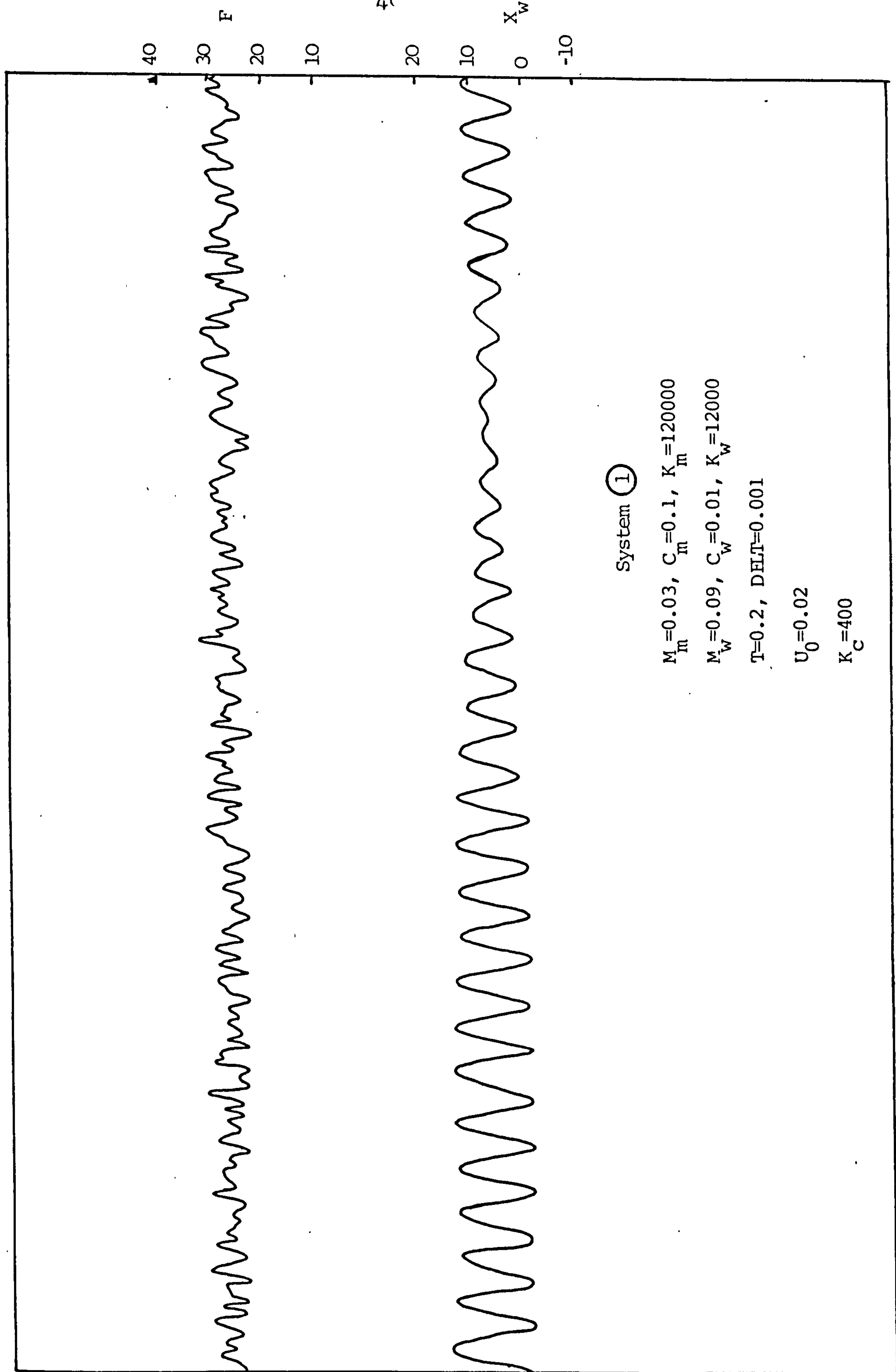
FIG2. 12.

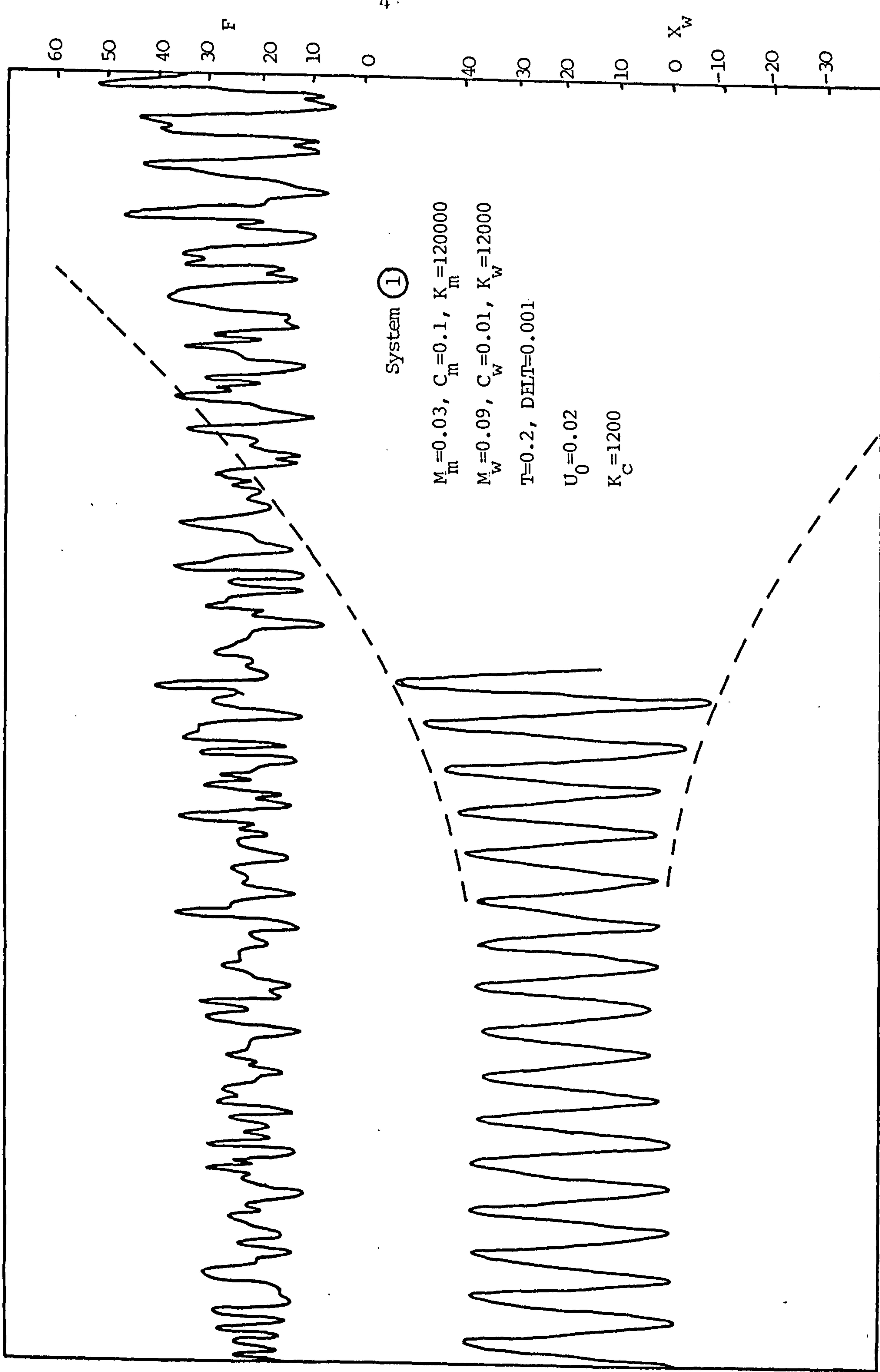


Some specific open loop responses
FIG2. 13.

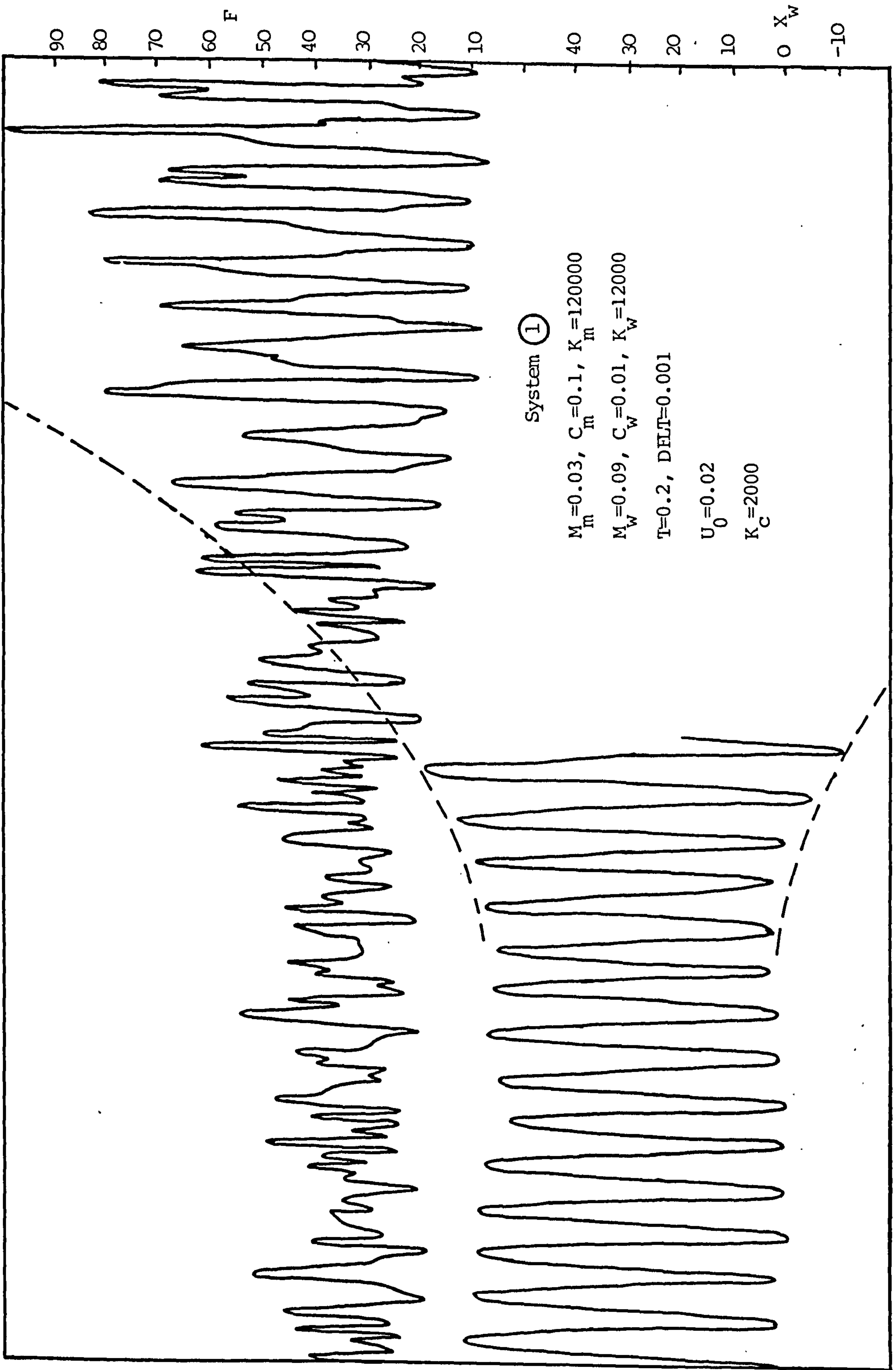
Chatter simulation - System (1), limit of stability

FIG2. 14.

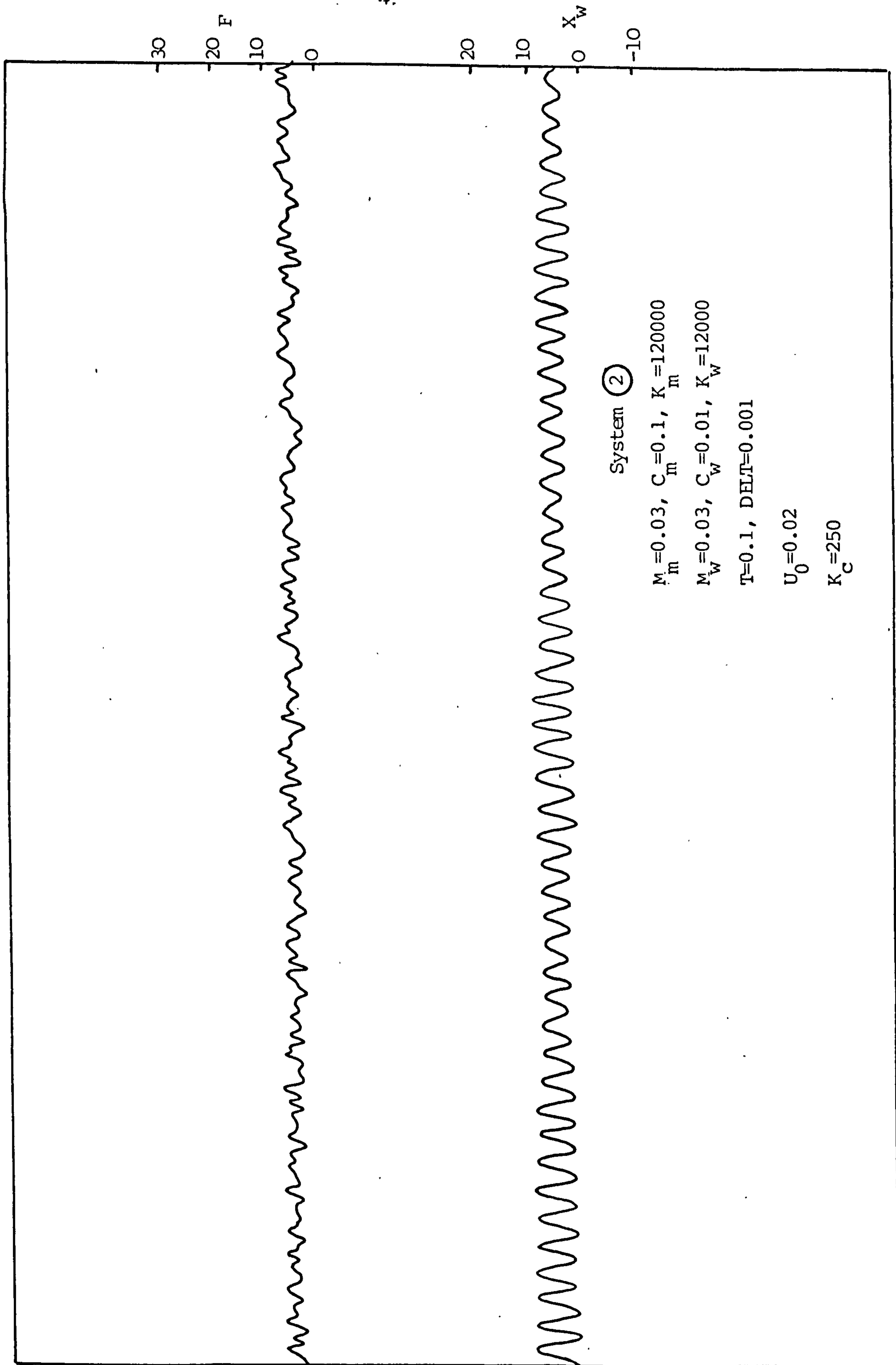




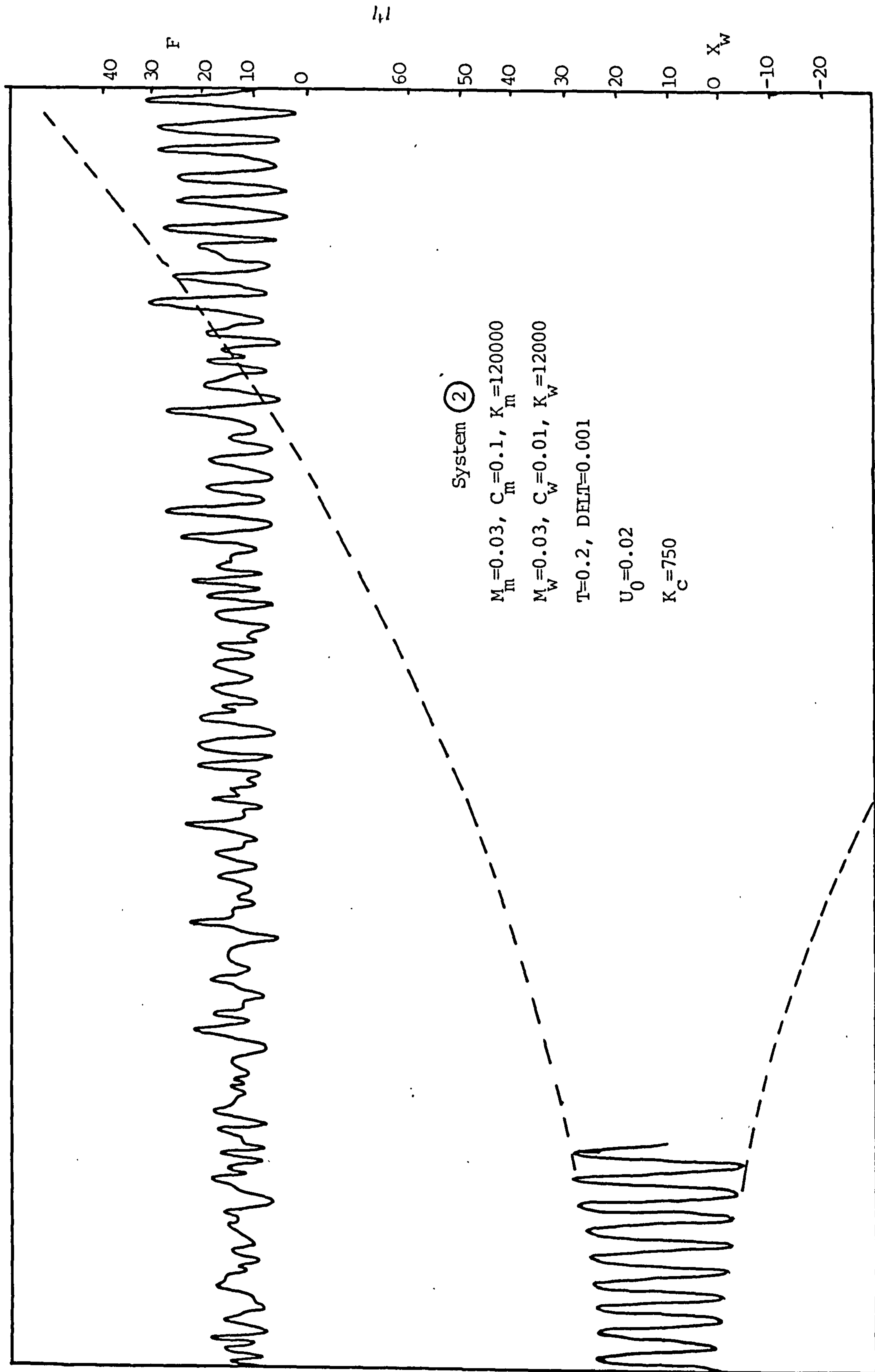
Chatter simulation - System (1), chatter buildup.



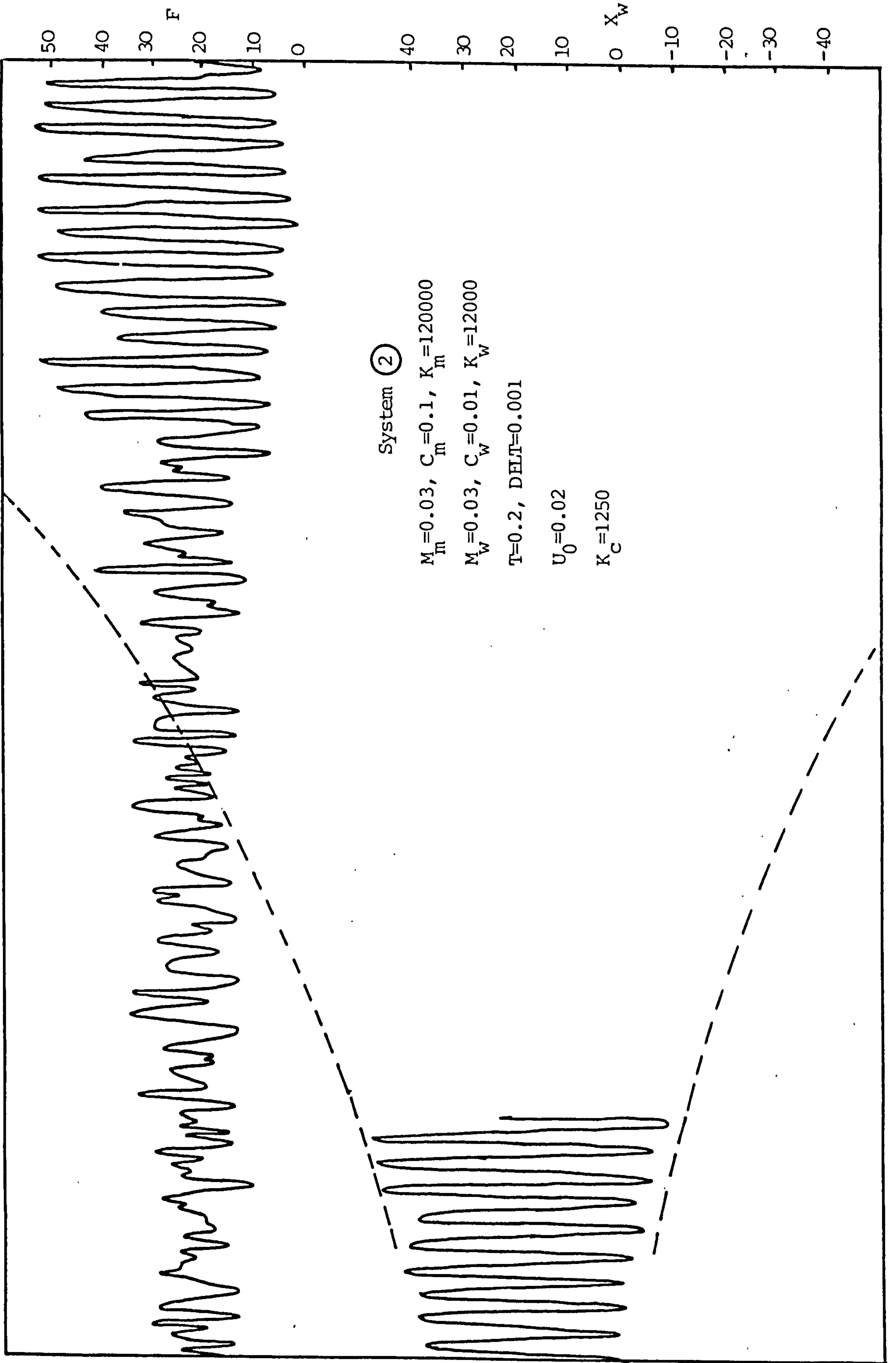
Chatter simulation - System ①, chatter buildup



Chatter simulation - System (2), limit of stability



Chatter simulation - System (2), chatter buildup



Chatter simulation - System (2), chatter buildup

3. CHATTER SUPPRESSION ANALYSIS.

3. 1. Introduction.

The control philosophy is based on the concept of creating a machine-workpiece-controller system with an infinite apparent stiffness; i.e. no matter what variations occur in the cutting force, the relative tool-workpiece displacement remains constant and equal to the nominal depth of cut. This is achieved by means of an active tool positioner. The basic system is presented in block diagram form in FIG3. 1. Ideally X_w will equal X_t at all times, so that the relative tool-workpiece displacement is maintained constant, and chatter will never build-up.

The next consideration is to choose a suitable input signal to the controller. As mentioned in section 1. 4, Comstock uses a measure of the relative tool-workpiece displacement (FIG1. 3) and Nachtigal uses a measure of the cutting force (FIG1. 4). It is proposed in this thesis to develop Nachtigal's method, by using a variable, digital model to predict the relative tool-workpiece displacement, and use a digital control algorithm to drive a stepping-motor tool positioner. The system is presented in block diagram form in FIG1. 5. / 0

It is shown in the previous chapter that the machine-workpiece dynamics can reasonably be approximated by a second-order system. Hence, it is proposed to use a digital, second-order model to represent the machine-workpiece system. In terms of Z-transforms this can be represented as follows (8, 15, 16, 18):-

$$G(z) = \frac{X_p'(k)}{F_c'(k)} = \frac{A_1.Z^{-1} + A_2.Z^{-2}}{1 + B_1.Z^{-1} + B_2.Z^{-2}} \quad 3.1$$

where $z^{-n} = e^{-nT}$; i.e. a delay of n sample intervals.
Therefore the output at the k th. sample instant is given by:-

$$X_p'(k) = A_1.F_c'(k-1) + A_2.F_c'(k-2) - B_1.X_p'(k-1) - B_2.X_p'(k-2) \quad \underline{\hspace{1cm}} \quad 3.2$$

This model is used to predict the relative tool-workpiece displacement at a given instant, from previously sampled values of cutting force and displacement.

A digital controller is then used to convert these predicted values into control pulses to drive the stepper motor to the corresponding tool position. At its simplest, the controller merely calculates the number of steps and direction that the motor needs to move from its present position to get to the predicted position.

By using a digital computer to implement both the model and controller it gives very wide scope to introduce more complex models or sophisticated controllers to improve the overall performance. The only limitations on system complexity are the computer power and the time available between samples. There will be no financial cost penalties as with analogue or fixed digital controllers.

In order to simplify the analysis of the system it is assumed the stepper motor has zero inertia and no damping. The overall effect of the controller and stepper motor is to behave in a manner that can be approximated by a quantizer. A block diagram of the simplified system is presented in FIG3. 2. If it is assumed that the model is an exact representation of the machine-workpiece dynamics, this system reduces to that shown in FIG3. 3a, which simplifies to the non-linearity shown in FIG3. 3b. Both of these approximations must be considered when assessing the accuracy and usefulness of this analysis. The overall block diagram for the controlled system is shown in FIG3. 4, where N_1 is the non-linearity

shown in FIG3. 3b.

3. 2. Stability analysis.

The behaviour and stability of this type of system can be determined for the open loop characteristic:-

$$K_C . G(jw) . N_l . H(jw) \text{ _____ } 3.3$$

and the interaction between the linear and non-linear parts. The non-linearity can be analysed using the Describing Function method. However, because the input will generally not have a zero mean, it is necessary to use the Dual-Input Describing Function (17). For the purpose of this analysis it is assumed that the input can be approximated by steady-state level with a superimposed sine wave:-

$$x(t) = B + A . \sin(w.t) \text{ _____ } 3.4$$

The effect of the non-linearity on a signal of this type is shown in FIG3. 5. The DC gain (17) for the non-linearity can be expressed by:-

$$N_A = \frac{1}{2\pi B} \int_0^{2\pi} y(B + A . \sin \theta) . d\theta \text{ _____ } 3.5$$

and the AC gain (17) by:-

$$N_B = \frac{1}{\pi A} \left[\int_0^{2\pi} y(B + A . \sin \theta) . \sin \theta . d\theta + j . \int_0^{2\pi} y(B + A . \sin \theta) . \cos \theta . d\theta \right] \text{ _____ } 3.6$$

where:-

$$\theta = w.t \text{ _____ } 3.6a$$

and $y(B + A\sin\theta)$ is the output from the non-linearity. It can be shown(17) that for the non-linearity of FIG3. 5., these give:-

$$N_B = 1 - \frac{D}{A} \sum_{i=1}^n \left[p \left[\frac{[(2i-1)/2].H + B}{A} \right] - p \left[\frac{[(2i-1)/2].H - B}{A} \right] \right] \quad 3.7$$

where:-

$$p(\delta) = \begin{cases} -1/2 & \delta < -1 \\ [1/\pi].\sin(\delta) & |\delta| \leq 1 \\ 1/2 & \delta > 1 \end{cases} \quad 3.7a$$

and:-

$$N_A = 1 - \frac{D}{A} \sum_{i=1}^n \left[q \left[\frac{[(2i-1)/2].H + B}{A} \right] - q \left[\frac{[(2i-1)/2].H - B}{A} \right] \right] \quad 3.8$$

where:-

$$q(\delta) = \begin{cases} [2/\pi].\sqrt{1 - \delta^2} \\ 0 \end{cases} \quad 3.8a$$

Using these expressions for the DC and AC gains the relationship between the input and output for the non-linearity can be approximated as follows:-

$$y(A + B \sin \theta) = N_B \cdot B + N_A \cdot A \sin \theta \quad \text{3.9}$$

This gives an output of the same form as the input but with a DC component of $N_B \cdot B$ and an AC amplitude of $N_A \cdot A$. It can be seen from the expressions for N_B and N_A that there is coupling between the DC component and the AC gain, and the AC amplitude and the DC gain. This gives rise to two sets of functions, one set for N_A vs A for various fixed B and one set for N_B vs B for various fixed A . Some examples of these functions are presented in FIG 3. 6, and 3. 7. At this stage, it is obvious that stability analysis using the Describing Function method becomes unwieldy. However, it is useful to obtain a qualitative insight into the behaviour of the controlled system.

It can be seen from FIG'S 3. 6, and 3. 7, that both the AC and DC gains exhibit similar trends. When the respective variable amplitudes are near zero the behaviour of the non-linearity is strongly influenced by the corresponding fixed amplitude. If the fixed amplitude is near a quantization level a small initial increase in the variable amplitude will cause a relatively large decrease in the output (one quantization level), hence the non-linearity exhibits a large negative gain. Whereas, with the fixed amplitude away from a quantization level, it takes a larger initial increase in the variable amplitude to cause a quantization jump, and hence the effective gain is smaller. In both cases the gain is unity until the first quantization jump occurs. Beyond this initial region the gain varies cyclically about zero due to the effect of successive quantization levels. Due to the limiting behaviour of the non-linearity the overall trend is for the gains to tend to zero as the variable amplitudes tend towards infinity.

Although the Describing Function method does not, in this

application, give a successful quantitative analysis of the proposed chatter suppression method, it does prove useful in indicating qualitative trends. As is usually the case, the results of the Describing Function analysis must be viewed with caution owing to the approximations involved, however it is clearly indicated that the overall effect is stabilising.

3. 3. Chatter suppression simulation

Simulation of the chatter suppression method gives another method of studying the behaviour of the controlled system. It enables a more comprehensive and realistic examination of the control method. Digital simulation is used to facilitate simulation of the delay term and the quantizer. A block diagram of the overall system considered in the simulation is presented in FIG3. 8.

The control method is applied to the machine-workpiece systems ① and ②, as described in section 2. 3, and whose uncontrolled behaviour is shown in FIG'S 2. 15, and 2. 16, and FIG'S 2. 18, and 2. 19, respectively. Parameters for the controller and tool positioner are based on values for the experimental controller and tool positioner described in the next chapter. The step size and equivalent mass, spring, damper values are as follows:-

$$S_s = 0.00067$$

$$K_t = 17000$$

$$M_t = 0.033$$

$$C_t = 0.2$$

The second-order model parameters are chosen to represent three basic cases:

- The 'best' second-order approximation of machine-workpiece dynamics.
- The natural frequency of the model below that of (i).
- The natural frequency of the model above that of (i).

'Best' is taken to mean a model that has a polar plot that coincides with the major loop of the machine-workpiece plots shown in FIG'S 2. 7a, and 2. 7b.

Simulations are performed with values of K_c 3 and 5 times greater than the limiting values for both systems. Results are presented for system ① in FIG'S 3. 9, and 3. 10, and for system ② in FIG'S 3. 11, and 3. 12. It can be seen that although there is some vibration present, the systems have not become unstable. FIG'S 3. 13, and 3. 14, are both for system ① with a value of K_c of 3 times greater than the limiting value. In FIG 3. 13, the model natural frequency is 5% below the 'best' value, and is just at the limit of stability. In FIG'S 3. 14 the model natural frequency is 5% above the 'best' value, and is severely unstable.

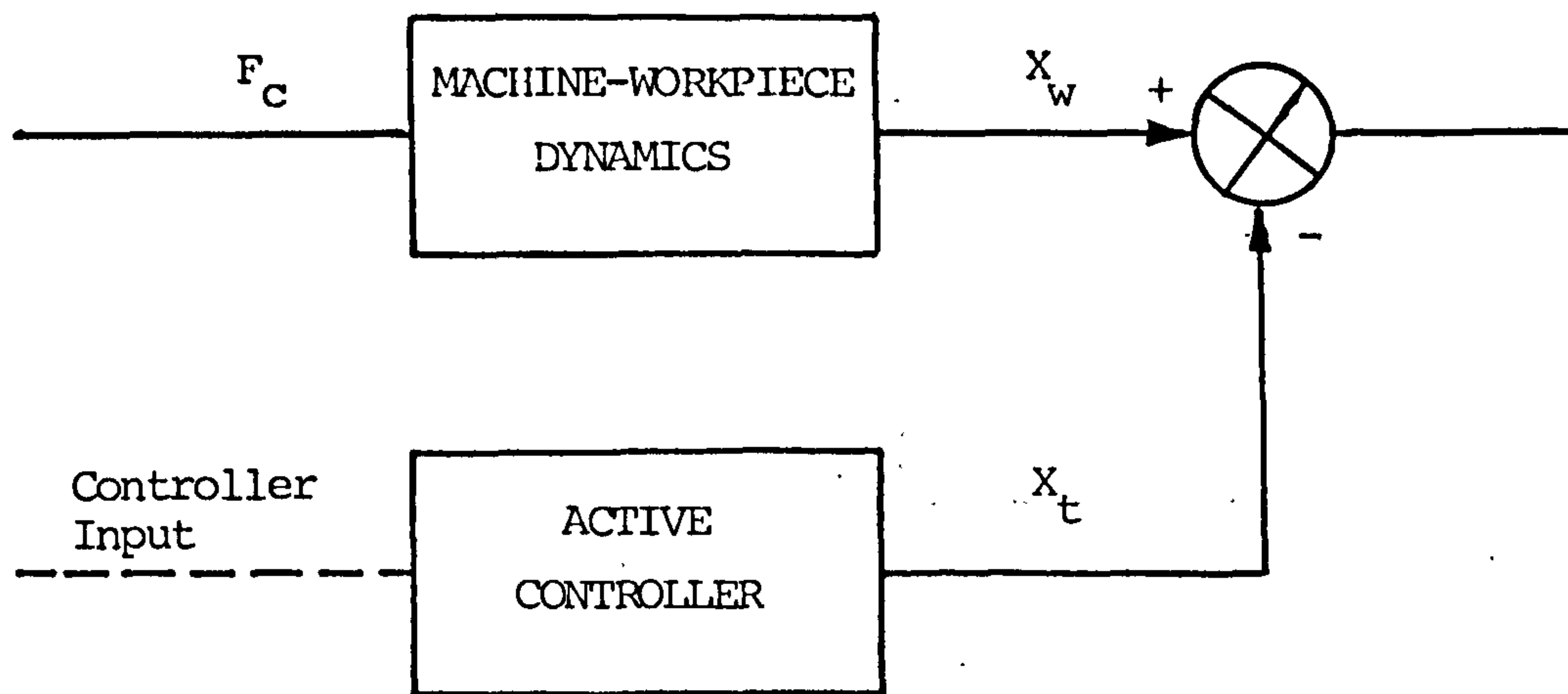
It is shown by these results that the control method can suppress chatter. However, the selection of a suitable model is critical, as mis-match by as little as 5% of the natural frequency can cause severe instability.

Other simulations show that the bandwidth of the controller must be greater than that of the machine-workpiece dynamics for successful chatter suppression. Investigations into the effects of the controller step size show that this is not a critical variable. However, there is a maximum size above which that suppression method does not work. This value depends on the

system being controlled. It is generally found that the smaller the step size the more effective the control method.

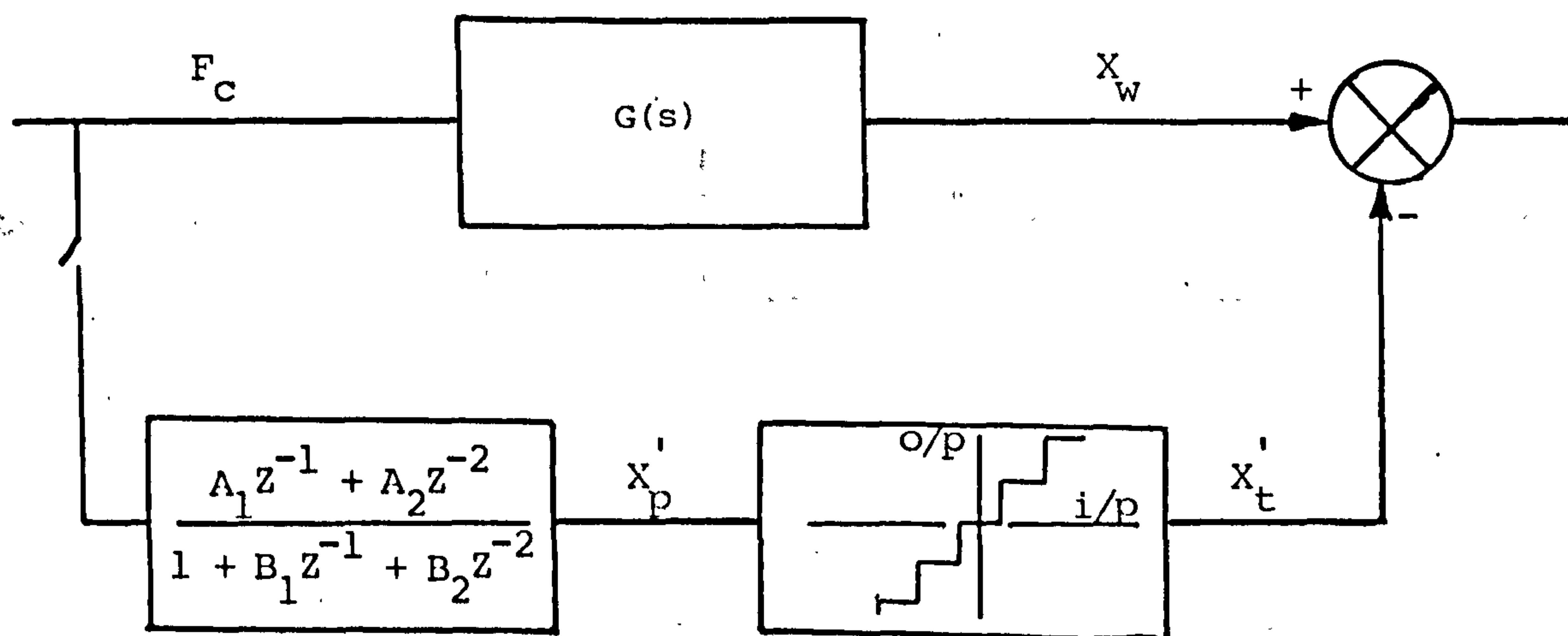
The second-order model is shown to be a sufficiently good approximation to the machine-workpiece dynamics for effective operation of the control method. Selection of suitable model parameters is critical for successful application of the method. It is indicated that increases in K_c of approximately 10 times are achieved by use of this control method.

Details of the simulation programme are given in APPENDICES A.5 and B.5



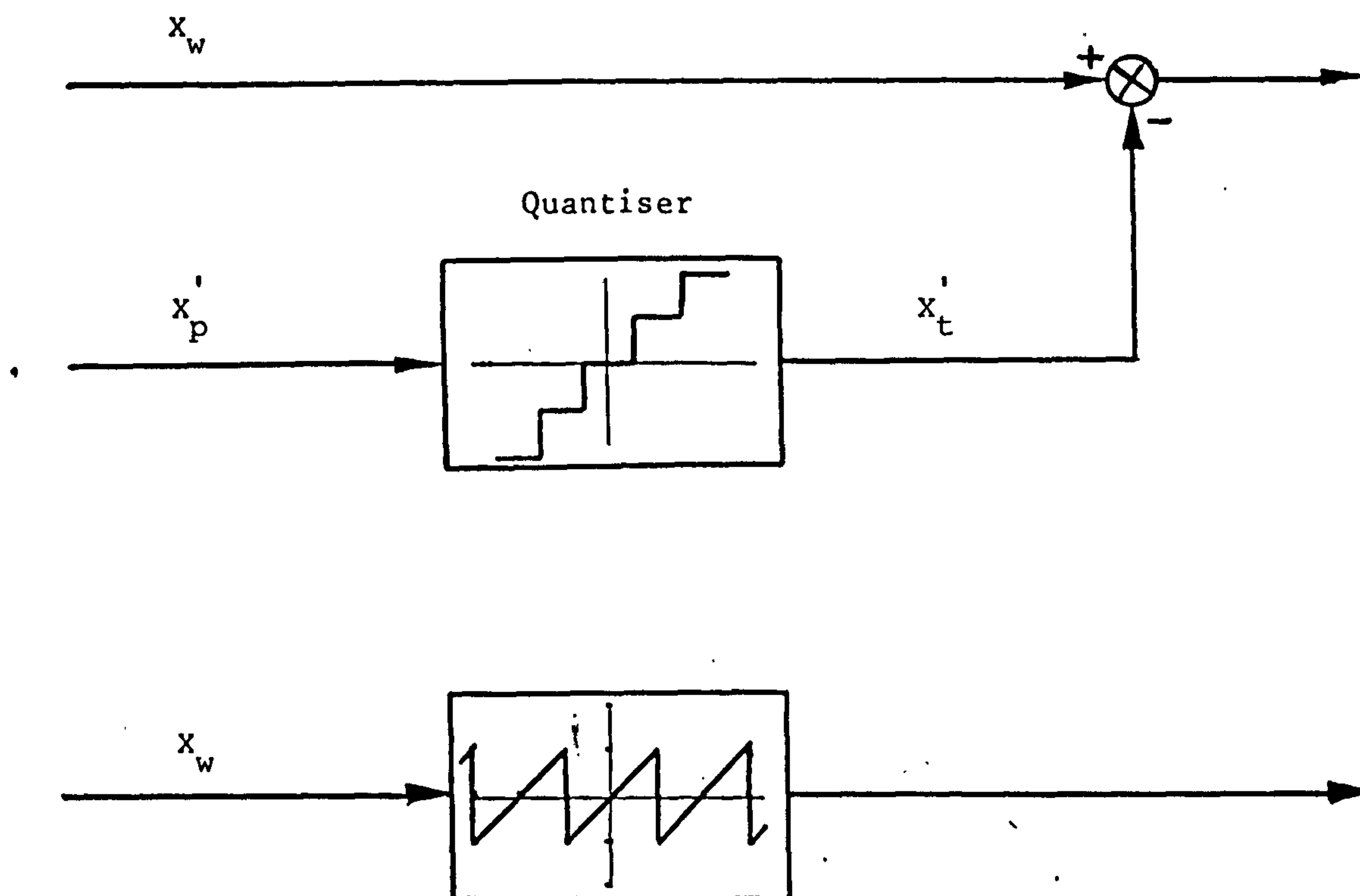
Basic active chatter controller

FIG3. 1.



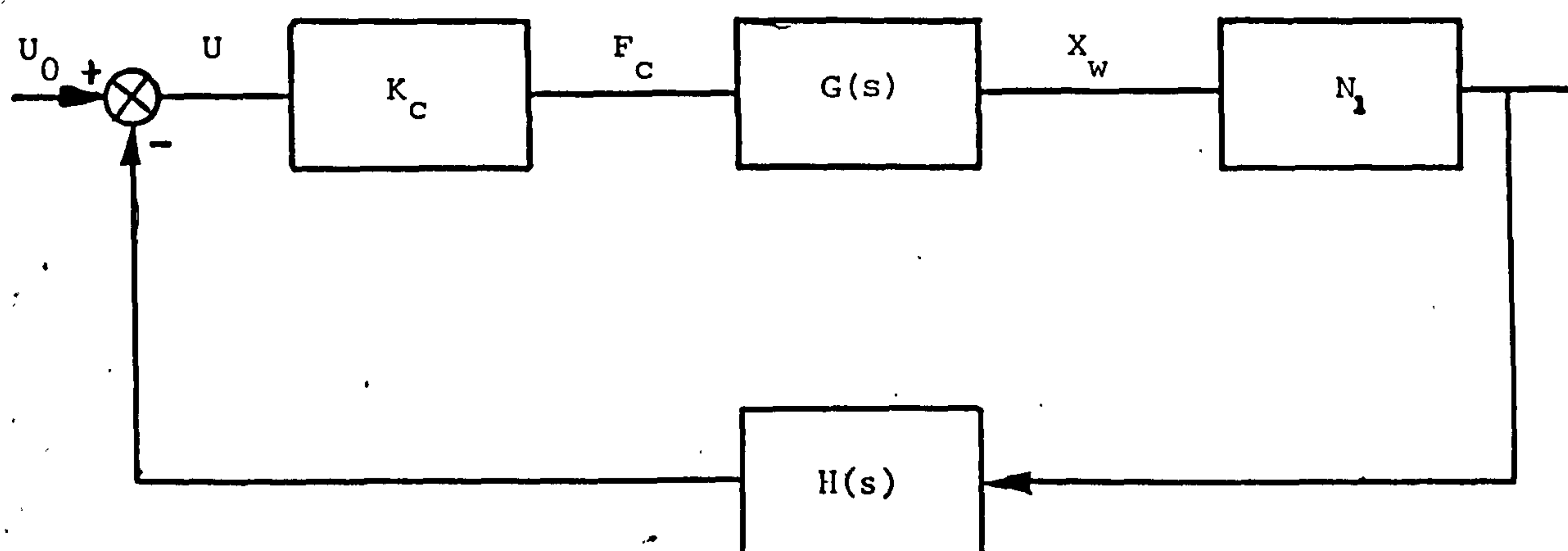
Block diagram of simplified chatter controller

FIG3. 2.



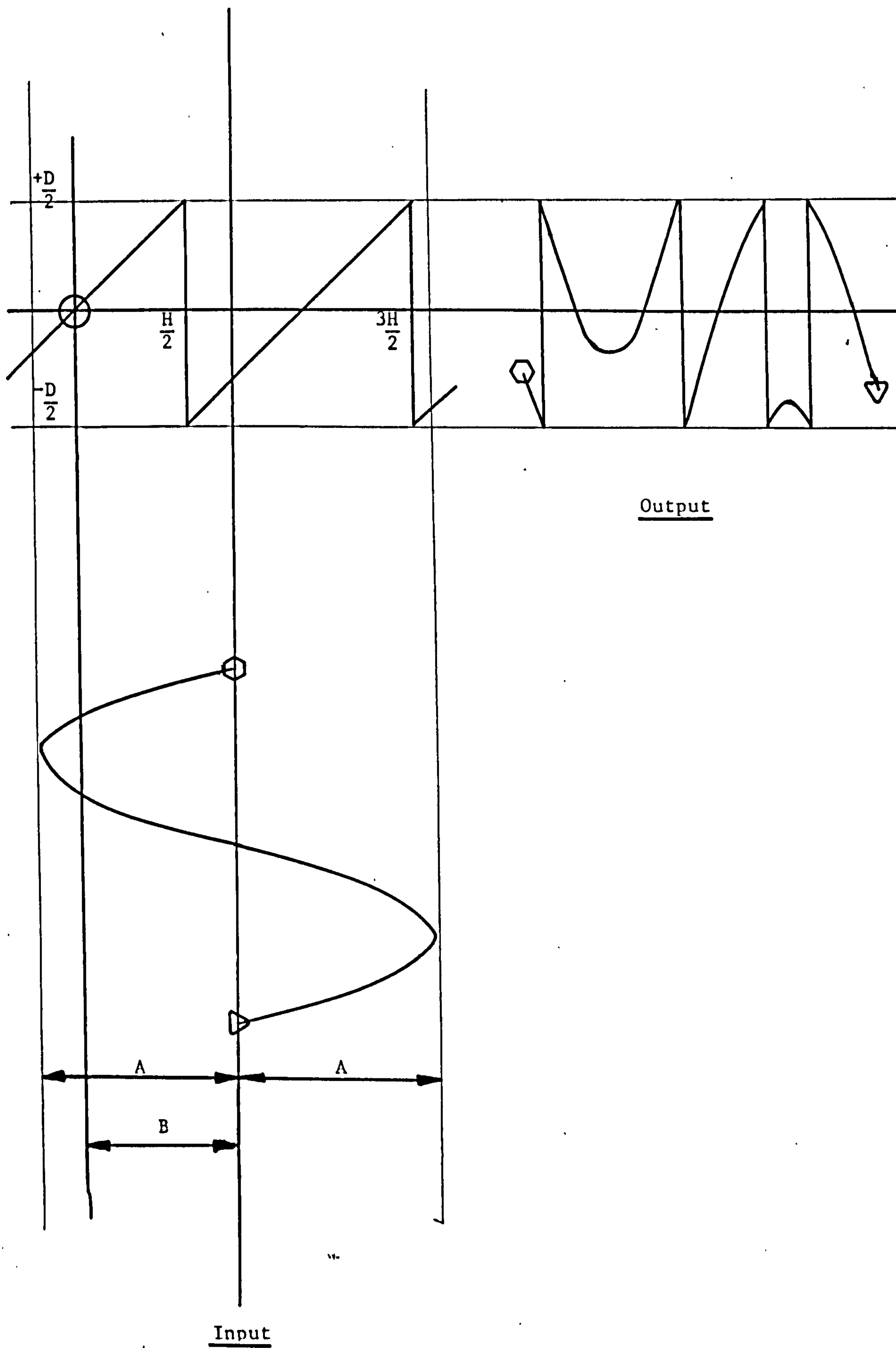
Equivalent ideal controller.

FIG3. 3.



Block diagram of controlled system.

FIG3. 4.

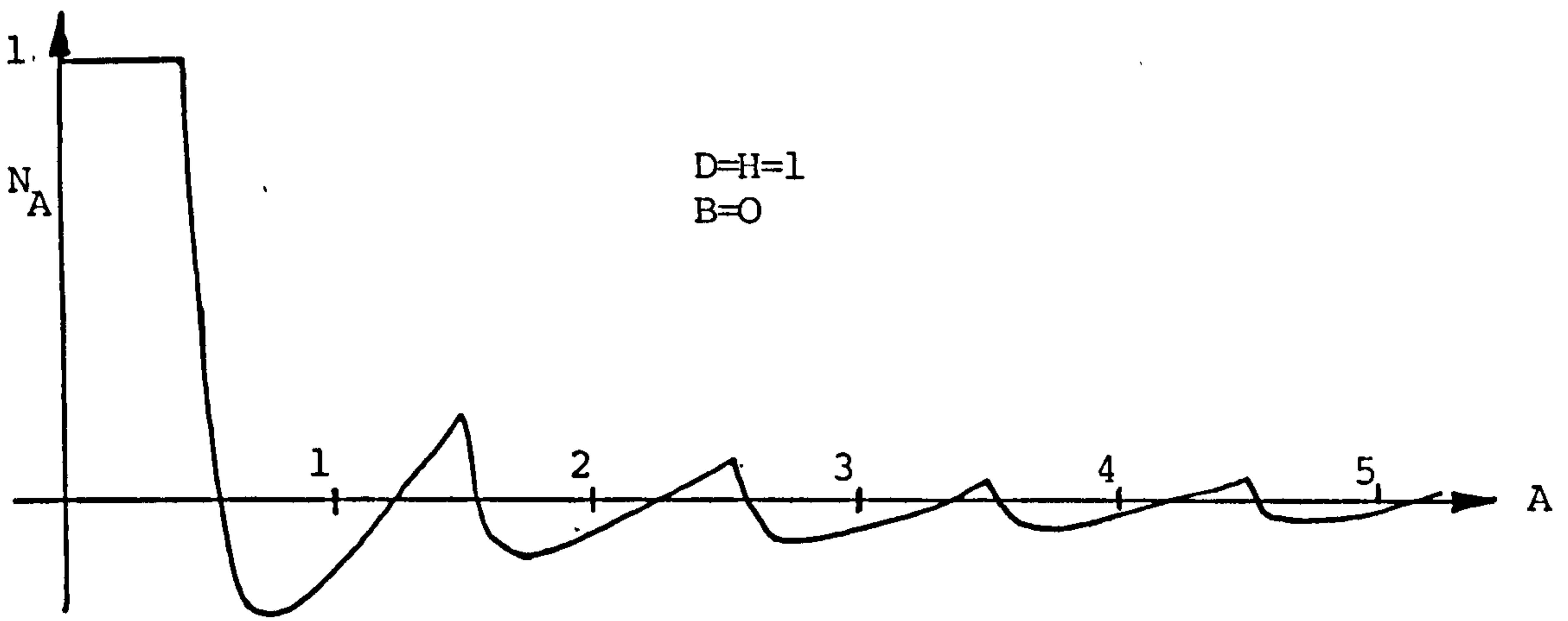


Effect of nonlinear controller.

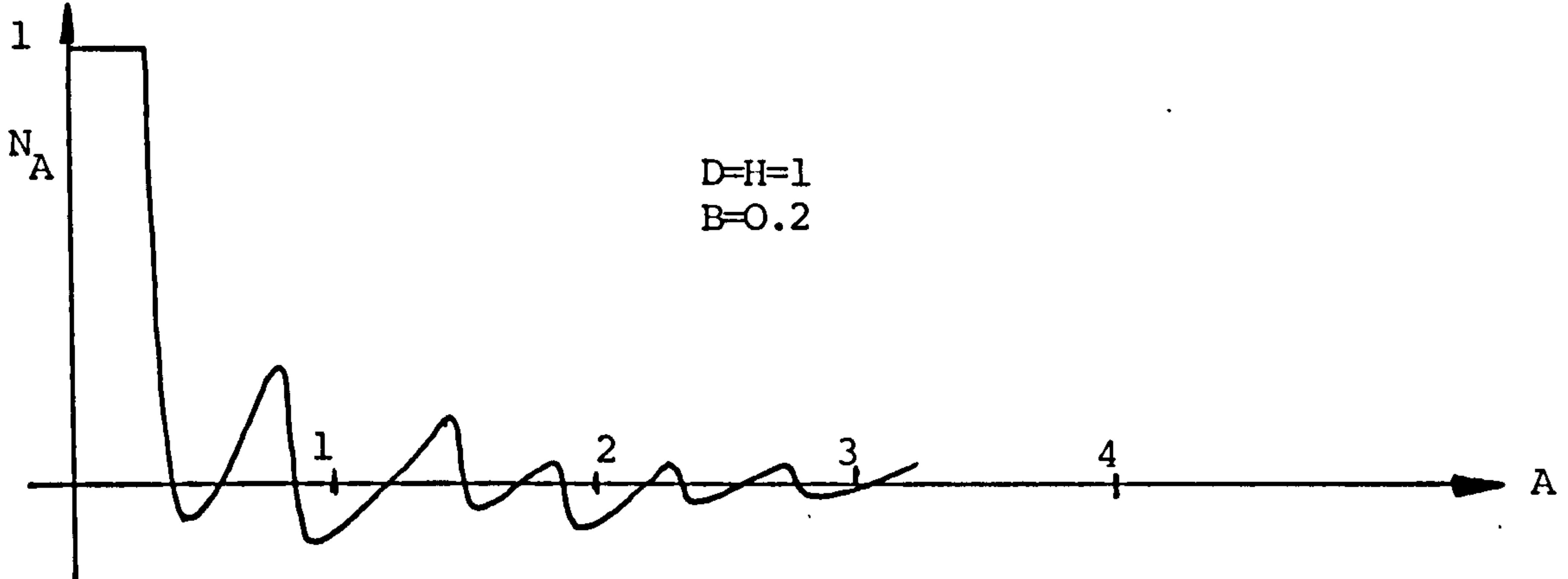
FIG3. 5.

57

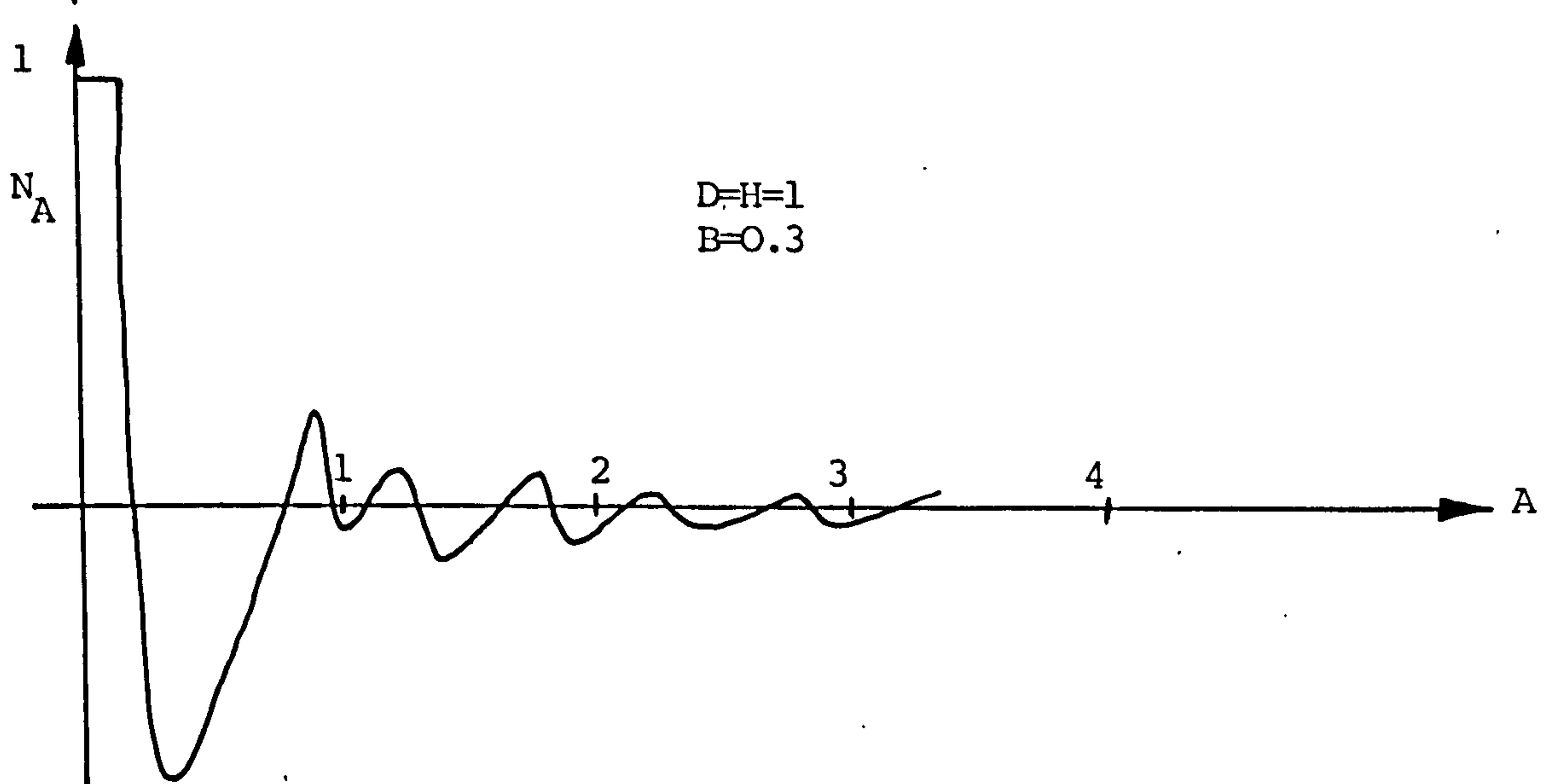
$D=H=1$
 $B=0$



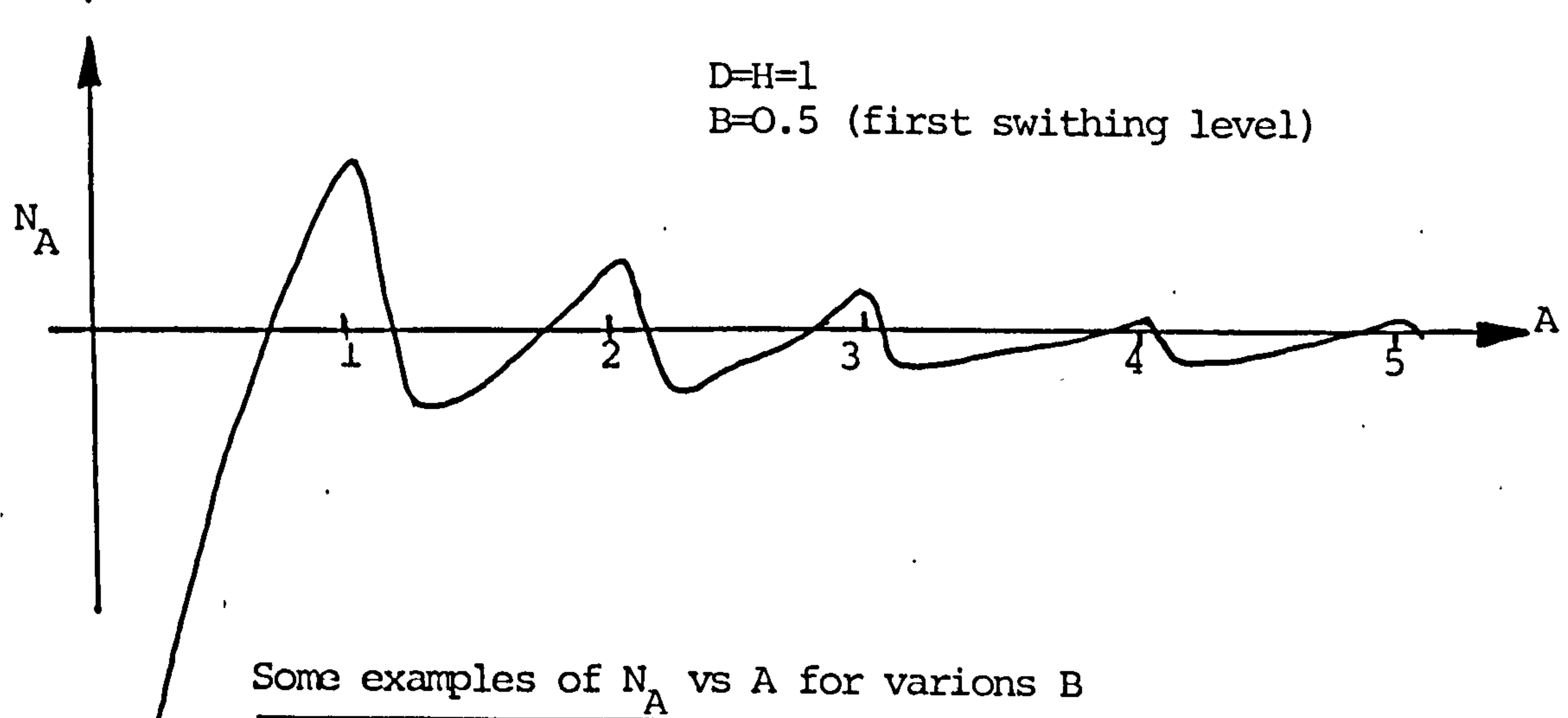
$D=H=1$
 $B=0.2$



$D=H=1$
 $B=0.3$

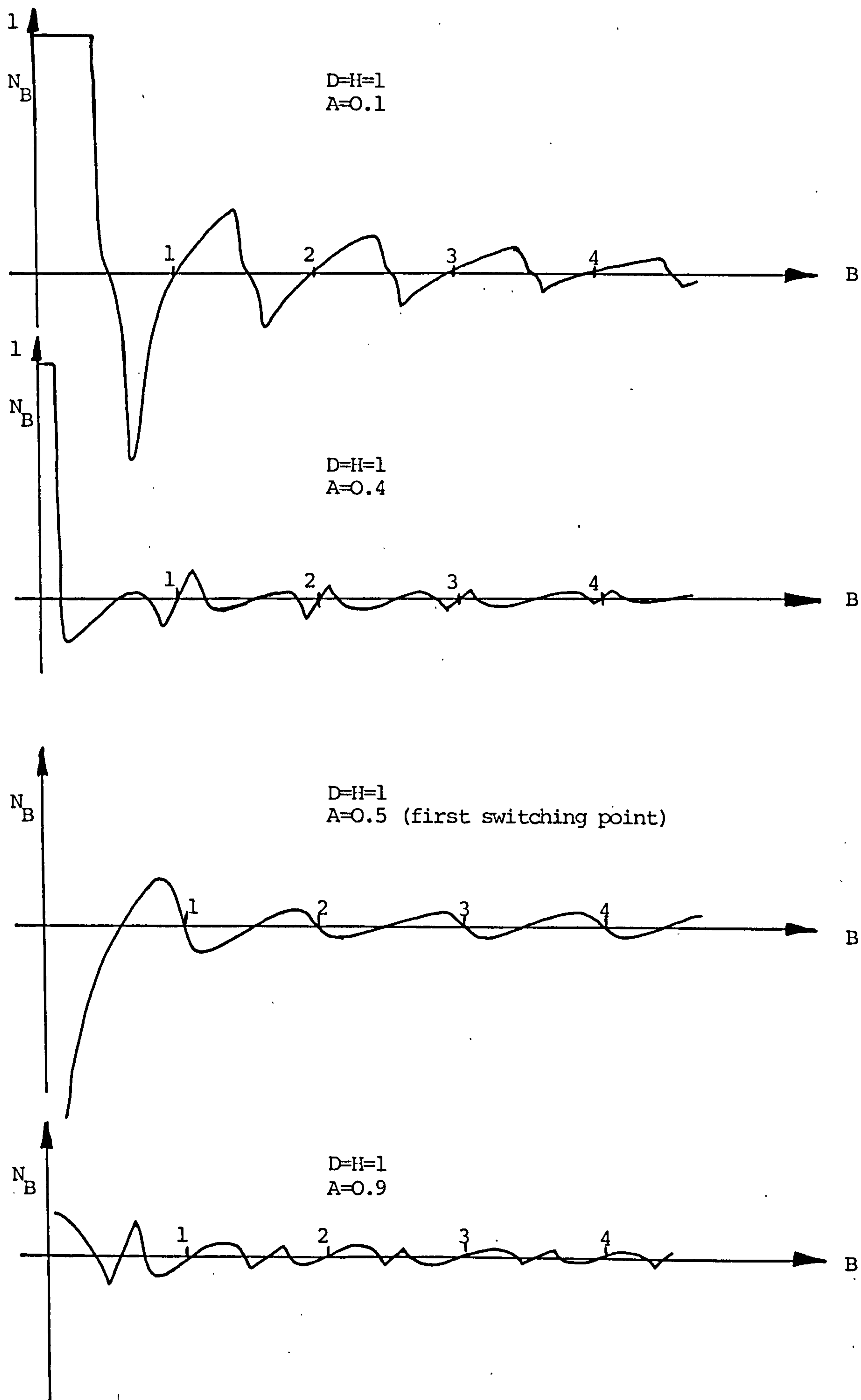


$D=H=1$
 $B=0.5$ (first swithing level)

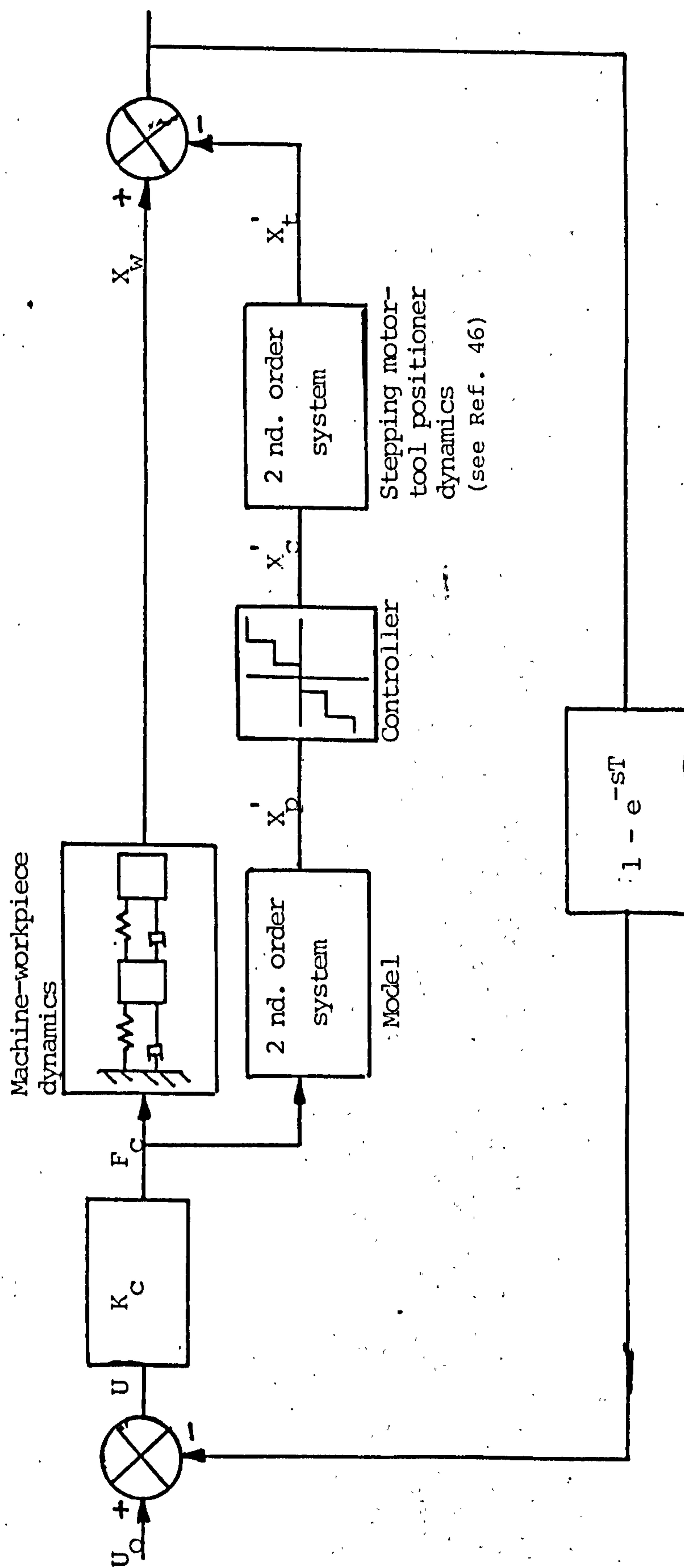


Some examples of N_A vs A for varions B

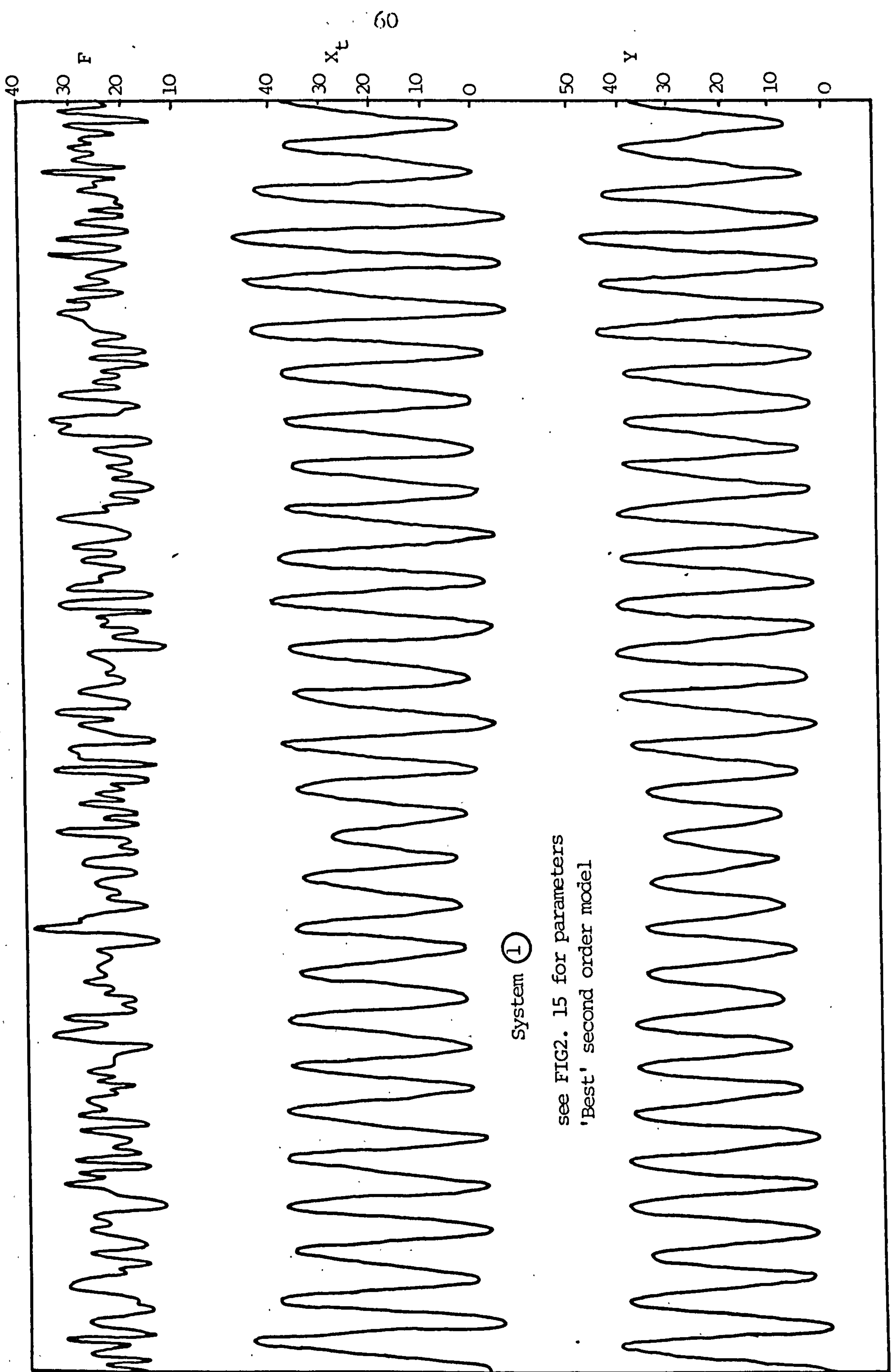
FIG3. 6.



Some examples of N_B vs B for various A



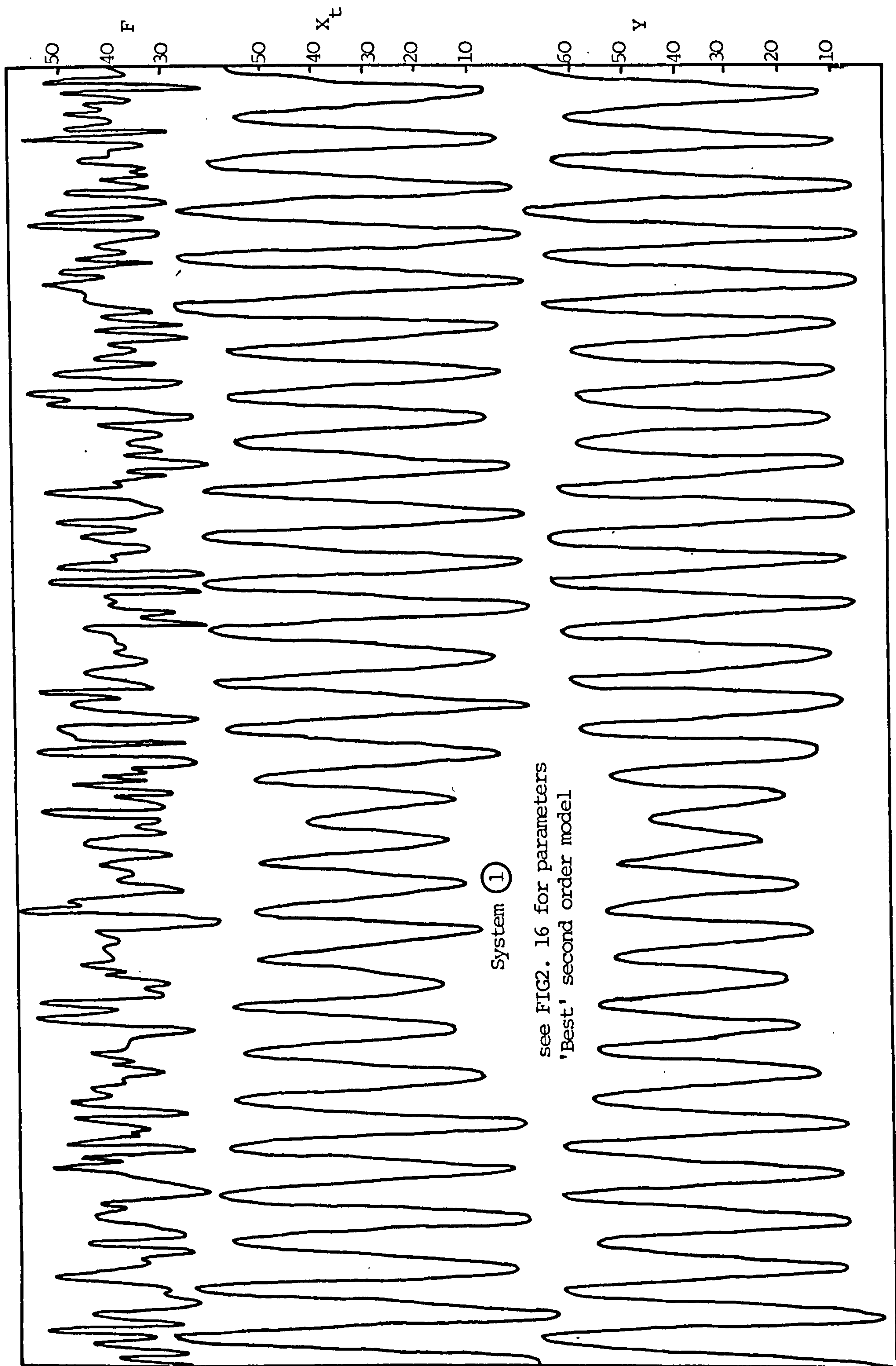
Simulated chatter suppression system



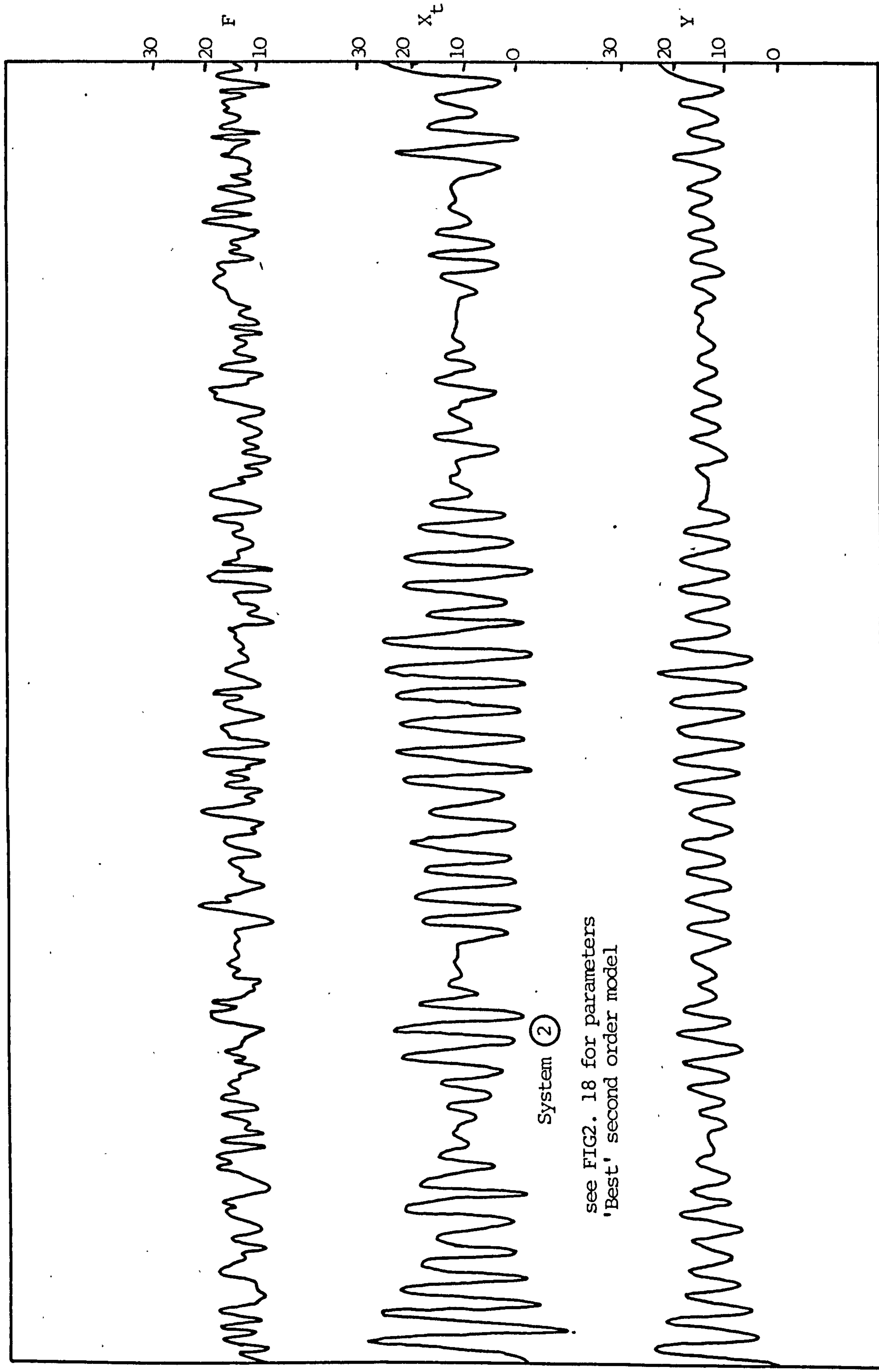
System (1)

see FIG2. 15 for parameters
'Best' second order model

Chatter suppression simulation - System (1) , 3 times normal stability limit



Chatter suppression simulation - System (1), 5 times normal stability limit



System (2)

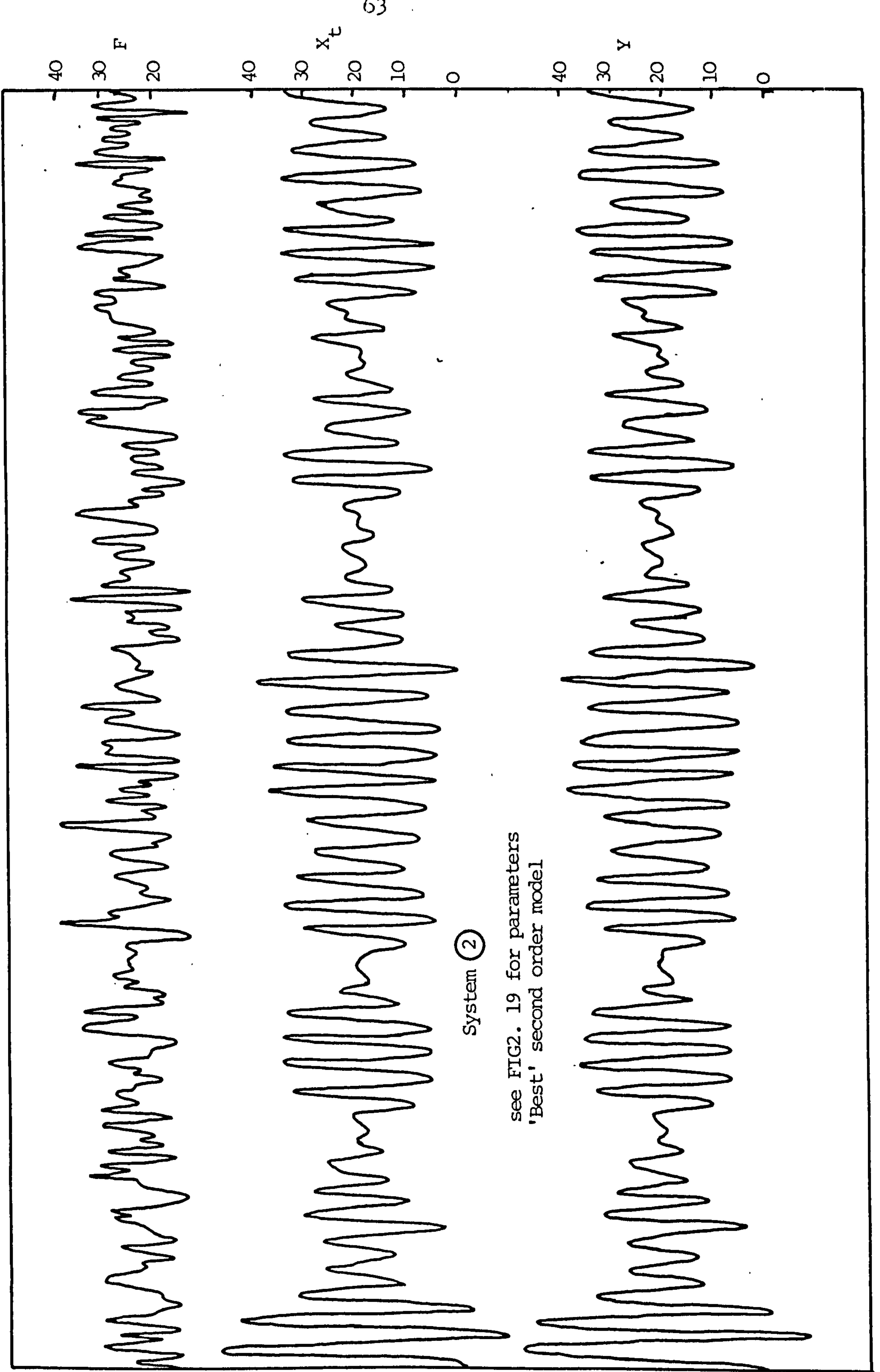
see FIG2. 18 for parameters
'Best' second order model

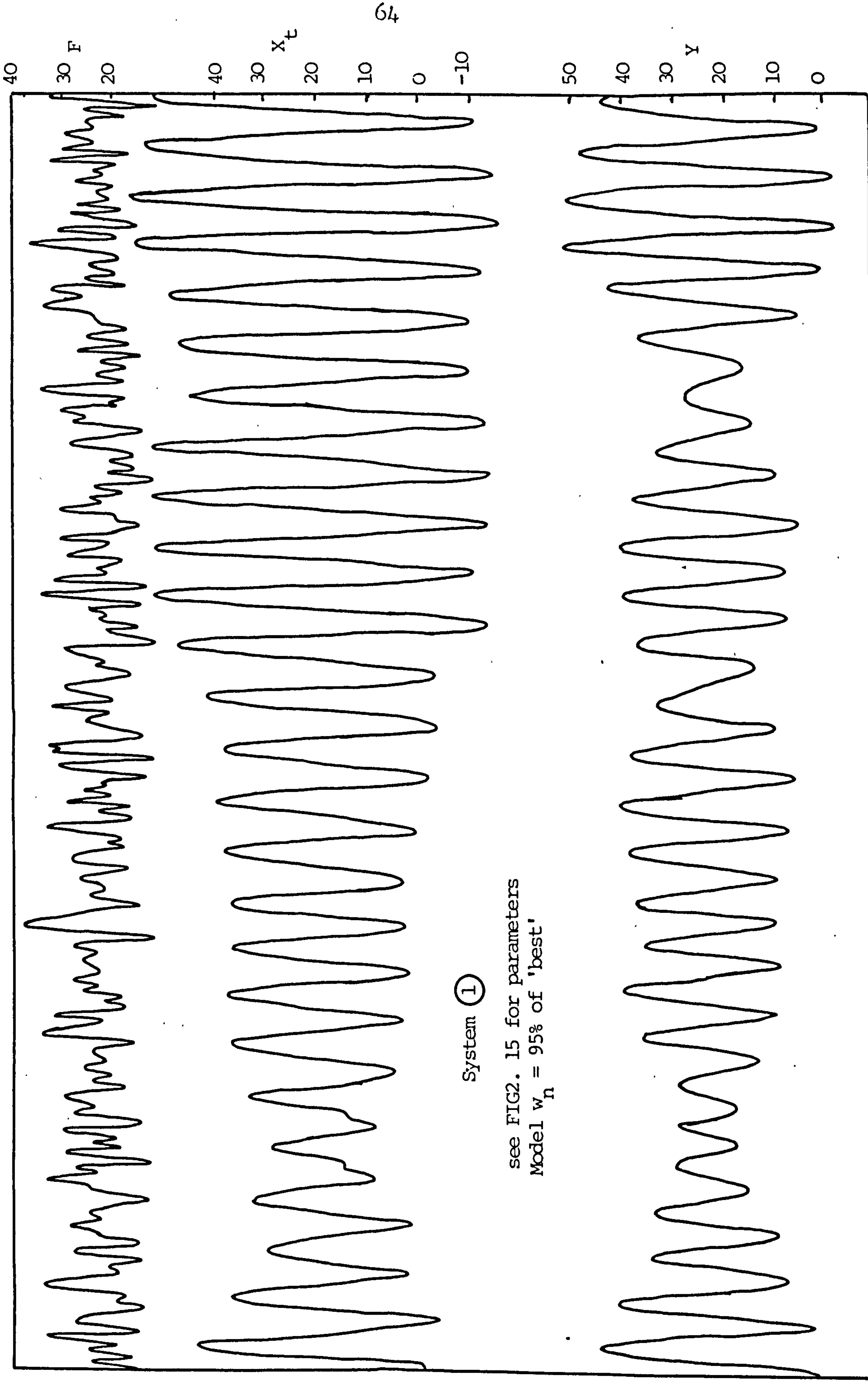
Chatter suppression simulation - System (2), 3 times normal stability limit

FIG3. 11.

Chatter suppression simulation - System (2) ,5 times normal stability limit

FIG3. 12.

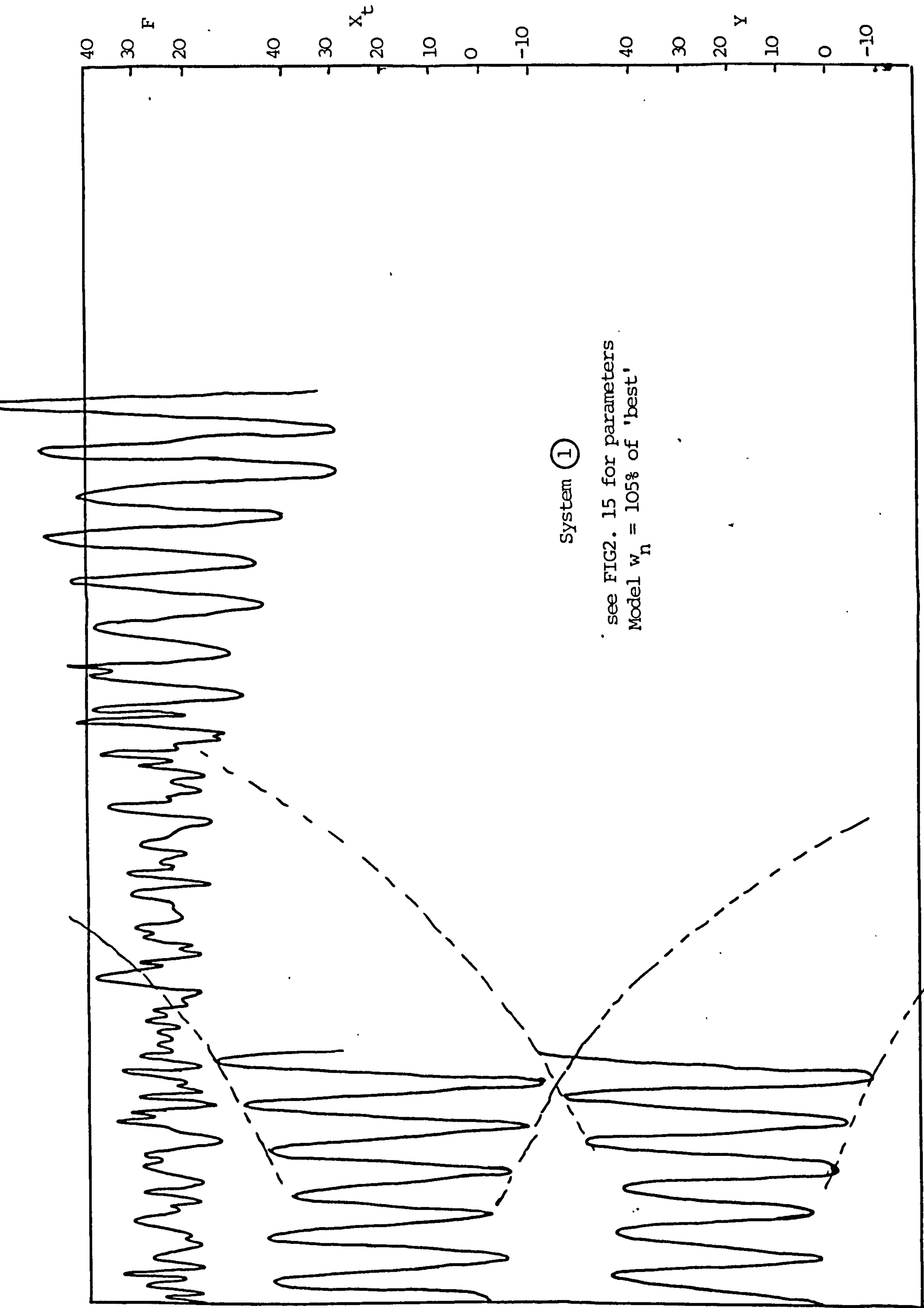




System (1)

see FIG2. 15 for parameters
Model $w_n = 95\%$ of 'best'

Chatter suppression simulation - System (1), mismatched model
FIG3. 13.



Chatter suppression simulation - System (1), mismatched model

4. INVESTIGATION OF MACHINE-WORKPIECE DYNAMICS.

4. 1. Introduction.

In the stability analysis it is assumed that both the machine and the workpiece dynamics can be approximated by second-order systems. It is then shown that the resulting machine-workpiece system also behaves like a second-order system.

The purpose of this investigation is to verify the assumptions about the machine and the workpiece dynamics, and to test the predicted behaviour of the machine-workpiece system. Two methods are used during the investigation:

- Impulse response testing
- On-line system identification.

4. 2. Impulse response testing.

It is not proposed to elaborate on the theory of the impulse response method as it is well known (8, 13, 14, 15). Both the machine and the workpiece are tested independantly as well as combinations of machine and workpiece. The vibration induced by the impulses is monitored and compared to a generalised second-order impulse response (see FIG4. 1). The effective damping factor and the natural frequency can be deduced from the response using the following equations:-

$$\zeta = \frac{[\log_e(M_1/M_2)]^2}{[2.\pi]^2 + [\log_e(M_1/M_2)]^2} \quad 4.1$$

$$w_n = \frac{2.\pi}{T. \sqrt{1 - \zeta^2}} \quad 4.2$$

Details of the tests performed are given in Chapter 6.

4. 3. On-line system identification.

Several system identification techniques are reviewed (18, 23, 24, 25, 28, 29), the one proposed by Kalman (18) finally being chosen. It has the following advantageous features:

- simple, on-line method
- easy implementation
- no special input signal required
- immediate availability of results
- small sampling period

The parameters of a model chosen to represent the system to be identified are estimated from measurements of the input and output to the system. In this case the model is chosen to be second-order. This can be represented in discrete form by the following linear difference equation:-

$$c_k = A_1 \cdot m_{k-1} + A_2 \cdot m_{k-2} - B_1 \cdot c_{k-1} - B_2 \cdot c_{k-2} \quad \text{--- 4.3}$$

where m_{k-n} is the input and c_{k-n} is the output at the $k-n$ th. sample. The A's and B's are the system parameters. It can be seen from this equation that the output at a given sampling instant can be calculated from a knowledge of the input and output at the previous two sampling instants, and the system parameters. If the actual values of the system parameters are not known they may be guessed, and used to predict an approximate output. This predicted value is then compared with the actual output and the error used to revise the previous estimates of the system parameters. In practice, the measurements of the system input and output are usually corrupted by noise and the method will give rise to incorrect estimates of the model parameters. So as to eliminate this effect, the prediction error can be calculated for many samples and the model parameters estimated using the mean squared error:-

$$E = \frac{1}{N} \sum_{k=1}^N \epsilon_k^2 = \sum_{k=1}^N (c_k^* - c_k)^2 \quad \text{4.4}$$

Where c_k^* and c_k are the predicted and measured outputs respectively. The predicted output can be calculated from equation 4.3 using past measured values of the input and the output. This leads to the following expression for the mean squared error:-

$$E = \frac{1}{N} \sum_{k=1}^N (A_1 \cdot m_{k-1} + A_2 \cdot m_{k-2} - B_1 \cdot c_{k-1} - B_2 \cdot c_{k-2} - c_k)^2 \quad \text{4.5}$$

This error term is then minimised with respect to the model parameters so that:-

$$\frac{\partial E}{\partial A_1} = \frac{\partial E}{\partial A_2} = \frac{\partial E}{\partial B_1} = \frac{\partial E}{\partial B_2} = 0 \quad \text{4.6}$$

The following four simultaneous equations are obtained from these expressions:-

$$\begin{bmatrix} \emptyset_{N-1}^{mm}(0) & \emptyset_{N-1}^{mm}(-1) & -\emptyset_{N-1}^{cm}(0) & -\emptyset_{N-2}^{cm}(1) \\ \emptyset_{N-1}^{mm}(-1) & \emptyset_{N-2}^{mm}(0) & -\emptyset_{N-1}^{cm}(-1) & -\emptyset_{N-2}^{cm}(0) \\ -\emptyset_{N-1}^{cm}(0) & -\emptyset_{N-1}^{cm}(-1) & \emptyset_{N-1}^{cc}(0) & \emptyset_{N-1}^{cc}(-1) \\ -\emptyset_{N-2}^{cm}(1) & -\emptyset_{N-2}^{cm}(0) & \emptyset_{N-1}^{cc}(-1) & \emptyset_{N-2}^{cc}(0) \end{bmatrix} \begin{bmatrix} A_1 \\ A_2 \\ B_1 \\ B_2 \end{bmatrix} = \begin{bmatrix} \emptyset_N^{cm}(-1) \\ \emptyset_N^{cm}(-2) \\ -\emptyset_N^{cc}(-1) \\ -\emptyset_N^{cc}(-2) \end{bmatrix} \quad \text{4.7}$$

The ϕ 's are pseudo-correlation functions defined by:-

$$\phi_{N-r}^{cc}(r-s) = \sum_{k=1}^N c_{N-r} \cdot c_{N-s} \quad \text{4.7a}$$

$$\phi_{N-r}^{cm}(r-s) = \sum_{k=1}^N c_{N-r} \cdot m_{N-s} \quad \text{4.7b}$$

$$\phi_{N-r}^{mm}(r-s) = \sum_{k=1}^N m_{N-r} \cdot m_{N-s} \quad \text{4.7c}$$

Gaussian Elimination(42) is used as a convenient method of solving these equations.

The parameters obtained from this estimation technique can be converted into the more familiar system parameters:

- natural frequency (w_n)
- damping factor (ζ)
- gain (K)

using the following transformations:-

$$w_n \cdot \zeta = \frac{1}{2T} \cdot \log_e \left[\frac{1}{B_2} \right] \quad \text{4.8}$$

$$w_n \sqrt{1 - \zeta^2} = \frac{1}{T} \cdot \cos^{-1} \left[\frac{-B_1 \cdot e^{w_n \cdot \zeta \cdot T}}{2} \right] \quad \text{4.9}$$

$$K = \frac{A_1 \cdot w_n \cdot \sqrt{1 - \zeta^2} \cdot e^{w_n \cdot \zeta \cdot T}}{\sin^{-1} [T \cdot w_n \cdot \sqrt{1 - \zeta^2}]} \quad \text{4.10}$$

The natural frequency (w_n) and damping factor (ζ) can be calculated by solving equations 4.8 and 4.9 simultaneously. It is noted that the constant A_2 is theoretically zero if the model is an exact representation of the system being identified.

The identification method is implemented as an on-line computer programme. The inputs are digital representations of the cutting force and workpiece displacement (see next chapter). The output being the best estimates of the model parameters (A's and B's)

This identification programme is used mainly to test the dynamic characteristic of the combined machine-workpiece systems under actual cutting conditions. The force generated by the cutting process is the input to the system and the workpiece centreline displacement is the output. In order to identify the overall dynamics of the machine-workpiece systems (that used in the chatter and suppression analyses) the displacement should be measured relative to the cutting point (tool tip). However, because of the instrumentation limitations discussed in section 5.2, the lathe bed is used as the reference point. The errors due to this approximation are expected to be small as the structure between the tool and the lathe bed can be considered to be rigid. Details of the tests are given in Chapter 7.

4. 4. Discussion of the investigation methods.

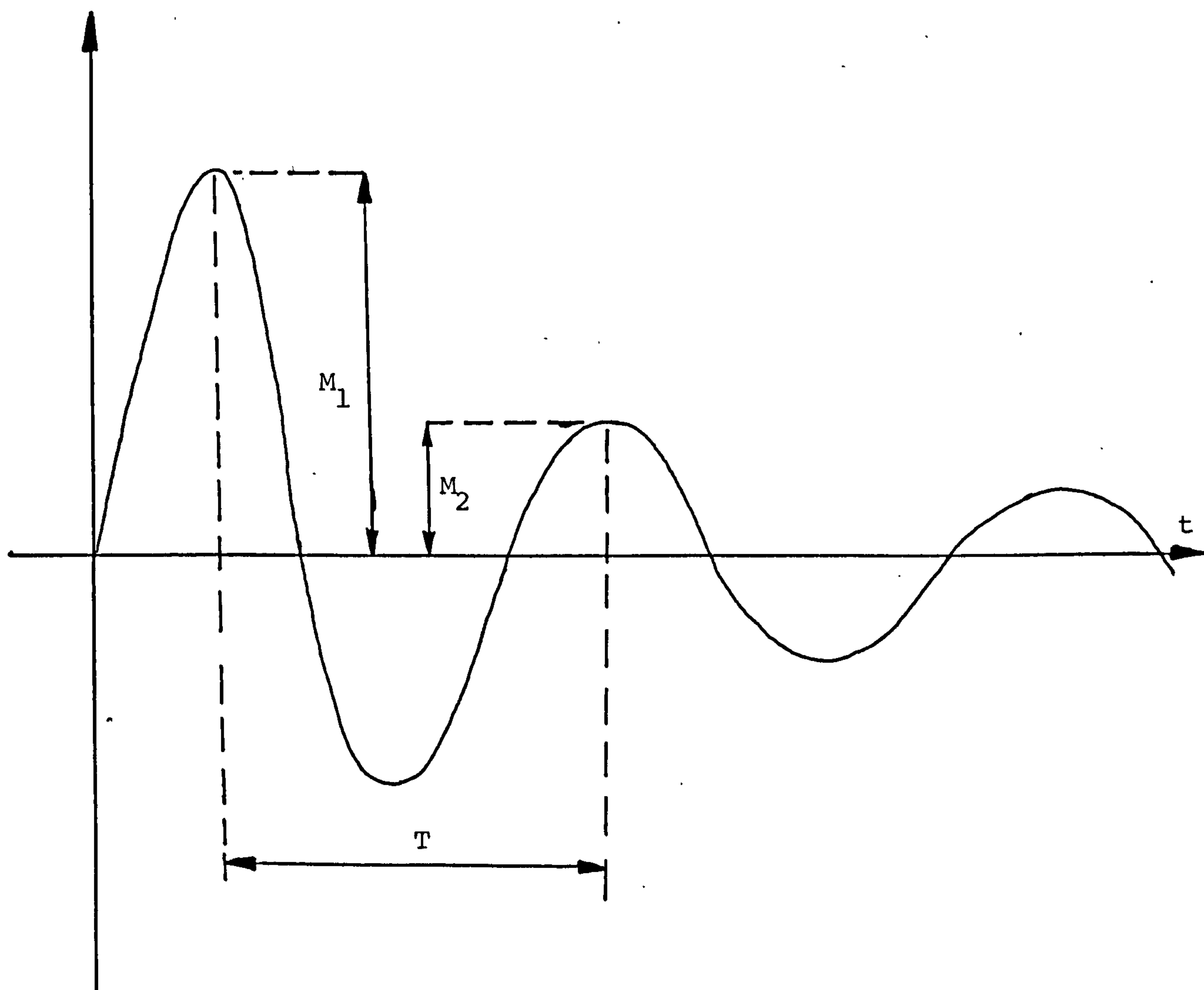
The impulse response method is an unsophisticated, but proven method of investigating system behaviour. It is a relatively simple task to monitor the response of the system to the impulse and deduce the nature of the system from this response.

The parameter estimation technique, although fairly well established, has never been used in this demanding application. It is hoped to show that sensible results are obtained when the system is represented by a second-order model.

Results of both the methods are compared and used to validate, or otherwise, the assumptions made in stability analysis.

Kalman's method has been shown to give erroneous results if the input signals have a low signal-to-noise ratio. It is expected that this will not be the case in this application. However, if

suspect results are obtained, several methods are available (19, 20, 21, 22, 23), by which this basic technique may be improved.



Typical second-order impulse response

5. EXPERIMENTAL RIG.

5. 1. Introduction.

The experimental rig is designed in order to investigate the machine-workpiece structure and the proposed chatter suppression method. It is based on a Colchester Triumph 2000 fixed bed turning lathe and features a tool positioner specially designed for the chatter suppression tests. A Data General Nova 820 minicomputer with analogue-to-digital conversion equipment is used to perform the machine-workpiece modelling and controller functions. A customised interface is used to convert the computer generated control pulses into a form suitable for driving the tool positioner. Instrumentation was designed to enable measurement of the cutting force and workpiece displacement.

Descriptions of the individual pieces of apparatus used in the rig is presented in three parts. The first part deals with the instrumentation for the rig, the second the computer system, and the third the chatter controller.

Wherever possible 'off-the-shelf' equipment is used in the rig, however some of the more specialised electronic apparatus is designed and built by the author with considerable cost savings. The tool positioner is designed by the author.

5. 2. Instrumentation.

The instrumentation system is required to measure the cutting force and the workpiece displacement. This is performed in several distinct stages:

- transducers convert the physical variables into electronic signals.
- these signals are converted into proportional voltage signals.
- the voltage signals are filtered to prevent errors during sampling.

-- the filtered voltages are sampled and digitised into a form suitable for input to the computer.

A diagram of the overall instrumentation system is given in FIG5. 1.

5. 2. 1. Workpiece displacement measurement.

The workpiece displacement is measured as part of the tests to identify the machine-workpiece structure. Ideally, it is the displacement of the workpiece centreline, relative to the cutting tool tip, that is required if the overall machine-workpiece structure is to be identified. This cannot be measured directly, however, it can be estimated by monitoring the workpiece surface.

This displacement is typically of the order of 1-5 thousands of an inch varying at frequencies up to 300Hz, and the surface to be monitored is moving at speeds up to 10 ft/sec. These requirements necessitate a high sensitivity, no-contact transducer, an obvious choice being an inductive type. The transducers used are Southern Instruments G. 324B with type M. 1822 oscillator and TE7 FM pre-amplifier. A detailed description and specification of this system are given in reference 43. The output is a DC voltage proportional to the displacement. A diagram of the overall system is shown in FIG5. 2. /i

If the transducers are mounted near the cutting point they are subject to an environment of high localised temperatures, cutting fluid and swarf. This would lead to a noisy signal, with doubtful accuracy and reliability. /d

In order to provide an environment suitable for the inductive transducers, they are mounted on a support which is clamped to the lathe bed, and monitor the surface of a reference disk attached to the workpiece. This introduces a small measurement error due to the shift of the reference point. However, this does not significantly effect the accuracy with the machine-workpiece structure is identified. /a

The reference disk is made from aluminium and is rigidly attached to a mild-steel test workpiece. Two transducers are mounted on a mild-steel support so they measure the displacements of the disk

in directions at 45° to the vertical through the workpiece centreline. A schematic diagram of this arrangement is shown in FIG5. 4. This arrangement is chosen to facilitate rigid mounting of the transducers. Tangential and radial adjustment of the transducers enables initial set-up and calibration. PLATE5. 1. shows the transducer mounting and the overall displacement measurement system. To ensure the disk is accurately centred it is trued 'in-situ' prior to the tests.

The measured displacements are used to calculate the horizontal and vertical values using the following equations:-

$$x = \frac{v - u}{\sqrt{2}} \quad \text{5.1}$$

$$y = \frac{v + u}{\sqrt{2}} \quad \text{5.2}$$

These equations do not take into account the coupling between movement of the disk in one direction and the measured displacement in the other which is due to the circular nature of the disk. However, the error introduced in this case is negligible as the diameter of the disk is large compared to the displacement.

The horizontal displacement is used primarily in the identification tests. A displacement in this direction has a much larger effect on chatter instability as it causes a radial relative movement between the tool and the workpiece. The vertical displacement is measured to provide information regarding the machine-workpiece dynamics.

5. 2. 2. Cutting force measurement.

The cutting force is monitored during both the identification and chatter controller tests. These tests may have duration of between approximately 1 and 100 seconds, the force varying at frequencies up to 500Hz with amplitudes between zero and 200 lbf. It is required to

measure this force in both the vertical and horizontal directions and to generate DC voltage signals proportional to these force components. A system based on piezo-electric load cells is used to perform this function with charge amplifiers to convert the electrostatic charges generated by the load cells into proportional DC voltages. A schematic diagram of this arrangement is shown in FIG5. 3. The load cells are KISTLER type 9321 (washers) and the charge amplifiers are KISTLER type 5001. Detailed descriptions and specifications for these components are given in reference 44.

So that the cells operate within their linear region under all cutting conditions, they are preloaded. In the case of the vertical direction, the cell is sandwiched between the tool positioner baseplate and the lathe saddle. A screw jack arrangement is expanded to generate the required pre-load. The overall arrangement is shown in FIG5. 5. and PLATE5. 4. The horizontal force measurement is implemented as part of the tool-positioner. Details of the clamping arrangement are shown in FIG5. 6., the design of the tool positioner is discussed later in this chapter. The cell is clamped between the tool holder/slider and the piston in which the driven ball-screw is mounted. The required pre-load is generated by tightening bolts which clamp the piston to the holder/slider. The overall arrangement can be seen in PLATE5. 5.

5. 2. 3. Signal filtering.

Because the instrumentation system involves a sampling process, it is necessary to filter the analogue signals prior to sampling. This prevents the introduction of 'aliasing' errors (9, 13) which can result because of the discontinuous nature of the sampling process. These errors are caused by signal frequency components higher than the Nyquist frequency (half the sampling frequency). In order to remove these components each signal is passed through a low-pass filter with the cut-off frequency equal to the Nyquist frequency.

The filters used for this application are a 2-pole active

type, model UAF31 by Burr-Brown. These can be tailored to the required cut-off frequency and Q-factor (damping) by the addition of external resistors. A detailed description and specification for this device is given in reference 45. One filter is used for each signal, the resistors being adjusted so they all have similar characteristics.

Throughout the tests, sampling frequencies of either 1, 2 and 4 KHz are used, requiring filters with cut-off points of $\frac{1}{2}$, 1 and 2 KHz respectively. The filter characteristics found most suitable for this application are obtained with a Q-factor of 2. It is required to filter up to a maximum of three signals.

The circuit diagram for the filter unit (three channels) is given in FIG5. 9. Values of the external resistors are given for cut-off frequencies of $\frac{1}{2}$, 1 and 2 KHz, and a Q-factor of 2.

5. 2. 4. Sample-and-Hold device.

During the experimental tests it is required to sample up to three signals simultaneously. This cannot be performed directly by the computer because of the serial nature of its input facilities. This would result in errors due to staggering of the sampling instant (see FIG5. 8). To overcome this problem a device is needed to simultaneously sample the signals and hold the sampled values until digitized and input by the computer. The operation of such a device is shown in FIG5. 9.

Control pulses from the computer trigger the 'sample' and 'hold' modes at the required times. In the 'sample' mode the signals are unaffected by the device. As the device changes to the 'hold' mode, the value of the signals at that instant are stored. The stored values of the simultaneous samples are then digitized and input to the computer.

The circuit diagram for the device is shown in FIG5. 10, the main elements being three sample-and-hold amplifiers, model SHC85 by Burr-Brown. A detailed design and performance specification is given in reference 46. The remainder of the circuit converts the control pulses from the computer into voltage levels to drive the 'sample' and 'hold' modes of the amplifiers.

The amplifiers must be switched to the 'hold' mode at least

5μsecs before the held values can be input to the digitizer. This allows time for the amplifiers to settle to the correct values. If the 'hold' mode is selected for more than 1msec, long term drift may occur resulting in voltages of up to 15 volts appearing at the amplifier output. As this could damage the digitizer, it is prevented by switching to the 'sample' mode immediately all the held values have been digitized.

The computer instructions to select the 'sample' mode for the device are :

```
SUBZL  n, n
DOA    n, 76
```

where 'n' is a number 0 to 3.

These have the effect of setting DATA15 = '1', and output this data bit to device 76 (the sample-and-hold device).

The computer instructions to select the 'hold' mode for the device are :

```
SUB    n, n
DOA    n, 76
```

where 'n' is a number 0 to 3.

These set DATA15 = '0' and output this data bit to the device.

The sample-and-hold device and filters are constructed as a single unit (see PLATE5. 2). This provides a compact processing unit to handle up to three signals.

5. 2. 5. Analogue-to-digital converter.

To complete the instrumentation chain, the sampled values must be converted into digital form. This operation is performed by an analogue-to-digital converter which, in this case, is an integral part of the computer system. It can handle up to eight different sampled signals (one at a time) and convert these into binary code. The input signals must be in the range -5 volts to +5 volts, generating

proportional binary numbers in the range -512 to +511, represented in the two's complement convention. Voltages between levels are rounded to the nearest binary number, thus the conversion error is $\pm \frac{1}{2}$ the difference between the levels. A detailed specification and operation guide can be found in reference 47.

The computer instructions required to drive the converter are as follows :

```
LDA  n, CHAN
DOAS n, ADCV
SKPDN  ADCV
JMP    .-1
DICC n, ADCV
```

where 'n' is a number 0 to 3, CHAN = channel number 0 to 8.

These select the channel number of the signal to be converted, start the conversion, wait for it to be completed, and then input the digital value to the computer memory.

The time for one conversion is approximately 20 μ secs, 10 μ sec conversion time and 10 μ secs to execute the associated computer instructions.

The analogue-to-digital converter is shown in PLATES 5. 6 and 5. 7.

5. 3. The Data General NOVA 820 minicomputer.

It is not within the scope of this thesis to describe in detail the operation of the computer system. All relevant information can be obtained from reference 47. /e/a

Basically it is a general-purpose 16-bit machine with four working accumulators. The configuration used throughout this research project consists of 8 k words of core memory, teletype, high speed paper tape reader, and analogue-to-digital converter.

All the programmes are written in the assembler language designed for this machine. Two main programmes are used during the tests, one to perform the on-line system identification, the other the chatter control function. Another programme is used to enable the chatter control system to be driven as a CNC machining device.

This is discussed in greater detail in the next chapter. Listings of all the programmes are given in Appendix B.

An overall view of the computer system is shown in PLATES 5. 6 and 5. 7. The high speed paper tape reader is used to input programmes to the computer and the teletype to input numeric data and output results. The analogue-to-digital converter is used to input real-time analogue data (see previous section).

5. 4. The chatter suppresser.

The basic chatter suppression method is outlined in block diagram form in FIG1. 5. Both the model and controller are implemented as programmes executed by a digital computer. A stepping motor is used as the driver for the tool positioner as it is easily interfaced to the computer. It also offers the advantage that a high precision positioner is obtained without the need for feedback.

The sampled and digitized cutting force signal is input to the model, from which the relative tool-workpiece displacement is predicted. This is then used to generate a control signal to drive the tool positioner. Flowcharts for the overall computer programme are given in Appendix A and listings in Appendix B.

Details of the tool positioner are presented in two parts :

- the computer interface
- the tool holder and drive mechanism

The interface unit converts the control signals generated by the computer into pulses to drive the stepping motor. A lead screw converts the rotary motion of the motor into the required linear tool motion. The tool holder is constrained to move in the direction of the screw axis.

The overall tool positioner is designed to provide linear displacements up to a maximum about 0.1 of an inch in steps of either $\frac{1}{3}$ or $\frac{2}{3}$ of a thou. Response times of 10.msecs per step or better, are achieved.

5. 4. 1. The computer interface.

Signals output by the computer are typically short duration,

low voltage pulses (see reference 47). These must be converted into voltage levels capable of switching transistors which control the stepping motor drive board. There are three inputs to the drive board :

- Mode
- Direction
- Step pulse

The motor executes 200 steps /rev if the mode input is grounded, or 400 steps /rev if it is open circuit. Forward or reverse motion is obtained when the direction input is grounded or open circuit respectively. One step is moved by the motor everytime the step pulse input goes from ground to open circuit. A more detailed description of the drive board and its inputs is given in references 11 and 48.

The circuit diagram of the interface unit is given in FIG5. 11. It shows how the pulses from the computer are used to set flip-flops, whose outputs control open-collector transistor switches which are connected to the drive board inputs.

The computer instructions which generate the pulses to drive the interface and cause the motor to move one step are as follows :

```
LDA  n, CONTROL
DOBS n, 76
LDA  n, SPEED
STA  n, CNT
DSZ  CNT
JMP  .-1
NIOC 76
LDA  n, SPEED
STA  n, CNT
DSZ  CNT
JMP  .-1
```

Where 'n' is the number of the computer accumulator to be used, 0 to 3. The direction and step size (mode) are determined by 'CONTROL' which has the following legal formats.

040000 --- forward, 200 steps /rev.
 140000 --- reverse, 200 steps /rev.
 000000 --- forward, 400 steps /rev.
 100000 --- reverse, 400 steps /rev.

The step occurs when the instruction 'NIOC 76' is executed by the computer. Several steps are produced by going round the instruction loop the required number of times. The speed at which the motor steps is controlled by the count 'SPEED'. This is usually calculated by the programme, prior to step output, by the relationship :-

$$\text{SPEED} = \frac{\text{TIMER}}{2 \times \text{No. of steps}} \quad 5.3$$

Where 'TIMER' is the maximum count that can be performed by the computer between two consecutive sets of pulses (sample period). Care must be taken with the value of 'SPEED' so as to prevent the step pulse rate exceeding the motor maximum speed.

Construction details of the interface and drive unit can be seen in PLATE5. 3.

5. 4. 2. The tool positioner.

As mentioned in the previous section, the stepping motor generates either a 200 step /rev or a 400 step /rev rotary motion. This is converted into linear motion by means of a precision lead screw and re-circulating ball-nut. The ball-nut is rigidly attached to the tool holder which is supported by two linear re-circulating ball bearings. These run on two hardened steel shafts.

The basic design of the tool positioner and the ball-nut mounting are shown in FIG5. 12, FIG5. 6 and PLATE5. 5. This arrangement is used as it provides :

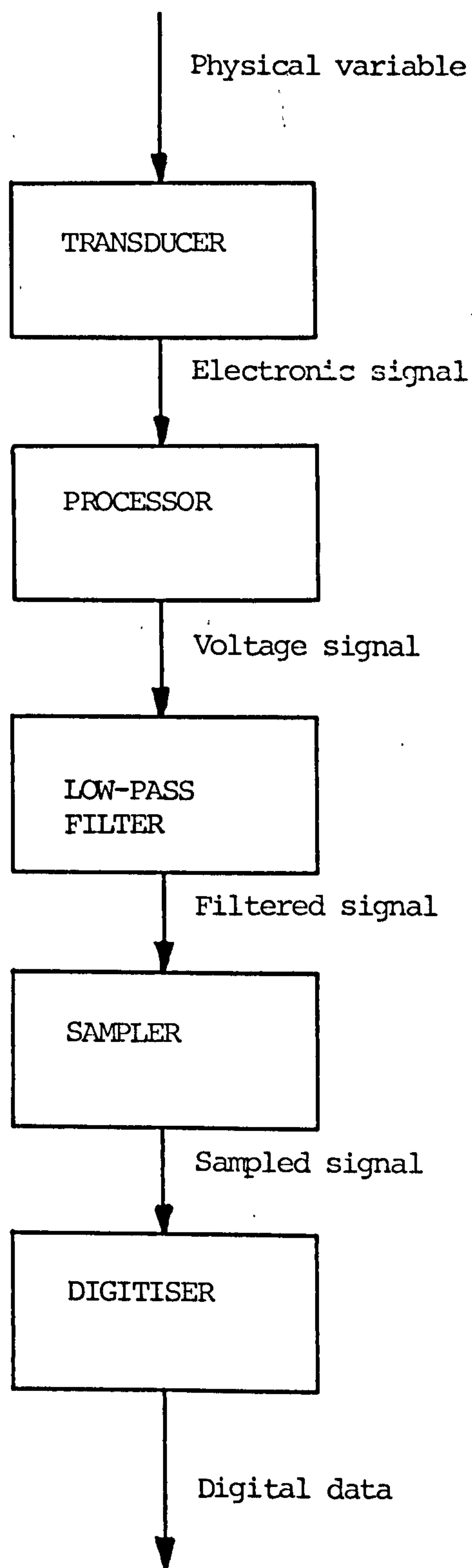
- a compact, robust unit.
- high rigidity in directions perpendicular to the axis of movement.

- easy mounting on to the lathe saddle (see PLATE5. 6, PLATE5. 7 and PLATE5. 8.).
- simple maintenance and assembly.

The lead screw and ball-nut have a pitch of 0.125 inches, giving linear step sizes 0.33 and 0.67 of a thou when combined with the stepping motor. A detailed specification for the stepping motor (SIGMA model 20-3437-D200) is given in reference 11, and for the ball-nut/screw in reference 49. The linear bearings run on 0.375 inch diameter silver steel shafts, each providing a 1 inch bearing surface. Positive linear location of the lead screw is ensured by a deep groove ball bearing mounted between the motor shaft and the screw.

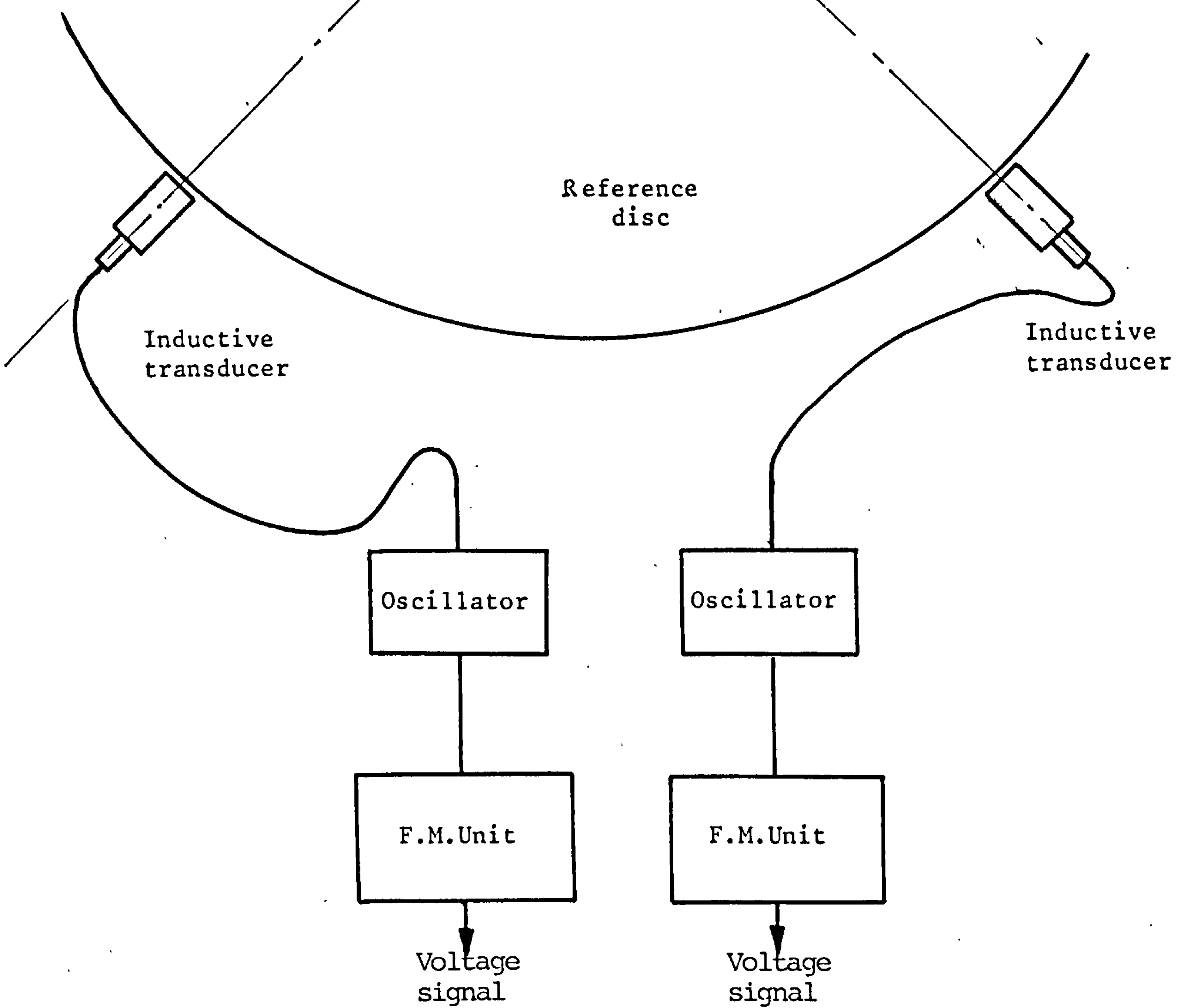
The mass of the tool holder is minimised so as to obtain the best dynamic response for the tool positioner. It is found (see section 8. 3. 1.) that the minimum response time for the positioner is 10 msec/step.

The tool positioner described in this section is a prototype. Considerable development is required to improve the dynamic response of the system. This can be achieved by several methods, as described in references 10 and 11, which can be used to increase power input to the stepping motor. Also, current developments in stepping motor design are resulting in much faster devices.



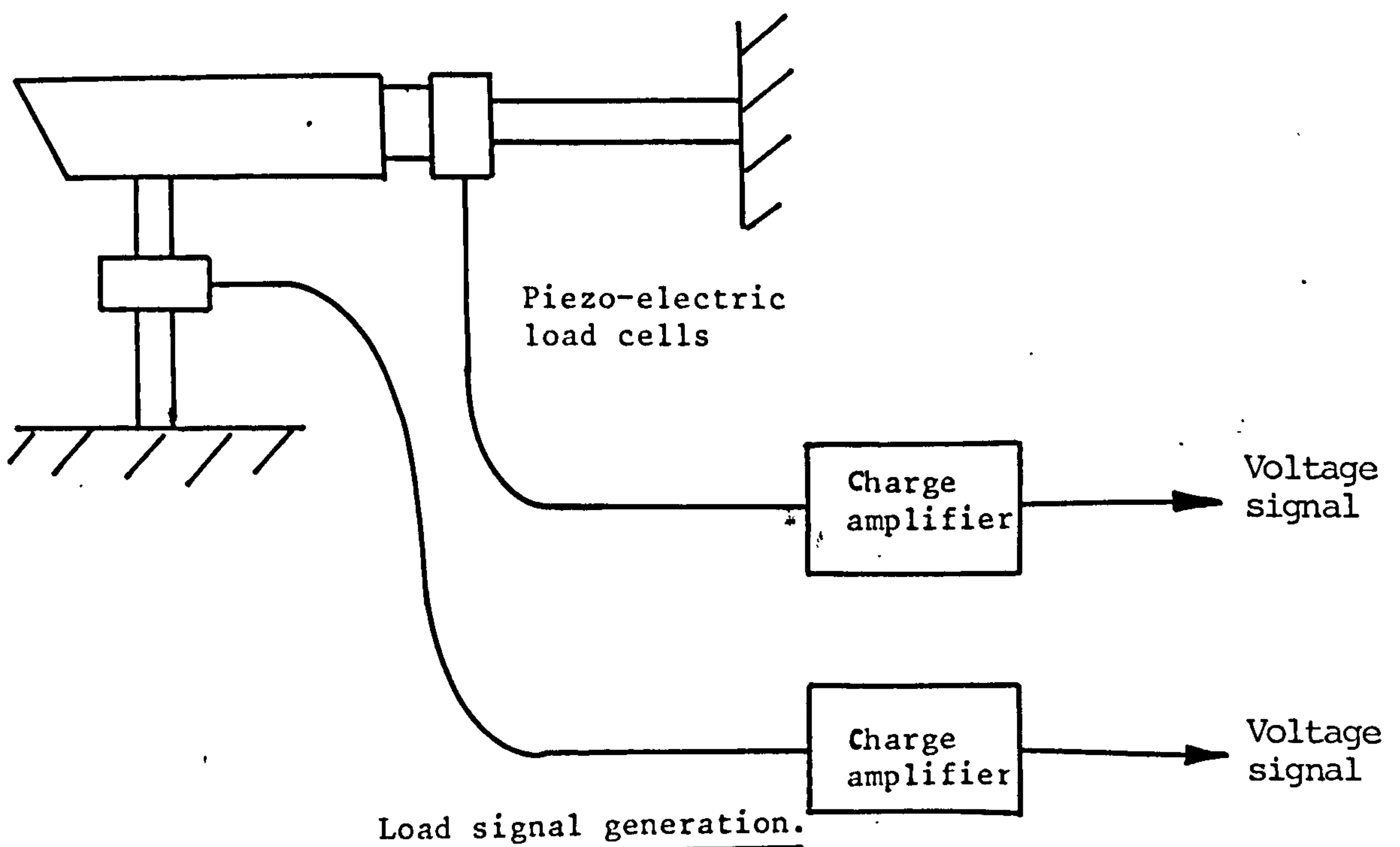
Overview of instrumentation system

FIG5. 1.



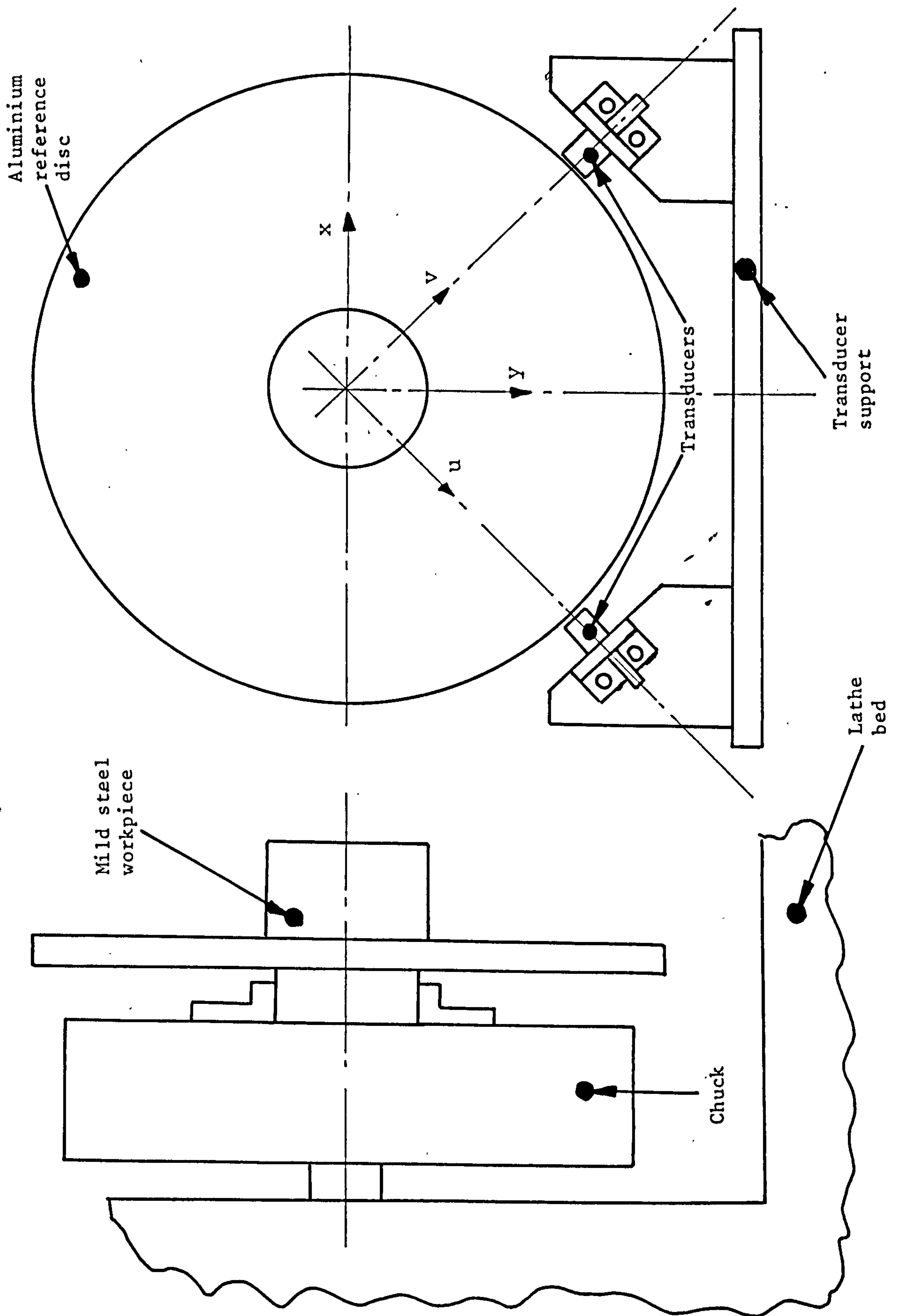
Chuck displacement signal generation.

FIG5. 2.

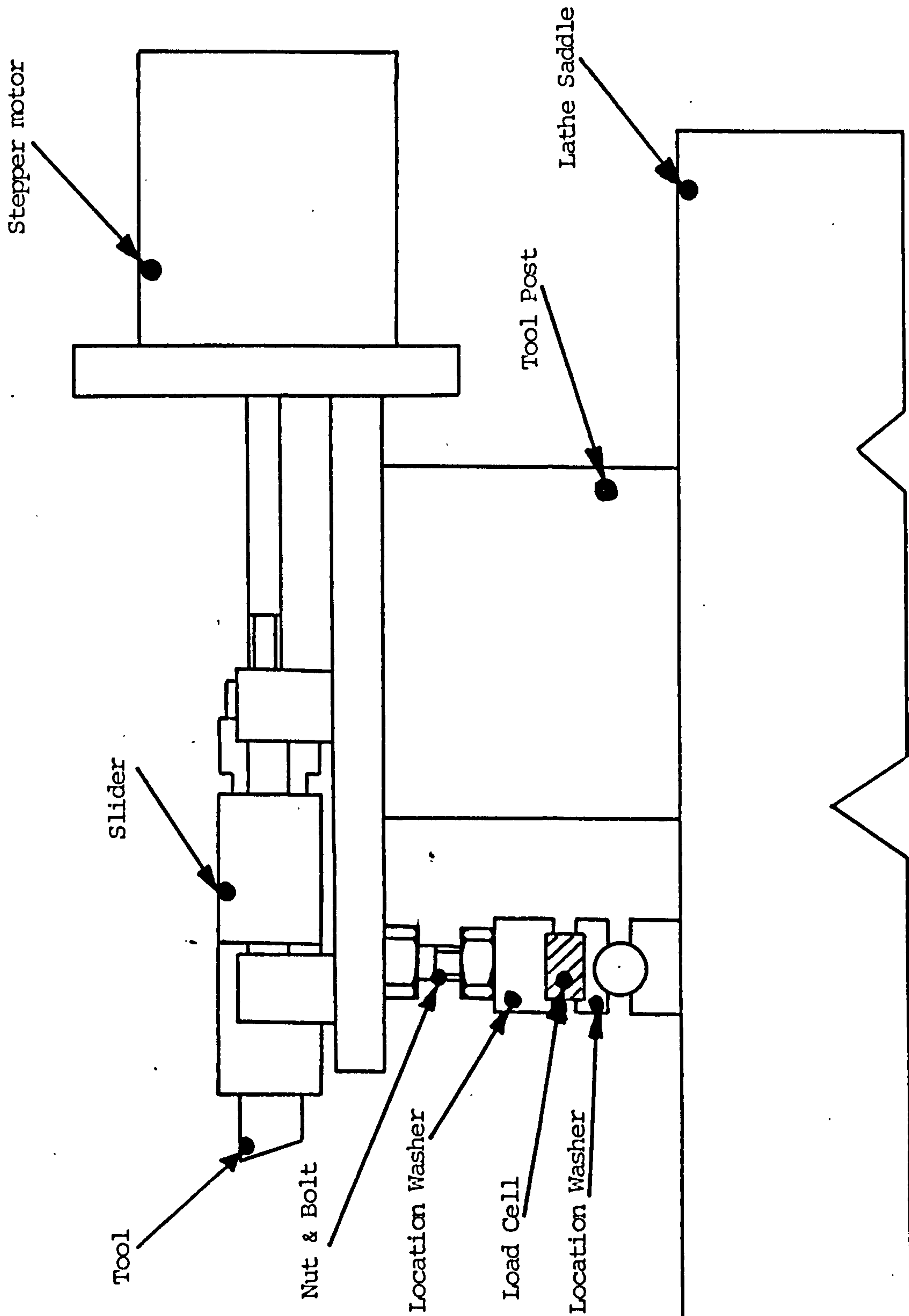


Load signal generation.

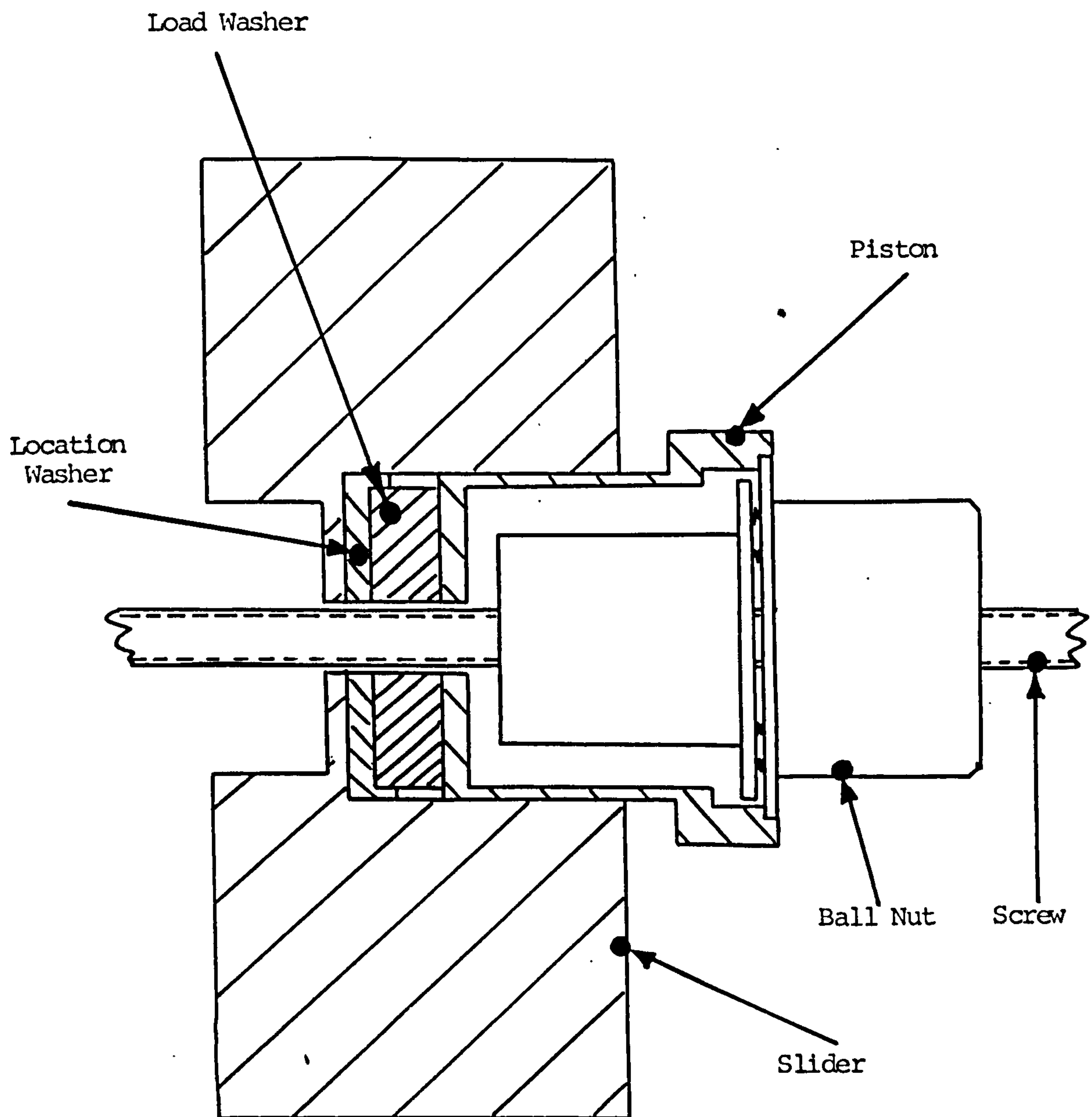
FIG5. 3.



Measurement of chuck centreline displacement.

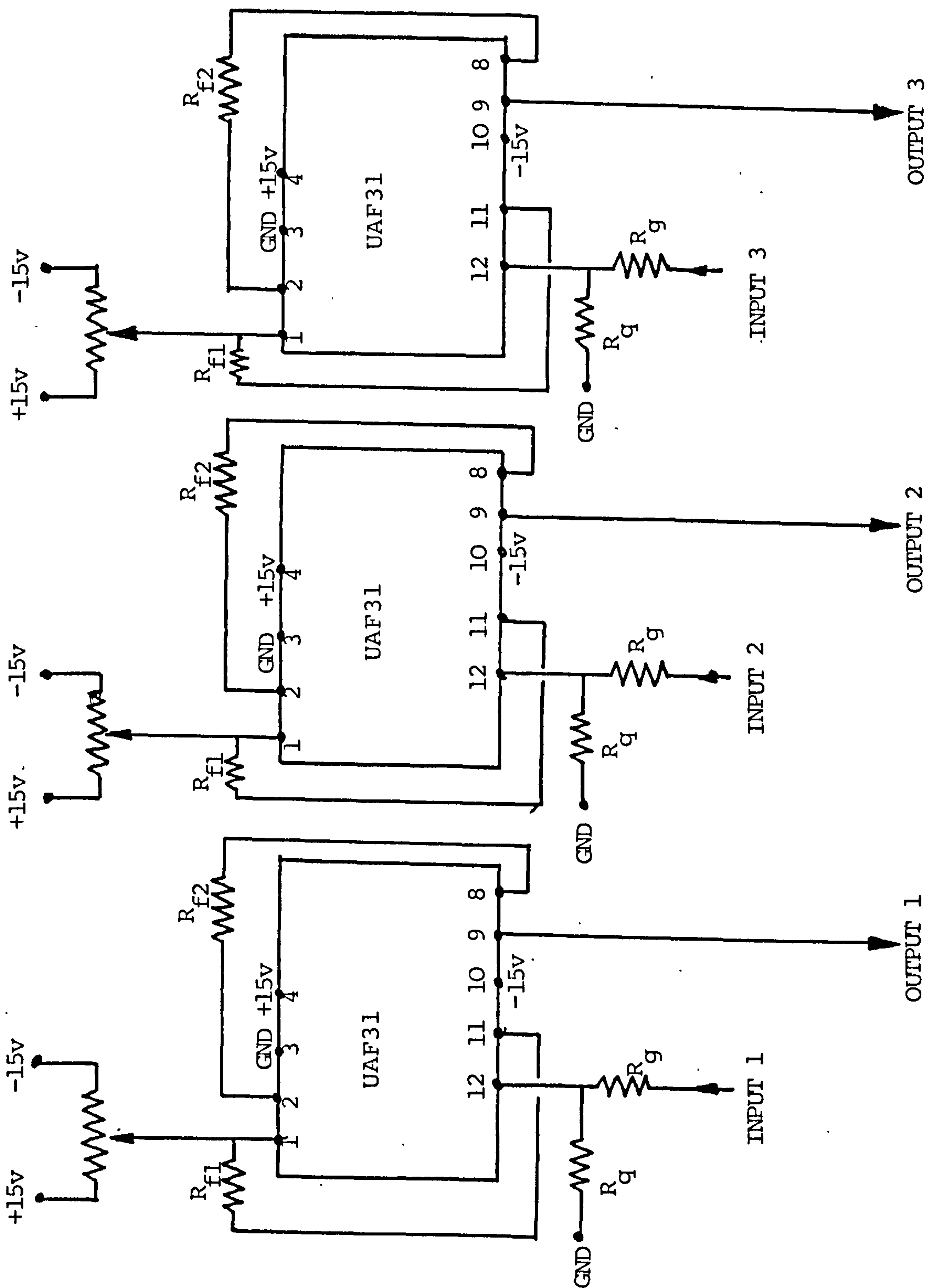


Schematic arrangement of vertical load cell.



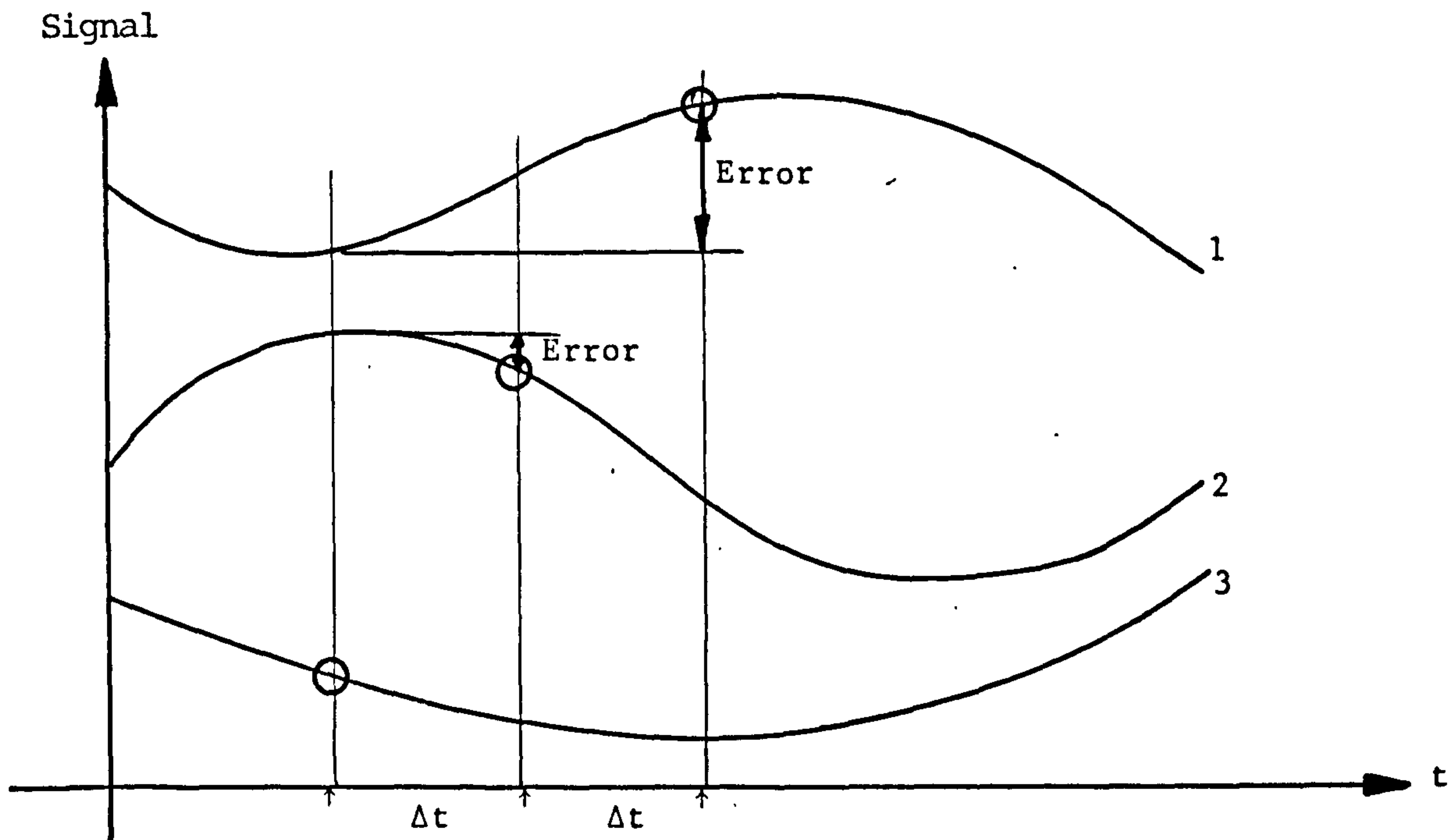
Schematic arrangement of horizontal load washer.

FIG5. 6.



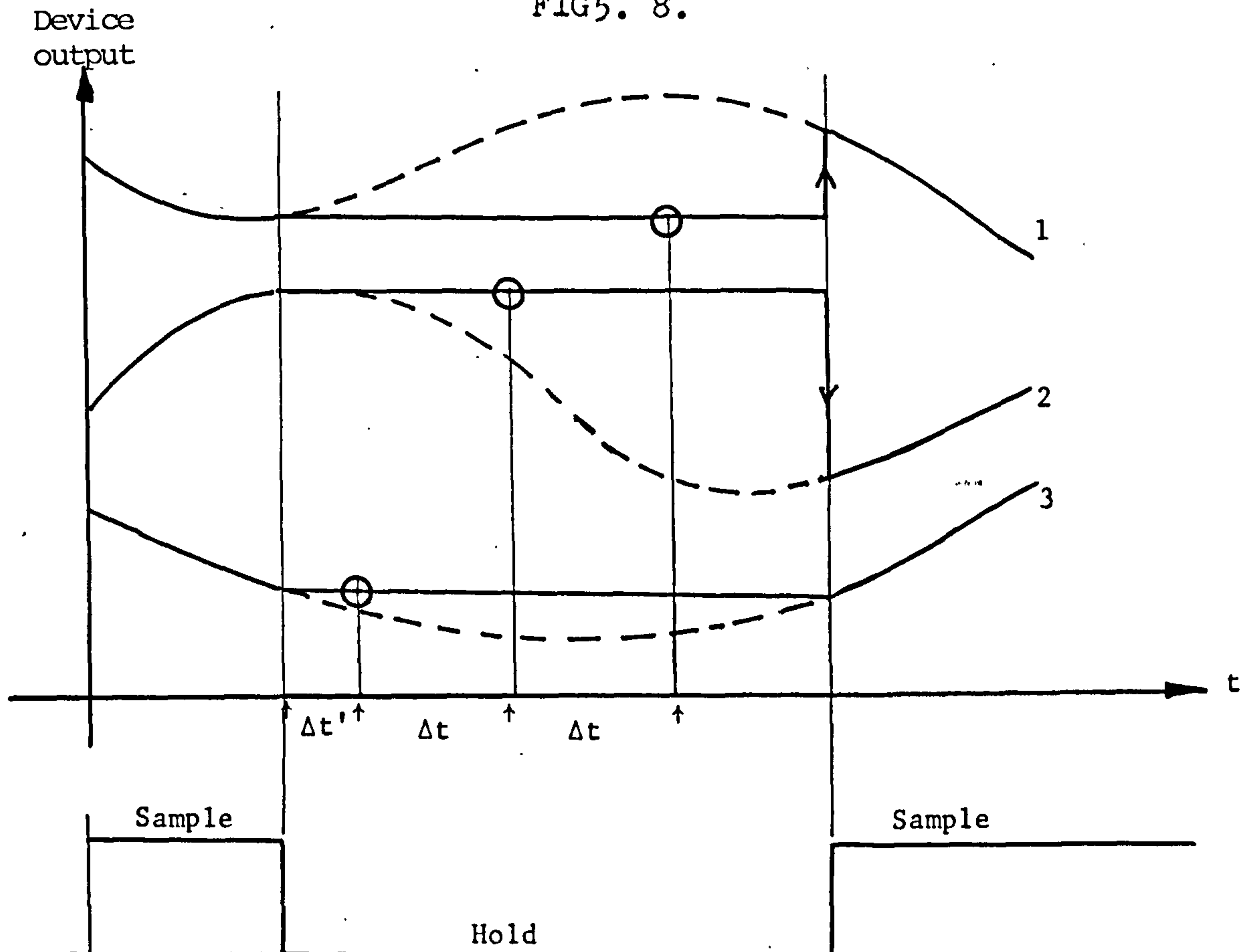
Circuit diagram for three channel filter

FIG5. 7.



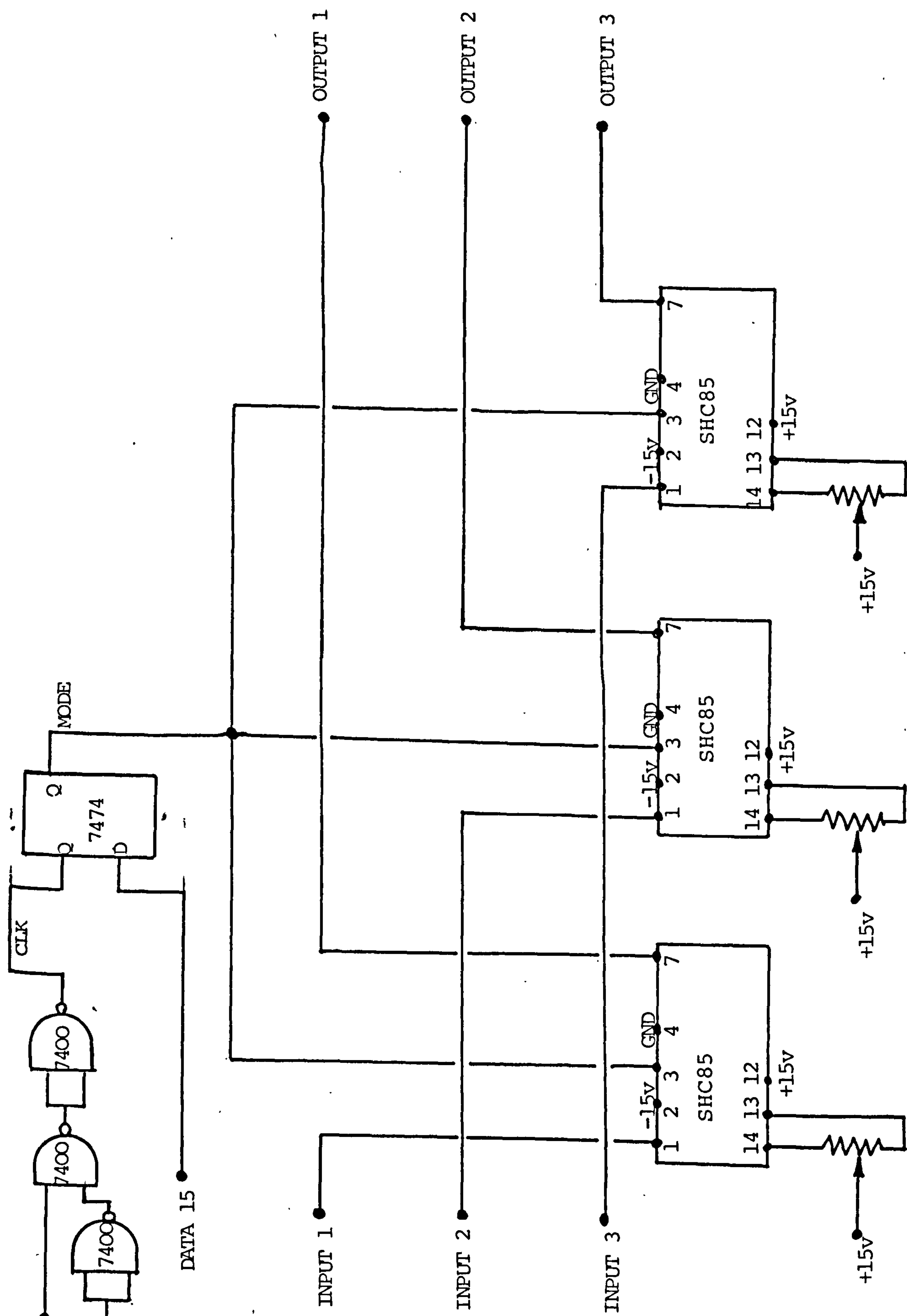
Errors due to serial sampling.

FIG5. 8.



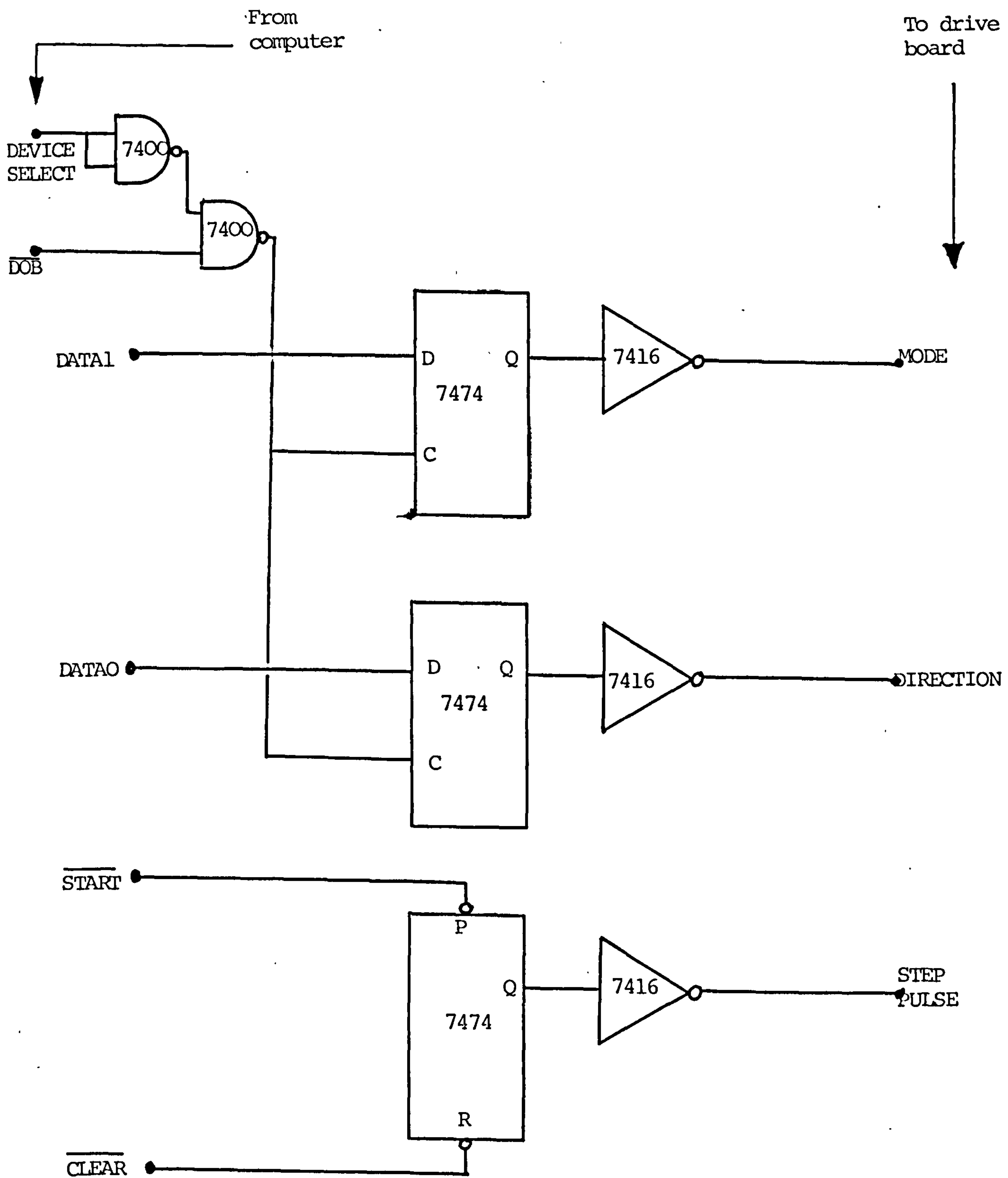
Effects of sample/hold devices.

FIG5. 9.

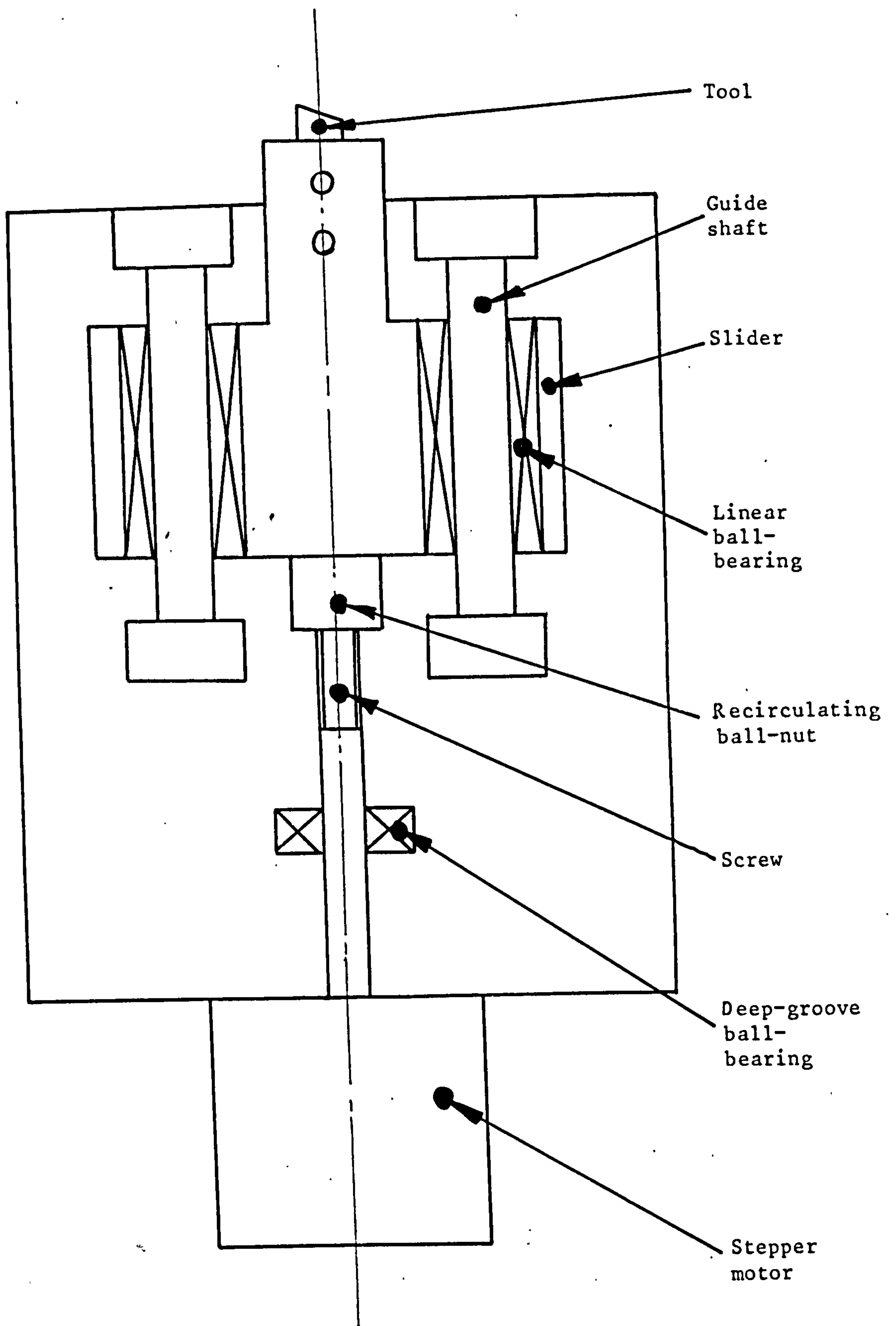


Circuit design for three channel sample/hold device

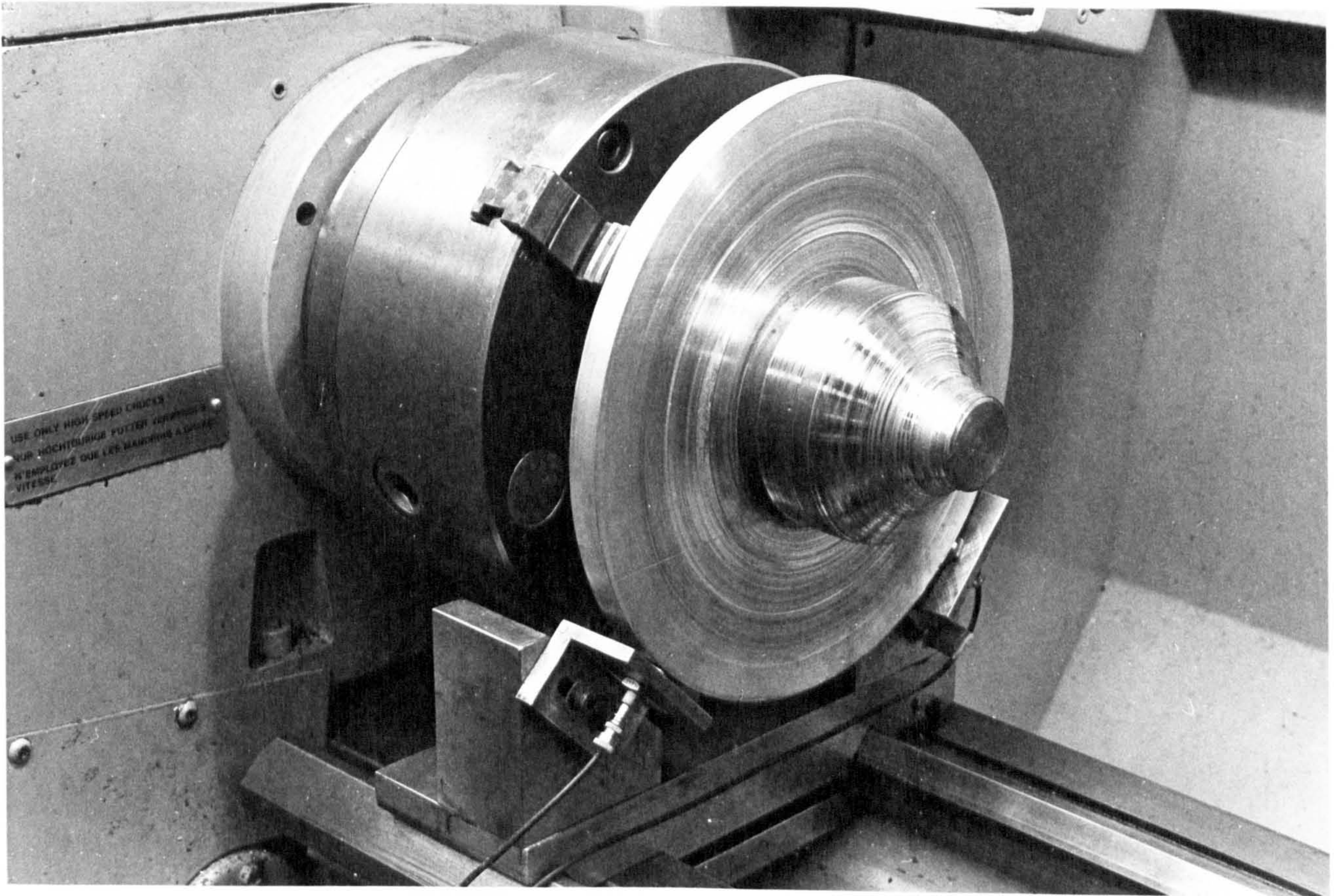
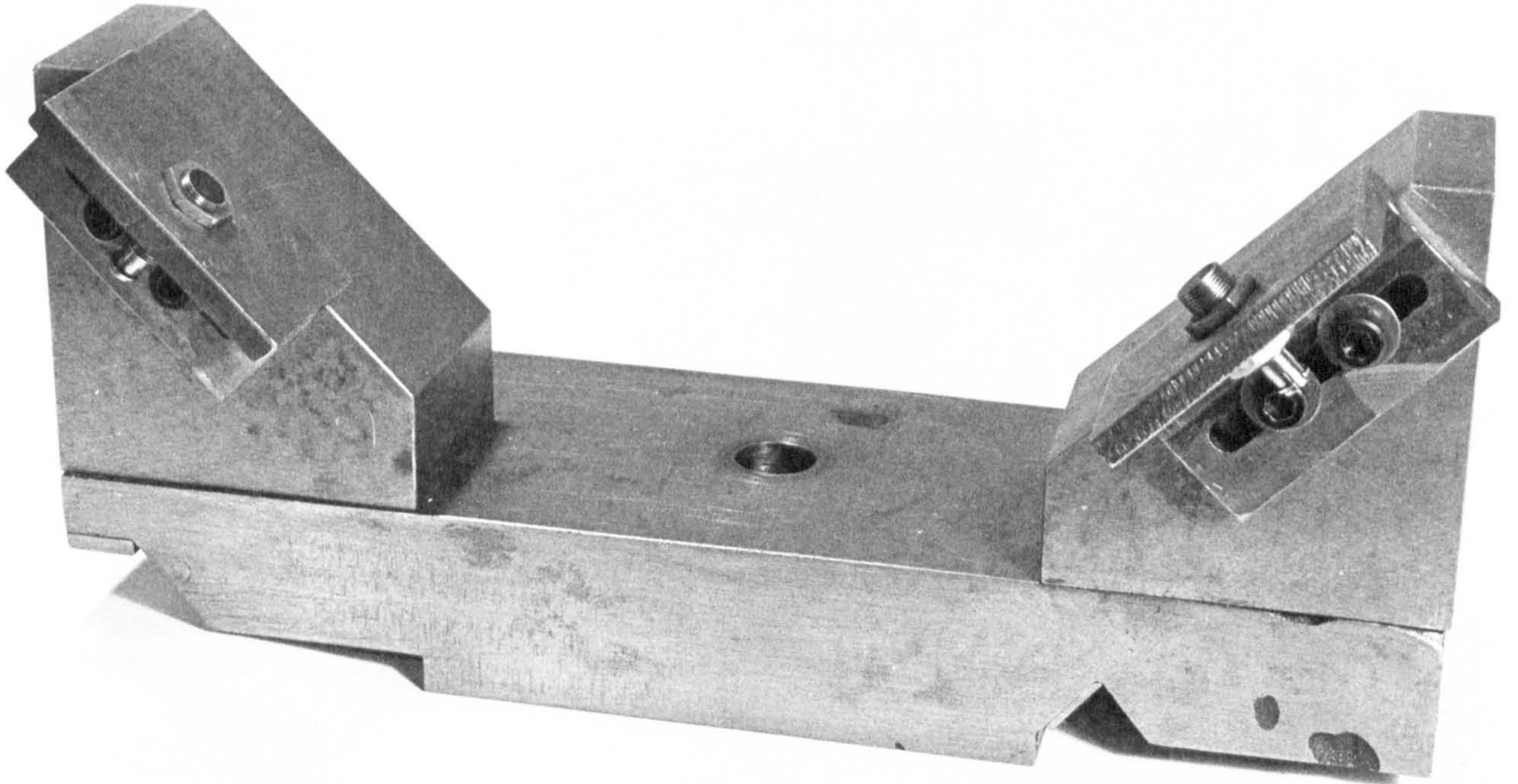
FIG5. 10.

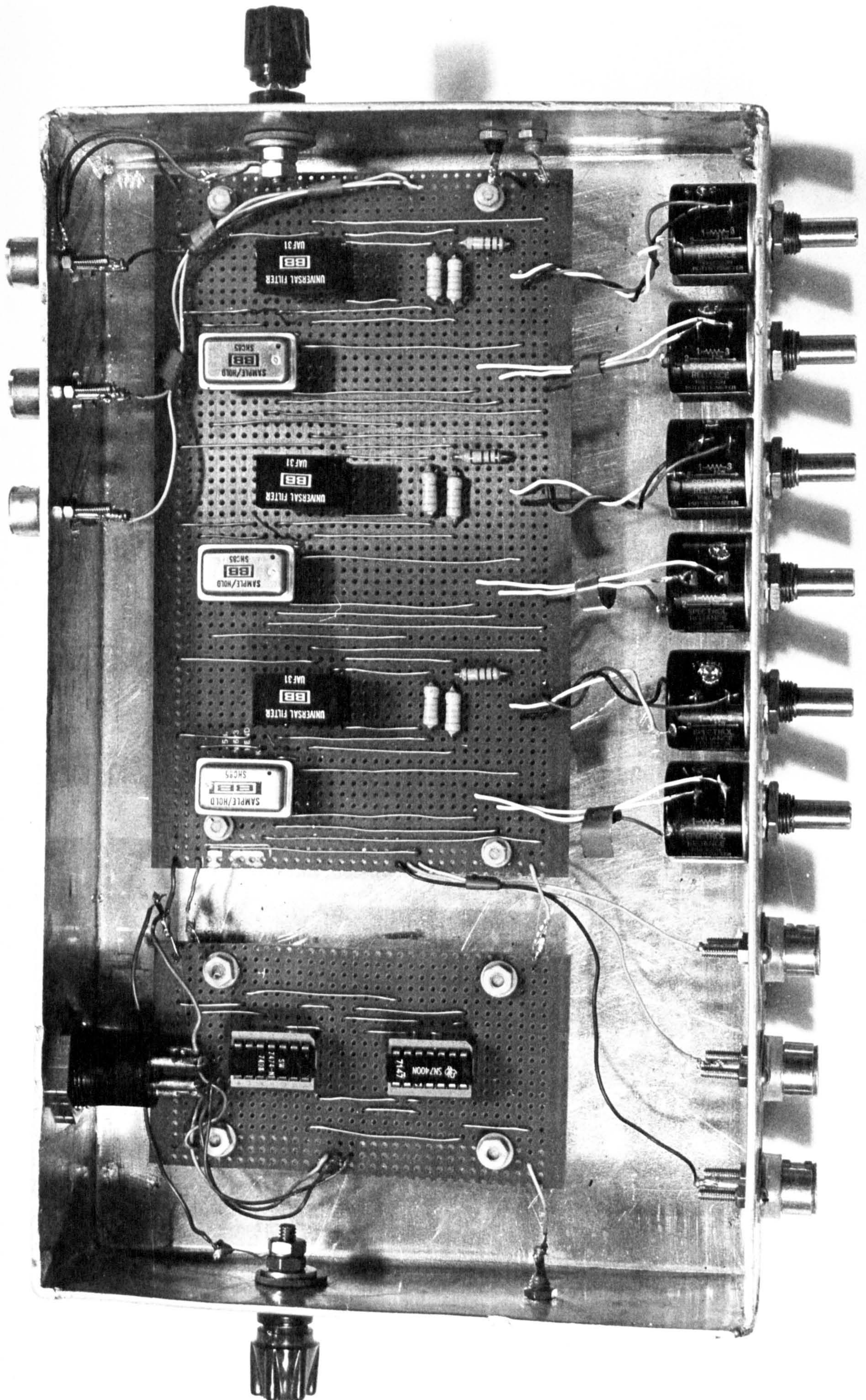


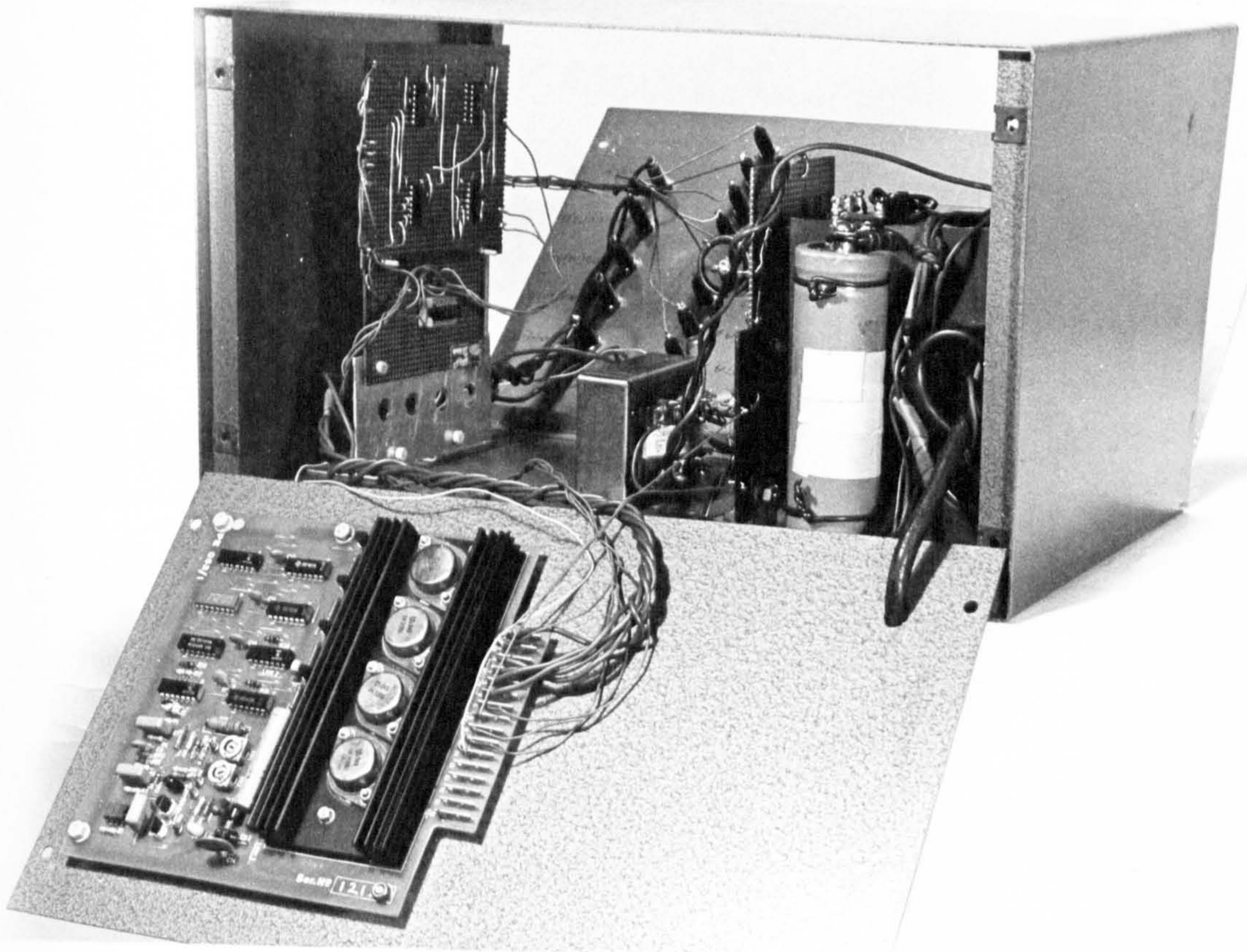
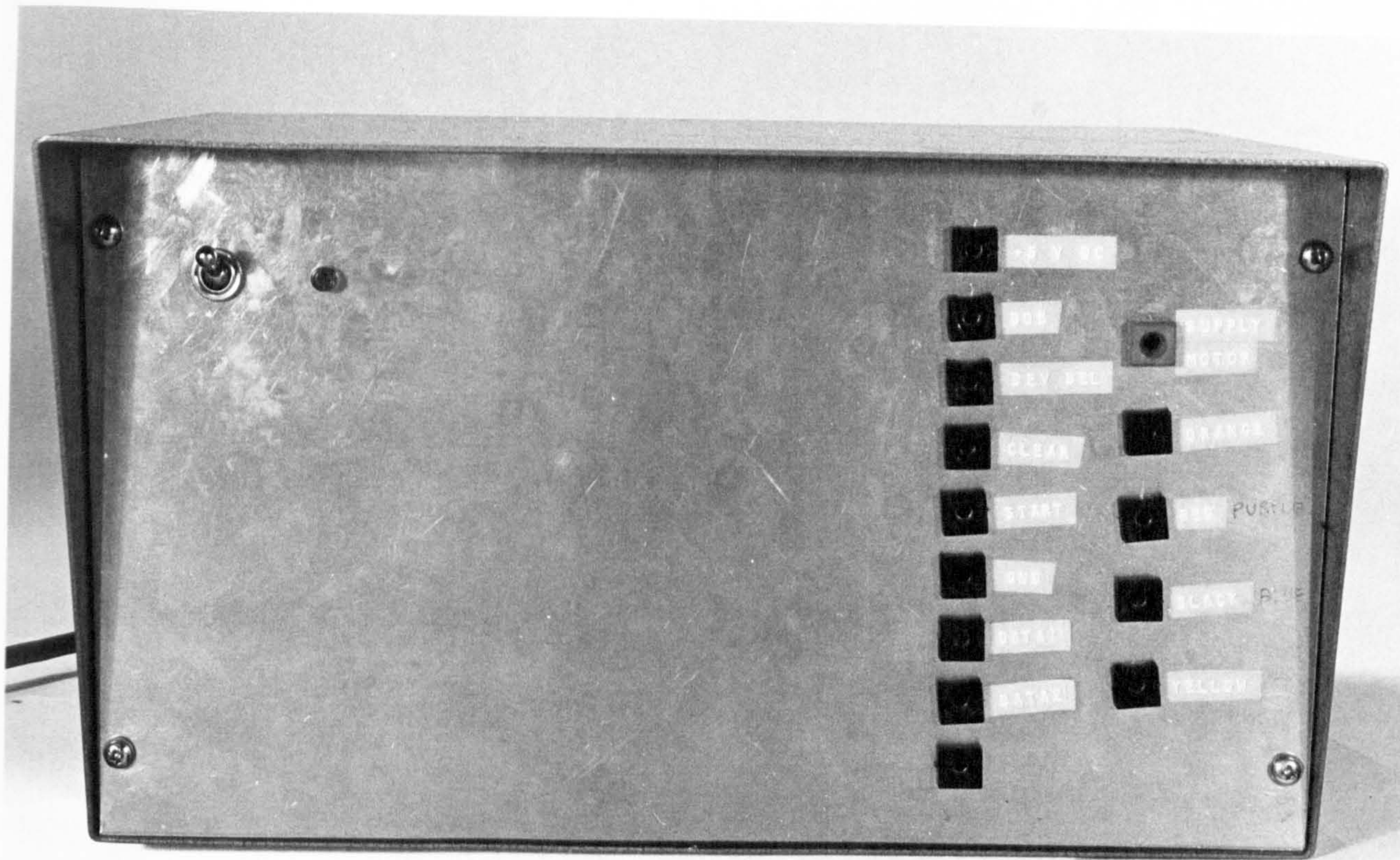
Circuit design for stepping motor interface

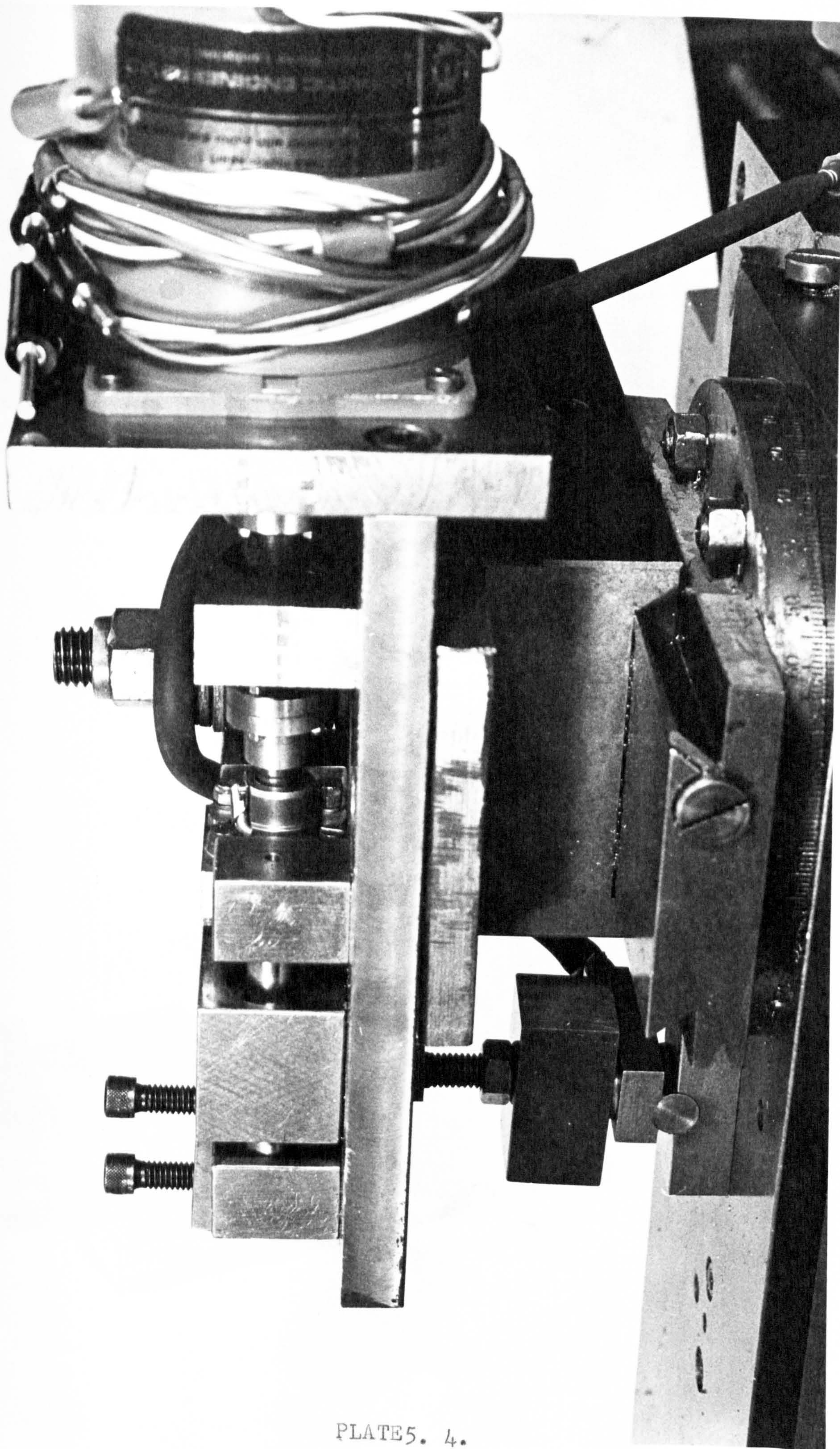


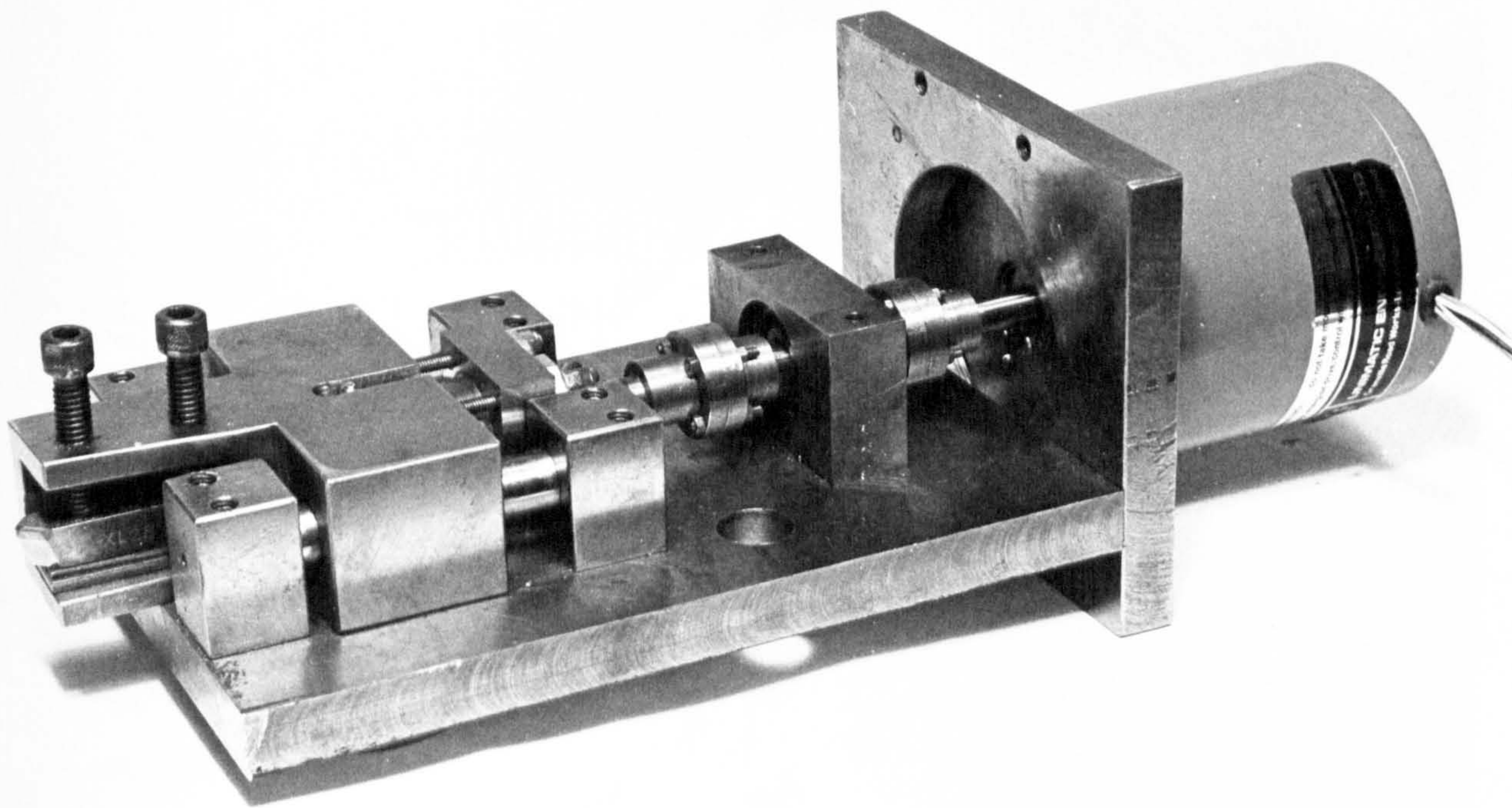
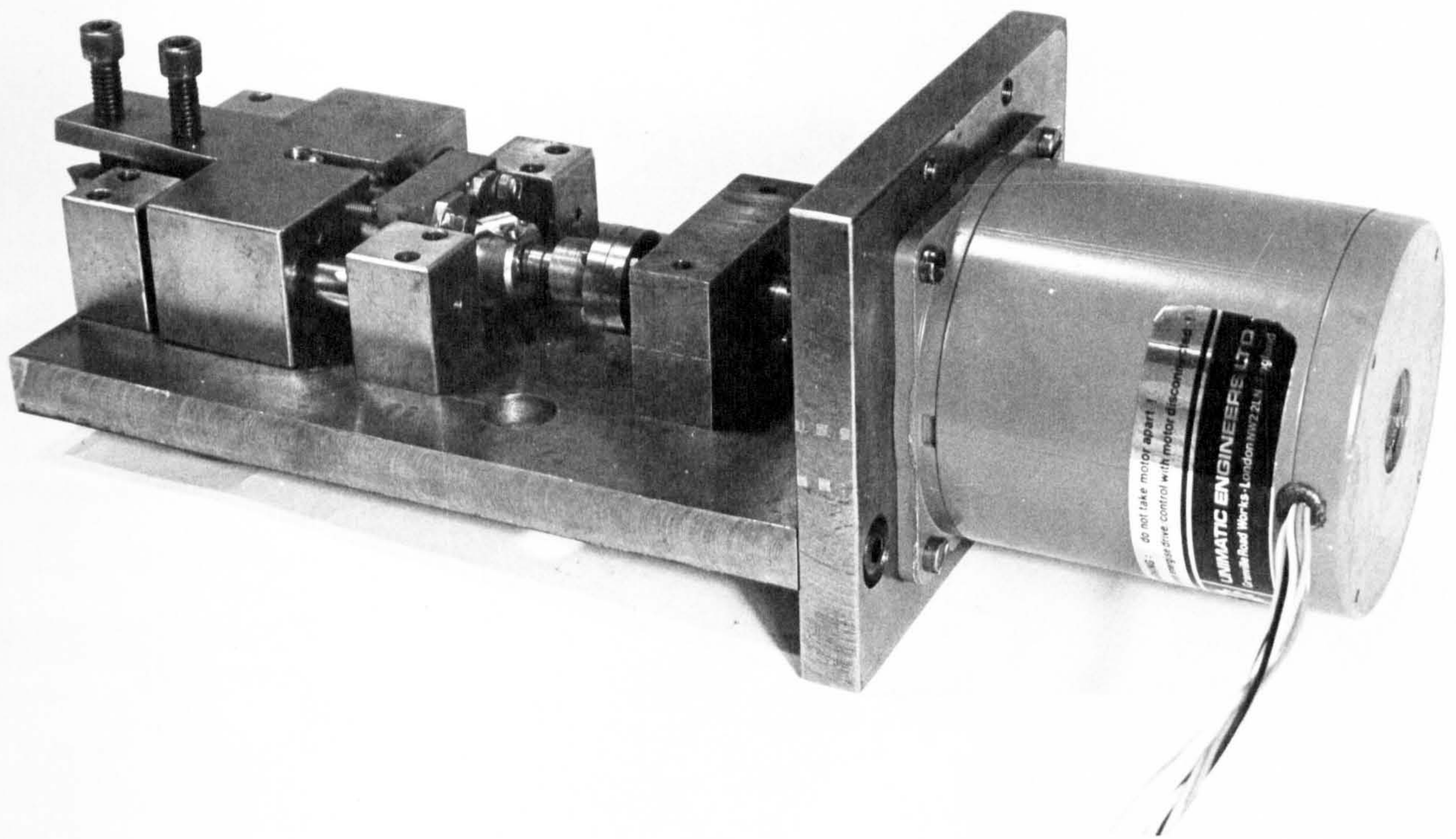
Proposed tool positioner-A.











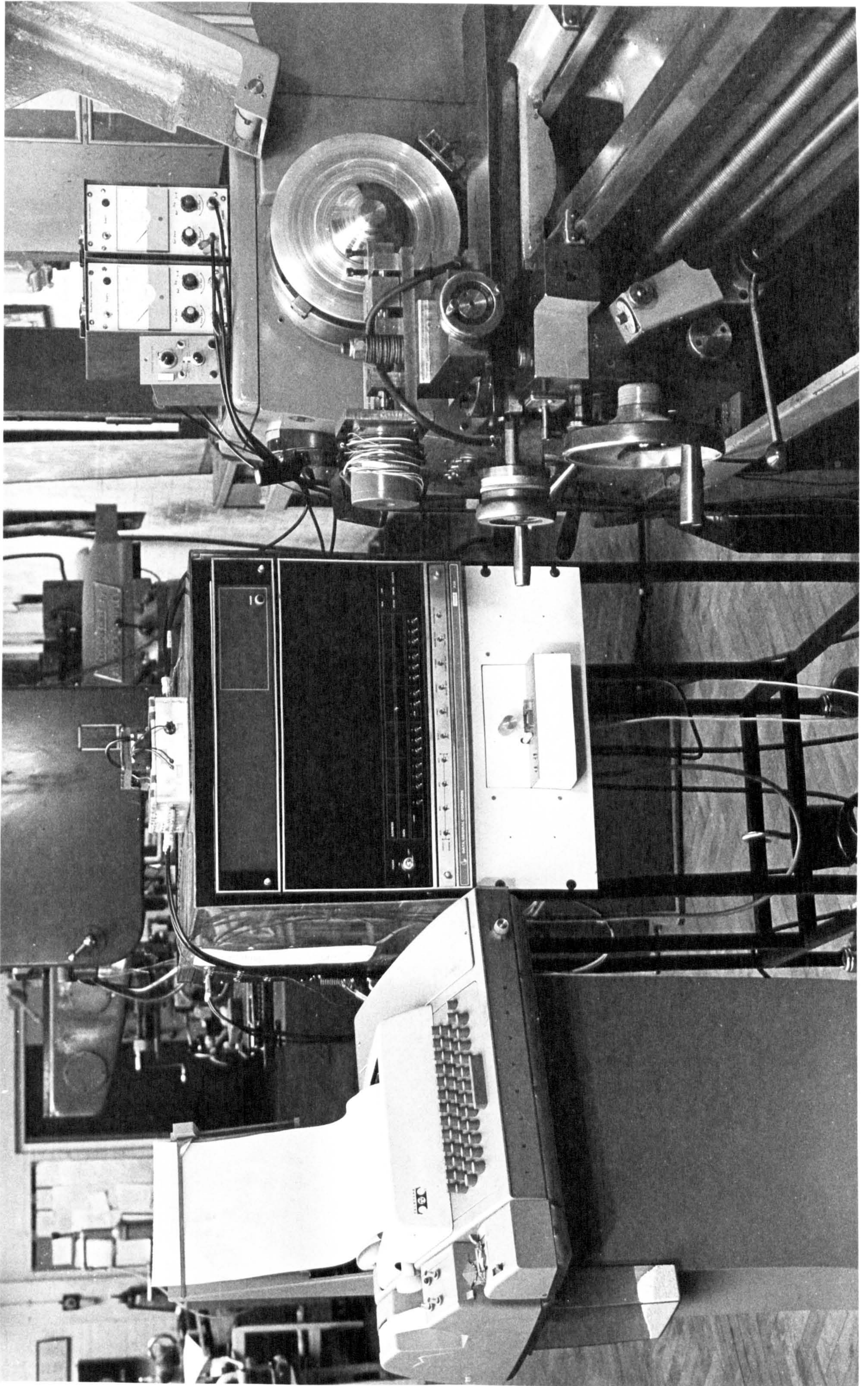


PLATE 5. 6.

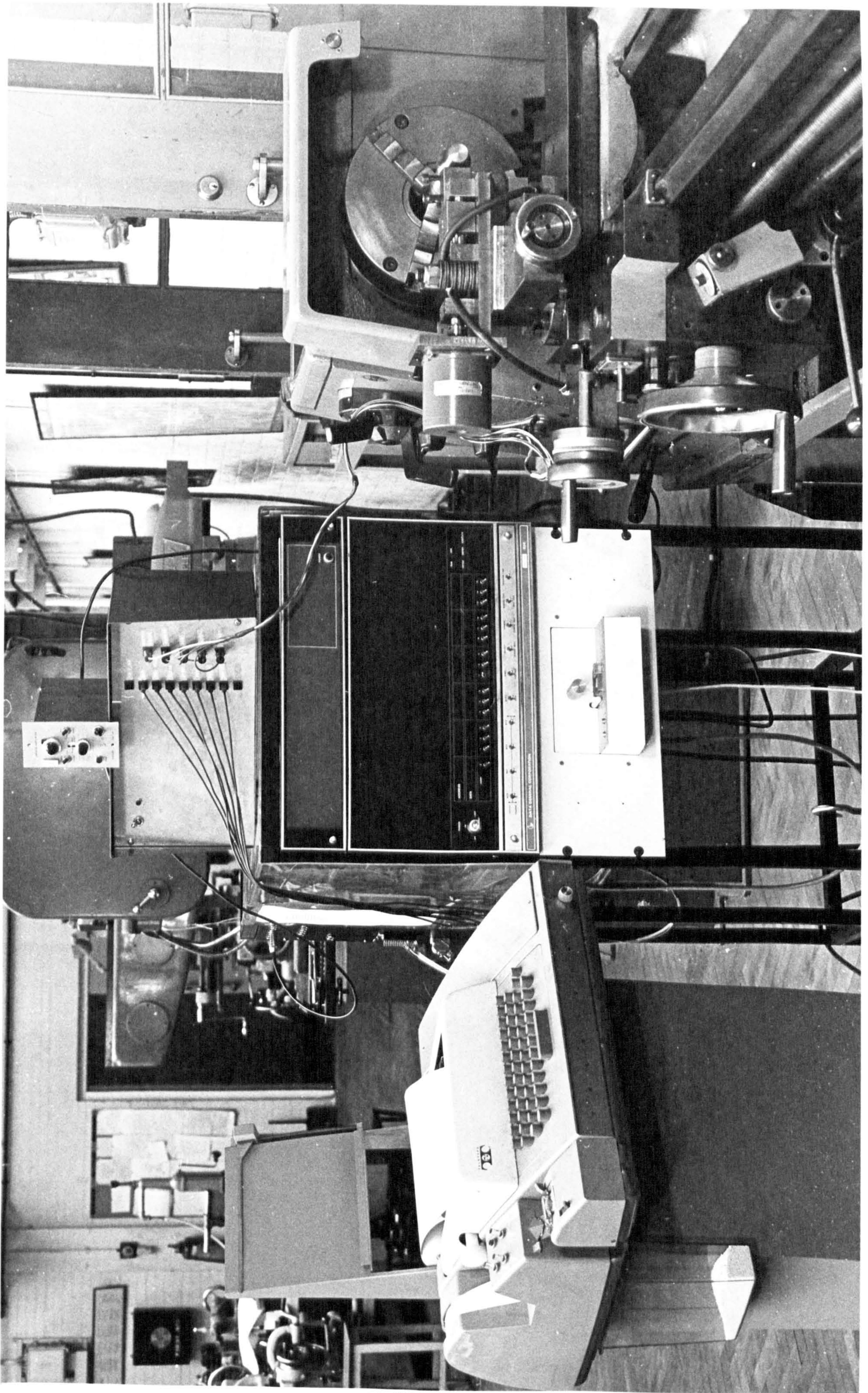


PLATE 5. 7.

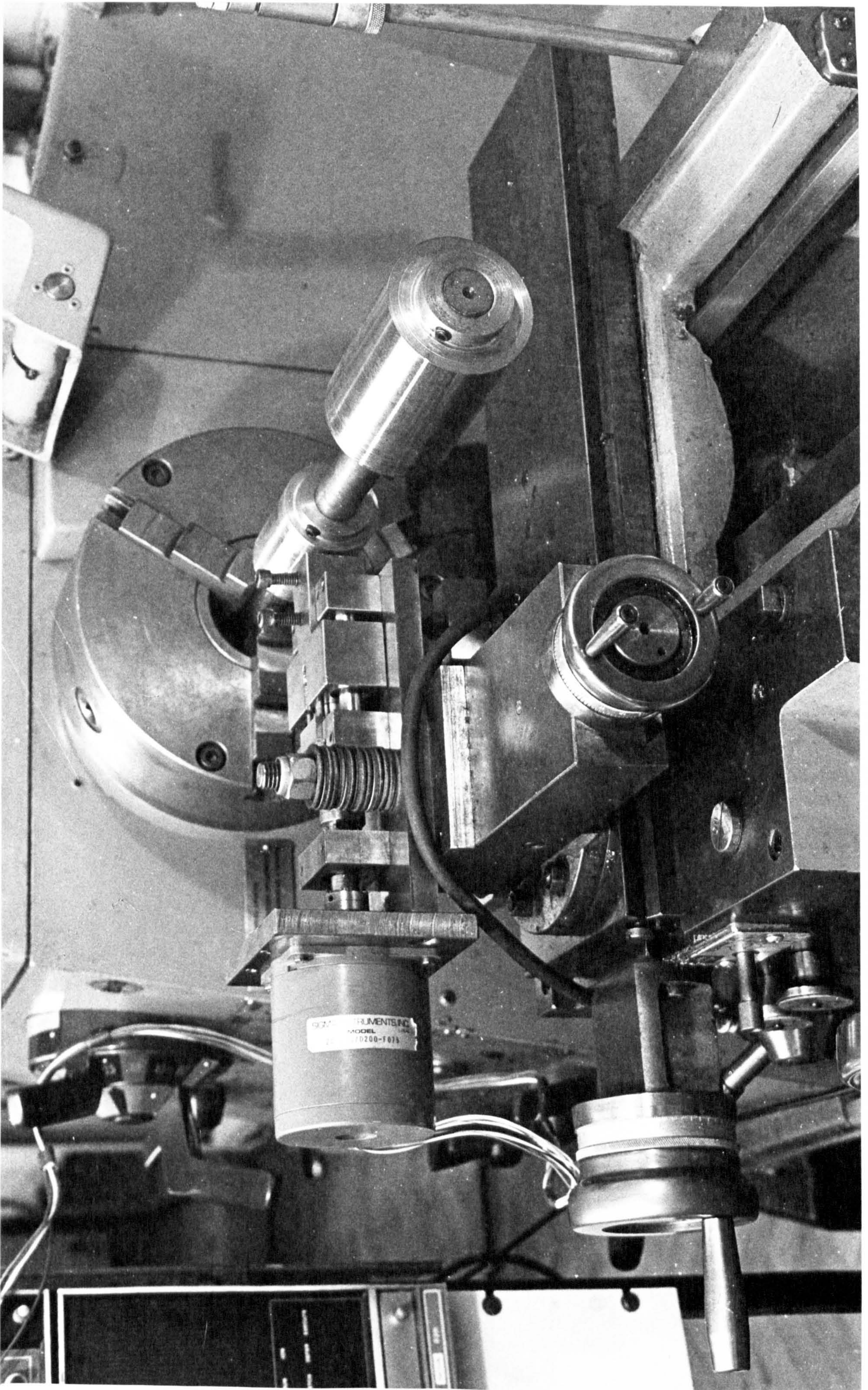


PLATE 5. 8.

6. COMPUTER NUMERICAL CONTROLLED TURNING.

6. 1. Introduction.

Although the tool positioner described in Chapter 5 is designed for small amplitude motion (1 to 5 thou), it has a maximum displacement of about 1 inch. This capability is utilised in the simple C.N.C. turning system presented in this chapter. The system enables complex, axi-symmetric components to be produced directly from equations specifying it's shape.

6. 2. System design and implementation.

The basic system consists of a lathe, tool positioner and minicomputer. A schematic diagram of the machining geometry is shown in FIG6. 1. The axial displacement (l) is generated automatically by the feed of the lathe saddle. The depth of cut (d) is controlled by the minicomputer driving the tool positioner. These two variables are related by an equation which specifies the shape of the component. This has the general form:-

$$d = f(l) \text{ _____ } 6.1$$

In the case of the prototype system, the axial position (x) is calculated by the equation:-

$$l = f . r . t \text{ _____ } 6.2$$

Where f and r are the feedrate (inches/rev.) and speed (r.p.m.), and t is the time (mins.) from start of cutting. Prior to the start of machining, the axial position and depth of cut are set to known references by means of the saddle and slide positioners. These are chosen depending on the overall dimensions of the component to be machined. Once machining commences the minicomputer

calculates the axial position at discrete time intervals (equation 6. 2.) and the corresponding depth of cut (equation 6. 1.). The required number of steps and direction are then output to the tool positioner. A flowchart of the control programme is given in APPENDIX A and the main computer programme in APPENDIX B.

At present the shape equation is implemented as a pair of subroutines. One inputs the parameters of the shape equation from the teletype, and the other performs the calculation of the depth of cut. Different basic shapes are represented by different pairs of subroutines. This method is used for development only and will be replaced by an equation interpreter which will input the complete shape equation from the teletype.

The main disadvantage in calculating the axial position is the errors which are introduced by inaccuracies in the lathe speed and feed. This problem may be overcome by direct measurement of the axial position. However this increases the complexity and expense of the system.

The major differences between the system described here and conventional numerical lathes are as follows :

- it is equation driven, not 'point - to - point'.
- existing lathes can be easily modified by 'adding-on' the tool positioner and minicomputer.
- it is relatively cheap.

At present the main expense is the minicomputer. It is foreseen that this could be replaced by a microcomputer with considerable cost savings. The tool positioner also needs some modification to give greater tool travel and workpiece clearance. A proposed design is shown in FIG 6. 2. Work is in progress to develop a two axis version of the system. This will be capable of producing a more complex range of components with greater accuracy and repeatability.

6. 3. Examples of components produced by the system.

Two basic applications of the C.N.C. turning system are

presented in this section. PLATE 6. 1 shows a typical machining set-up and some of the components produced by the system.

The component on the left has a shape equation of the form:-

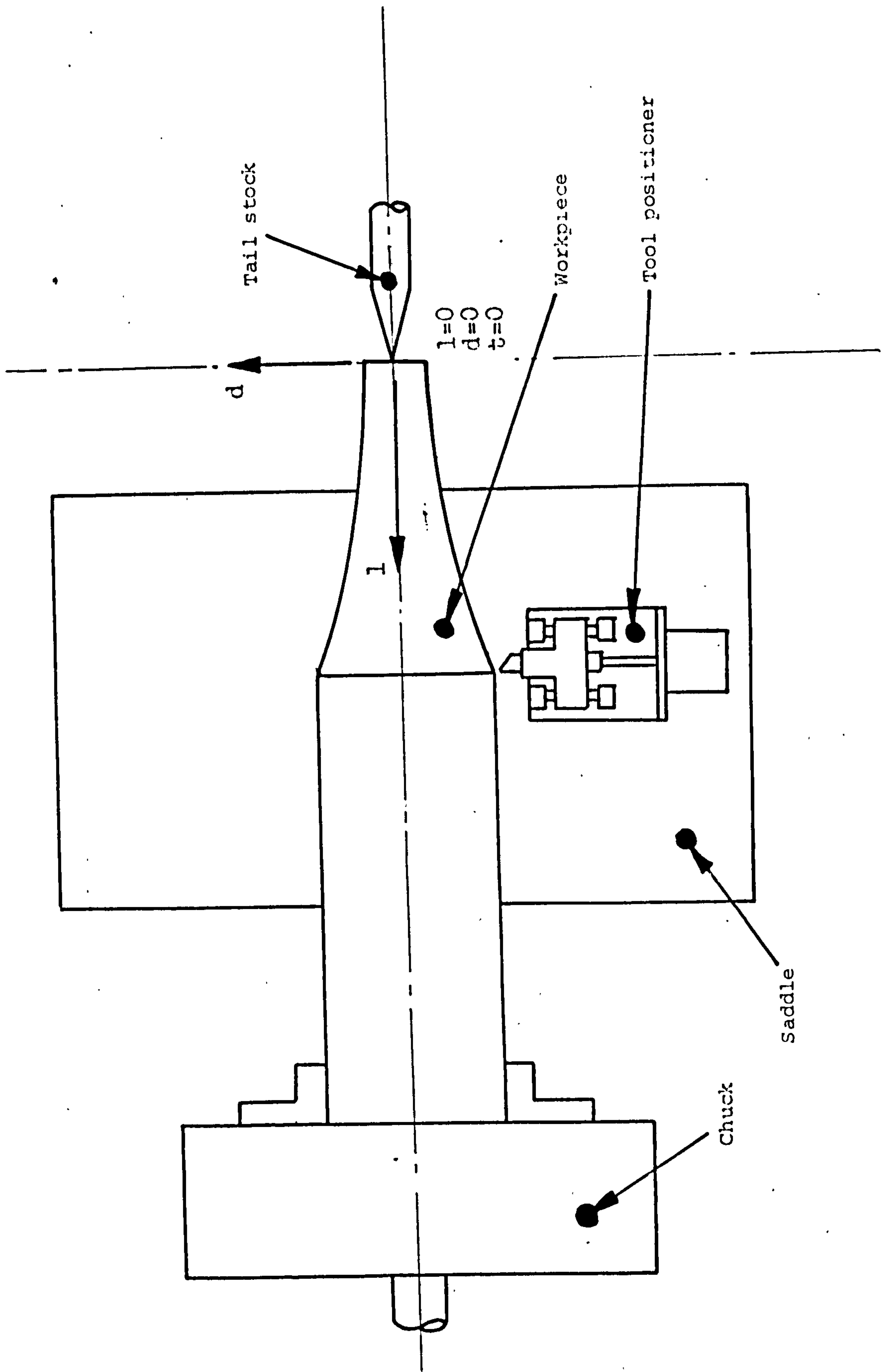
$$d = \left[\begin{array}{l} m_1 + m_2 \cdot l : \text{-----} 0 < l < \sqrt{\frac{m_3 - m_1}{m_2}} \text{---} 6.3a \\ m_3 : \text{-----} \sqrt{\frac{m_3 - m_1}{m_2}} < l < \frac{m_4}{m_3 - m_5} \text{---} 6.3b \\ \frac{m_4 - m_5}{l} : \text{-----} \frac{m_5}{m_3 - m_5} < l \text{---} 6.3c \end{array} \right.$$

The other two components have the same basic shape equation:-

$$d = \left[\begin{array}{l} n_1 : \text{-----} 0 < l < n_3/3 \text{---} 6.4a \\ n_2 \sqrt{n_3^2 - (n_3 - l)^2} : \text{-----} n_3/3 \leq l \leq n_3 \text{---} 6.4b \\ n_3 : \text{-----} n_3 < l < n_4 \text{---} 6.4c \\ n_3 - n_5(l - n_4) : \text{-----} n_4 \leq l \leq n_6 \text{---} 6.4d \\ n_3 - n_5(n_6 - n_4) - n_7(l - n_6) : \text{-----} \\ \text{---} n_6 \leq l \leq n_6 - [n_8 - n_3 + n_5(n_6 - n_4)]/n_7 \text{---} 6.4e \\ n_8 : \text{---} n_6 - \frac{n_8 - n_3 + n_5(n_6 - n_4)}{n_7} < l < n_9 \text{---} 6.4f \\ n_8 + n_9 \cdot l^2 : \text{-----} n_9 \leq l \leq \sqrt{\frac{n_9 - n_8}{n_{10}}} \text{---} 6.4g \\ n_{11} : \text{-----} n_{11} < l \text{---} 6.4h \end{array} \right.$$

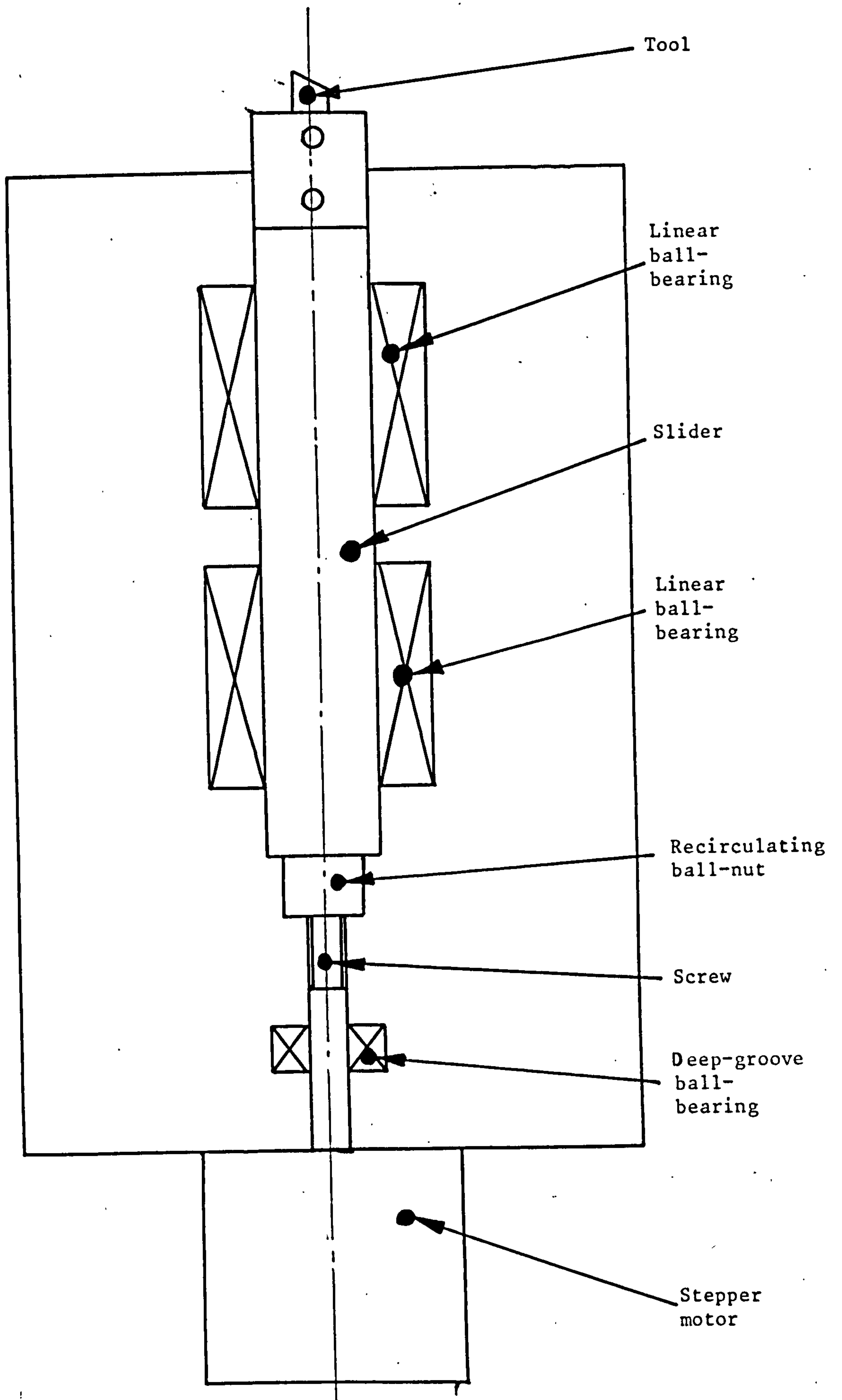
It was found while using the experimental system that two main problem areas exist. Firstly, when high rates of change of cutting depth are required, the tool positioner cannot keep pace. Secondly, the shape of the cutting tool must be carefully chosen for the shape of component to be machined.

There is much work to be done to create an acceptable manufacturing system, however the capabilities of the concept are clearly demonstrated.

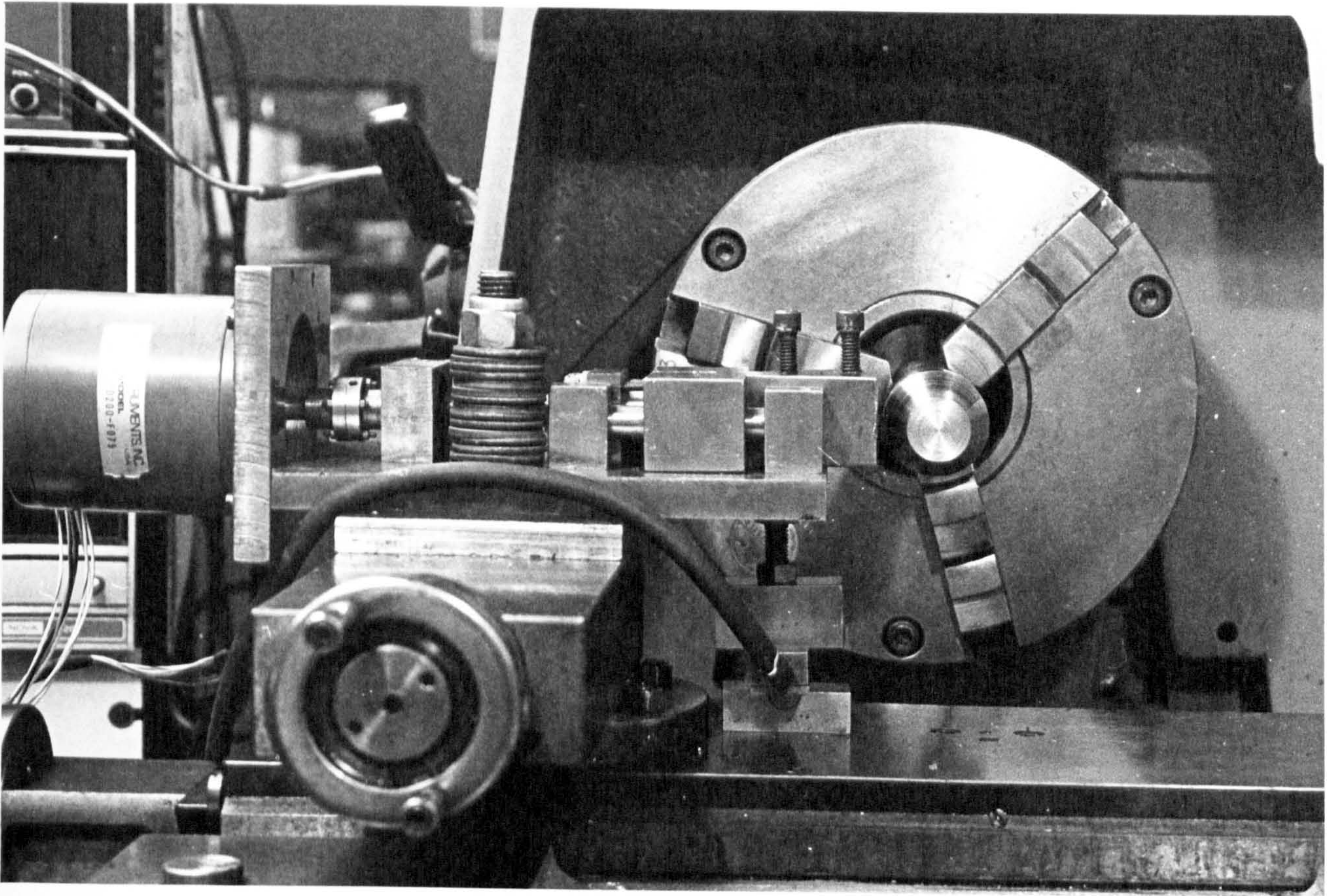


C.N.C. Machining.

FIG6. 1.



Proposed tool positioner-B.



7. EXPERIMENTAL WORK.

7. 1. Introduction.

The experimental work performed during this research project falls into three main categories, these being:

- the investigation of the machine-workpiece dynamics
- the validation of the chatter controller
- the testing of the chatter suppression method

A description of the experimental procedures is presented in four sections. The first gives details of the instrumentation and calibration, the other three describe the categories outlined above.

All the apparatus used throughout the experimental work is described in Chapter 5.

Results of the various experiments are also included in this Chapter, and are discussed in detail in Chapter 8.

7. 2. Instrumentation set-up and calibration.

In order that the signals monitored during the experimental work are accurately related to the physical variables being measured, the instrumentation system must be carefully set-up and calibrated. This is carried out to determine the following relationships:

- voltage output to physical variable
- digital representation to physical variable

Regular checks are also necessary throughout the testing to prevent errors due to drift and physical interference.

All calibration is performed 'in-situ' by applying known inputs to the transducers and measuring the output voltage and the digital representation.

7. 2. 1. Setting-up the filter and sample-and-hold unit.

Before calibration is performed the filters and sample-and-hold amplifiers must be adjusted to eliminate any D.C. offset. This is achieved by grounding the inputs to the devices and adjusting the 'trim-pots' so that the outputs are zero.

Regular checking of this unit is also necessary to correct for long term drift. This procedure is the same as that for initial set-up.

7. 2. 2. Calibration of the force measurement system.

Prior to calibration of this system, the load cells are pre-loaded to ensure they always operate within their linear range. For the vertical axis this is achieved by expanding a screw jack, and for the horizontal axis by tightening the ballscrew clamping bolts. The amount of pre-load is gradually increased until the output signal shows no sign of 'flattening' even under the most severe cutting conditions.

The charge amplifiers are then set to the required sensitivity.

- 20 pc/lb. for the horizontal axis
- 5 pc/lb. for the vertical axis

and the time constant set to long. Cutting operations are then performed for a range of cutting conditions and the amplifier gains adjusted so that the output voltage never exceeds 4 volts. This allows a 1 volt safety margin before the A-D converter overloads.

The calibration is performed by applying a series of known loads to the tool tip and monitoring the outputs. This is done in a load-up/load-down manner, the output voltage and digital representation being noted at each load. Vertical and horizontal calibrations are performed independently. Checks are also made to determine the cross-talk between the two axes. So as to minimise the effects of measurement noise and observation error, several calibration runs are performed and the scaling factors calculated for the averaged reading.

Calibration curves for the vertical and horizontal axes are shown in FIG7. 1. and FIG7. 2. respectively. Cross-talk between the axes is negligible at the loading levels encountered during the tests.

During all calibration and test runs, the charge amplifiers must be reset prior to use. This prevents erroneous readings being obtained due to amplifier drift. A warm-up period of about 30 minutes should be allowed with this equipment.

7. 2. 3. Calibration of the displacement measurement system.

Before the displacement measurement system can be calibrated, the reference disk must be accurately centred. This is ensured by machining the disk 'in-situ' and then smoothing the surface with fine emery paper. The transducer mountings are then adjusted so that their axes are at 45° to the vertical and pass through the disk centre (see FIG5. 4.). Next, the initial gaps between the transducers and the disk are set to 10 thousandths-of-an-inch. This is achieved by adjusting the clamping nuts and checking the gaps with a feeler gauge. A 10 'thou' gap is used as it provides the required combination of sensitivity, linearity and clearance.

The lathe is then run under non-cutting conditions and the oscillators and F.M. units adjusted (see reference 43) to give maximum output signals. If the reference disk is not correctly centred, as indicated by a sinusoidal signal, the setting-up procedure must be repeated.

Calibration of the system is now performed. This is done by varying the gap between the transducer and the disk and recording the corresponding output voltage and digital representation. The gap is varied by means of the transducer clamping nuts, and measured with a feeler gauge. Calibration curves for the two axes are given in FIG7. 3. and FIG7. 4.

The displacement measurement system requires a warm-up period of about 30 minutes. Care is also required to ensure that all cables are kept clear of the rotating parts. The disk must be checked for concentricity before all tests, and re-trued if necessary.

7. 3. Tests on the machine-workpiece structure.

Three types of test are performed to determine the nature of the machine-workpiece dynamics. These are :

- cutting tests
- impulse response tests
- on-line system identification

Where recording of the cutting force or workpiece displacement is required, an ultra-violet oscillograph is used.

7. 3. 1. Cutting tests.

Cutting operations are carried out for a variety of cutting conditions, including chattering, and the cutting force and workpiece displacement are monitored. A brief outline of the experimental procedure is given below:

- set-up the U.V. oscillograph
- allow the instrumentation to warm-up
- calibrate the instrumentation (see section 7. 2.)
- set-up the workpiece and cutting tool
- select the lathe speed and feedrate
- set depth of cut
- start lathe
- start U.V. oscillograph
- commence cutting operation
- record trace for about 10 to 20 seconds
- stop cutting then U.V. oscillograph

Some representative examples of cutting force and workpiece displacement traces are presented in FIG7. 5. to FIG7. 19. The cutting parameters associated with each example are included on the figure.

7. 3. 2. Impulse response tests.

Impulse response tests are performed on both the machine, and with various types of workpiece clamped in the chuck. In the case of the 'machine-only' tests the displacement transducers are arranged to monitor the chuck surface. Although this configuration cannot be used with the lathe running, static tests are possible. When a workpiece is in use, a reference disk is used (see FIG5. 4. and PLATE5. 1.). This enables tests with the lathe both running and stationary.

The impulse is generated by striking either the chuck or workpiece with a soft mallet. This rather crude method is found to give a sharp, clean impulse. The experimental procedure is as follows:

- set-up the U.V. oscillograph
- set-up the workpiece and reference disk (if required)

- allow instrumentation to warm-up
- calibrate the displacement instrumentation (see section 7.2.3)
- start the lathe (if required running)
- start the U.V. oscillograph
- deliver impulse(s)
- stop the U.V. oscillograph

Some typical responses are given in FIG7. 20. and FIG7. 21. Details of the conditions for each test are included on the respective figures.

7. 3. 3. On-line system identification tests.

The method described in section 4.3. is used to determine the transfer functions:

- relating the horizontal force to the horizontal workpiece displacement
- relating the vertical force to the horizontal workpiece displacement

Both are assumed to be second-order, and the identification method estimates the 'best' parameters (see section 4. 3.) for these functions. The tests are not extended to include the vertical displacement as this is thought to be of only minor importance in chatter instability.

In order to prove the implementation of the method, and evaluate its performance, tests are performed on known second-order systems. Once proven, the method is used to examine the machine-workpiece structure.

7. 3. 3. 1. Method evaluation.

An analogue computer is used to model a second-order system, the system parameters being varied by means of potentiometers. These parameters are set to several known values and the system excited by an input signal. Three type of input signal are used:

- pseudo random binary sequence
- square wave
- sine wave

The input and corresponding output are monitored by the minicomputer and the 'best' estimates for the parameters are calculated and output to the teletype.

A schematic diagram of the experimental arrangement is given in FIG7. 22. with the analogue model detailed in FIG7. 23.

Flowcharts for the identification programme are presented in APPENDIX A. 6. and listings in APPENDIX B. 7.

The analogue computer is an EAI PACE TR-48, details of which are given in reference 50.

The experimental procedure is as follows:

- switch on electronic equipment and allow a 30 minute warm-up period
- set the signal generator to the required signal
- select frequency and amplitude for signal
- select the model parameters by adjusting the respective potentiometers
- set the analogue computer to 'run' (see reference 50)
- start the identification programme and input the programme constants via the teletype (see APPENDIX B.)

The identification programme then proceeds to estimate the system parameters.

It is found from these tests that a sample frequency of approximately 10 times the input signal frequency gave the most accurate results. The sample size (number of samples per run) was varied without noticable effect.

Results of several runs are given in FIG7. 24. and FIG7. 25. The variables associated with each test are included on the respective figures.

7. 3. 3. 2. Machine-workpiece identification tests.

The results of the tests described in sections 7. 3. 1. and 7. 3. 2. are used to select the required filter cut-off frequency and sampling frequency. These being such that the sampling frequency is about 10 times the dominant frequency in the workpiece displacement signal, and the filter cut-off frequency half the sampling frequency.

The experimental rig used throughout the tests is shown in PLATE 5. 6. It is similar to the arrangement used during the validation tests, except that the analogue computer is replaced by the lathe and instrumentation. The experimental procedure is as follows:

- warm-up electronic apparatus
- set-up and calibrate instrumentation (see section 7. 2.)
- set-up workpiece and cutting tool
- select lathe speed and feedrate
- select and set depth of cut
- start the identification programme and input the set points and scaling factors for the instrumentation, and other runtime constants (see APPENDIX B.)
- start the lathe and commence cutting

Estimates of the machine-workpiece parameter estimates are output to the teletype. Tests are conducted for a range of cutting conditions ,including chattering. A summary of the results is given in FIG 7. 26.

7. 4. Tool positioner tests.

The dynamic performance of the tool positioner is investigated by observing it's response to known inputs. Two types of input are used:

- single step, forward then reverse
- sine wave, various frequencies and amplitudes

These are used to determine the 'stop/start' and 'tracking' capabilities, from which the overall performance limitations can be inferred.

7. 4. 1. Forward/reverse response.

For these tests the tool positioner is repeatedly driven one step forward then one step reverse.

The tool positioner is rigidly clamped to a mounting plate and an inductive displacement transducer is positioned to monitor the axial motion of the tool. A aluminium bar with a

square end is used as a dummy tool, and an initial gap of 10 'thou' is set between the tool end and the transducer. The measurement system is set-up and calibrated as described in section 7. 2.

A test programme is used to drive the positioner in a forward/reverse motion. The displacement is recorded on a U. V. oscillograph for drive frequencies between 5 and 100 Hz. Some typical results are shown in FIG7. 27 to FIG7. 32.

7. 4. 2. Frequency/amplitude tests.

Using the same experimental arrangement as described in the previous section, the positioner is driven to 'track' a signal from a signal generator. The initial gap between the tool and the transducer is set to approximately 50 'thou'. This ensures sufficient clearance for large amplitude displacements and gives a linear range of about 80 'thou'. Sine waves are used for the majority of the tests although square waves and triangular waves are also tried.

A computer programme is used to sample the input signal and calculate and output the required control pulses to the stepping motor.

Input signals with frequencies between 5 and 50 Hz. are used, with various amplitudes. Results of a representative group of tests are given in FIG7. 27 to FIG7. 32.

The results of these tests are used to determine the limiting response of the tool positioner. They are used in the selection of a machine-workpiece configuration for use in the chatter suppression tests.

7. 5. Chatter suppression tests.

In order to determine the effect of the suppression method in a workshop environment, various cutting operations are performed both with and without the controller. The effect of switching the controller 'on-then-off' during cutting is also

observed. Prior to the cutting tests, the chatter suppressor is tested to validate the implementation.

7. 5. 1. Chatter suppressor validation.

Using the complete chatter suppressor (model, controller and positioner), the response to known inputs is observed. A second-order model with a natural frequency of 1 Hz. and a damping factor of 0.1 is used so that the suppressor response is easily seen. The experimental arrangement is the same as that described in section 7. 4. 1, with the inclusion of a force transducer. Step and sine wave forces are applied to the transducer and the tool positioner movement is monitored. Similar tests are performed with the suppressor installed on the lathe. In this case, the input force is applied to the tool tip.

For both groups of tests, the observed step and frequency response is compared to the expected second-order responses. Correct implementation is inferred by agreement between the observed and expected responses.

In order to determine the limits on the overall performance of the chatter suppressor a series of tests is performed where the natural frequency of the model and the sine wave input are gradually increased. The natural frequency always being about 30% above the input frequency. It is found that the suppressor has a limiting response of 3 steps (1 'thou') at a frequency of 30 Hz. This is determined by the positioner which is found to be an order of magnitude slower than the computation time of the model and controller.

7. 5. 2. Effects of the chatter suppressor.

Using the results of the suppressor validation tests, a workpiece is chosen so that the chatter conditions are within the limits of the suppressor performance. As a general rule, the chatter frequency (approximately equal to the natural frequency) must be about 20% less than the maximum useable frequency of the suppressor. Thus a machine-workpiece configuration is chosen to

have a chatter frequency of about 24 Hz. It is found from the structural tests (see section 7. 3.) that the damping factor is 0. 2 and the gain is 12,500 lbf/in.

The experimental arrangement is shown in FIG7. 33, PLATE5. 7 and PLATE5. 8. The model is set up to have nominal parameters equal to the experimentally determine machine-workpiece parameters. Throughout the tests, the tool positioner is operated with a third of a 'thou' step size. The sampling frequency is set to 250 Hz, with the filter cut-off frequency at 125 Hz.

Cutting tests are performed for a range of speeds, feedrates and depths of cut, both chattering and non-chattering situations being covered. For each set of cutting conditions, three test runs are performed:

- without the suppressor

- with the suppressor

- with the suppressor switched 'off-then-on' during the run

The effectiveness is assessed by monitoring the cutting force, which is recorded on a U. V. oscillograph. The experimental procedure is as follows:

- warm-up electronic equipment

- set-up and calibrate instrumentation (see section 7. 2.)

- set-up workpiece and cutting tool

- select lathe speed, feedrate and depth of cut

- start the suppression programme (if required) and input

- the model parameters, instrumentation set points and scaling

- factors and programme constants (see APPENDIX B.8)

- start the cutting operation

- start the U. V. oscillograph

The length of the test runs vary between 10 and 30 seconds, depending on the rate of chatter build-up.

It is found during the tests that the horizontal cutting force is not suitable as input to the chatter suppressor as it is effected by the tool positioner drive force. This is due to poor siting of the transducer. The vertical cutting force is free from this interference and is used for all the tests. A second-order model

is still used as it is shown from the identification tests that an approximate second-order relationship exists between the vertical force and the horizontal displacement. Matching of the model to the machine-workpiece dynamics is done by 'trial-and-error' as the nominal parameters do not usually prove to be sufficiently accurate.

Although this is not presently acceptable as a practical technique, the problem may be overcome by developing further the on-line identification method. Several methods of improving the basic least squares technique are available (19,20,21,22,23). These both improve the accuracy and noise immunity of the method, and are used successfully in other practical applications.

Results of some representative tests are shown in FIG7. 34 to FIG7. 36. It is found that the matching of model and system parameters is very difficult to achieve and many unsuccessful attempts to suppress chatter are not presented.

7. 6. Review.

The experimental tests are performed in order to prove the system design and implementation, and evaluate the effectiveness of the suppression method. In this section, the results of all the individual tests are reviewed and the relevant achievements and problems are highlighted in the context of the overall system.

The instrumentation performs satisfactorily, although some problems are encountered during the chatter suppression tests. This is due to the tool positioner drive force being transmitted to the transducer, which should ideally be placed at the tool tip. As this is not practical, one alternative would be to use strain gauges on the tool. Because of this problem, only the vertical cutting force is used during the chatter suppression tests.

All the tests to aid identification of the machine-workpiece dynamics provide useful results. The cutting tests give an insight into the overall behaviour of the system, especially during chattering. The impulse response tests are particularly useful in demonstrating the second-order nature of the machine-workpiece dynamics. Results from the on-line identification tests are found

to be unreliable, with large variations in all the parameter estimates. However, some useful results are obtained, and these tend to support the results from the other tests.

The chatter suppressor is implemented correctly, although its performance is limited by the response of the tool positioner. This could be improved by re-designing the tool positioner so that the driven inertia is minimised. Also, a high speed stepping motor with a more sophisticated driver (6,7,43) could be used.

The effectiveness of the suppressor is demonstrated, but difficulties are encountered in matching the model to the machine-workpiece dynamics. This situation would be improved by using a more accurate and reliable identification method.

A more detailed discussion of the experimental results is given in the next chapter.

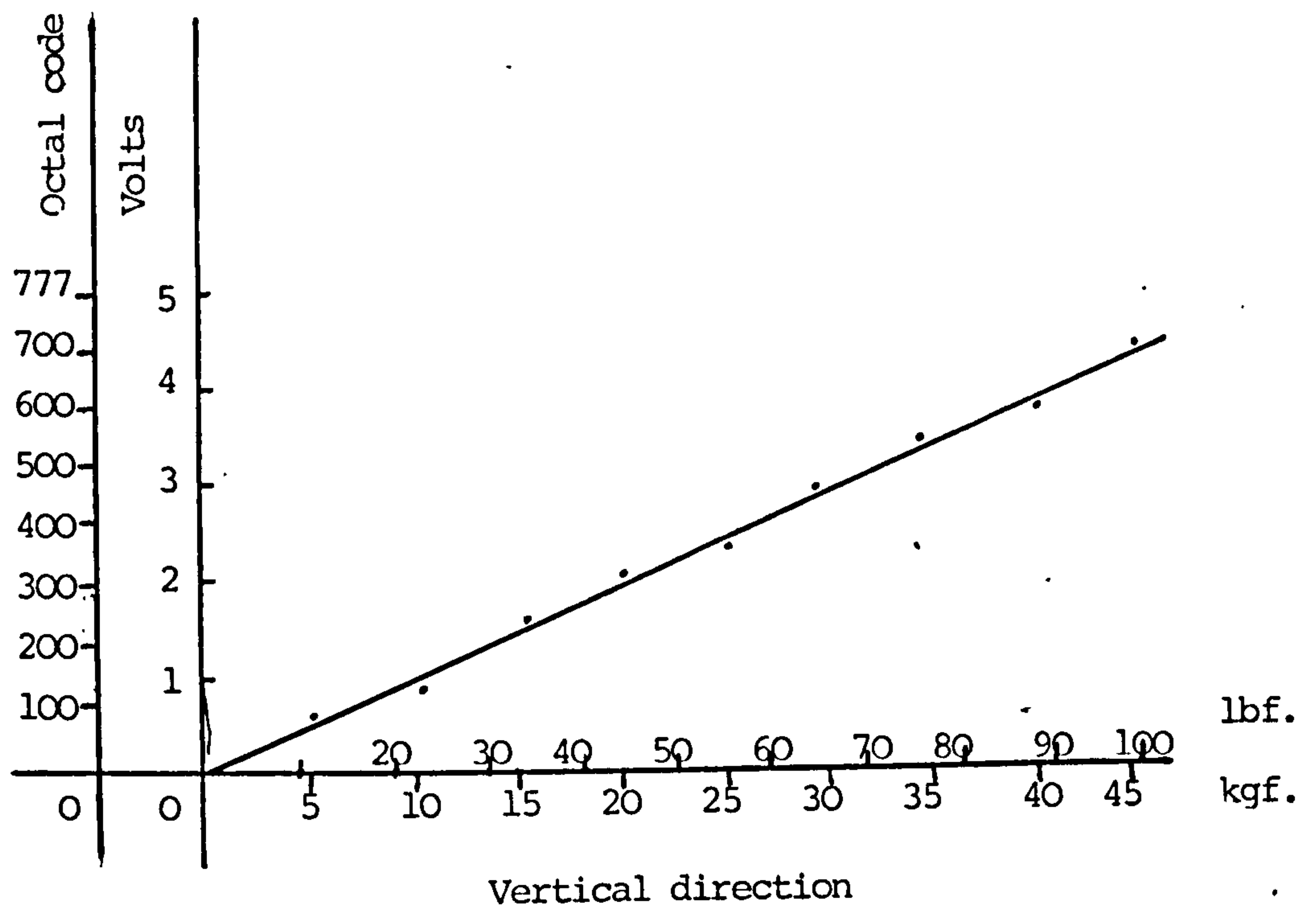
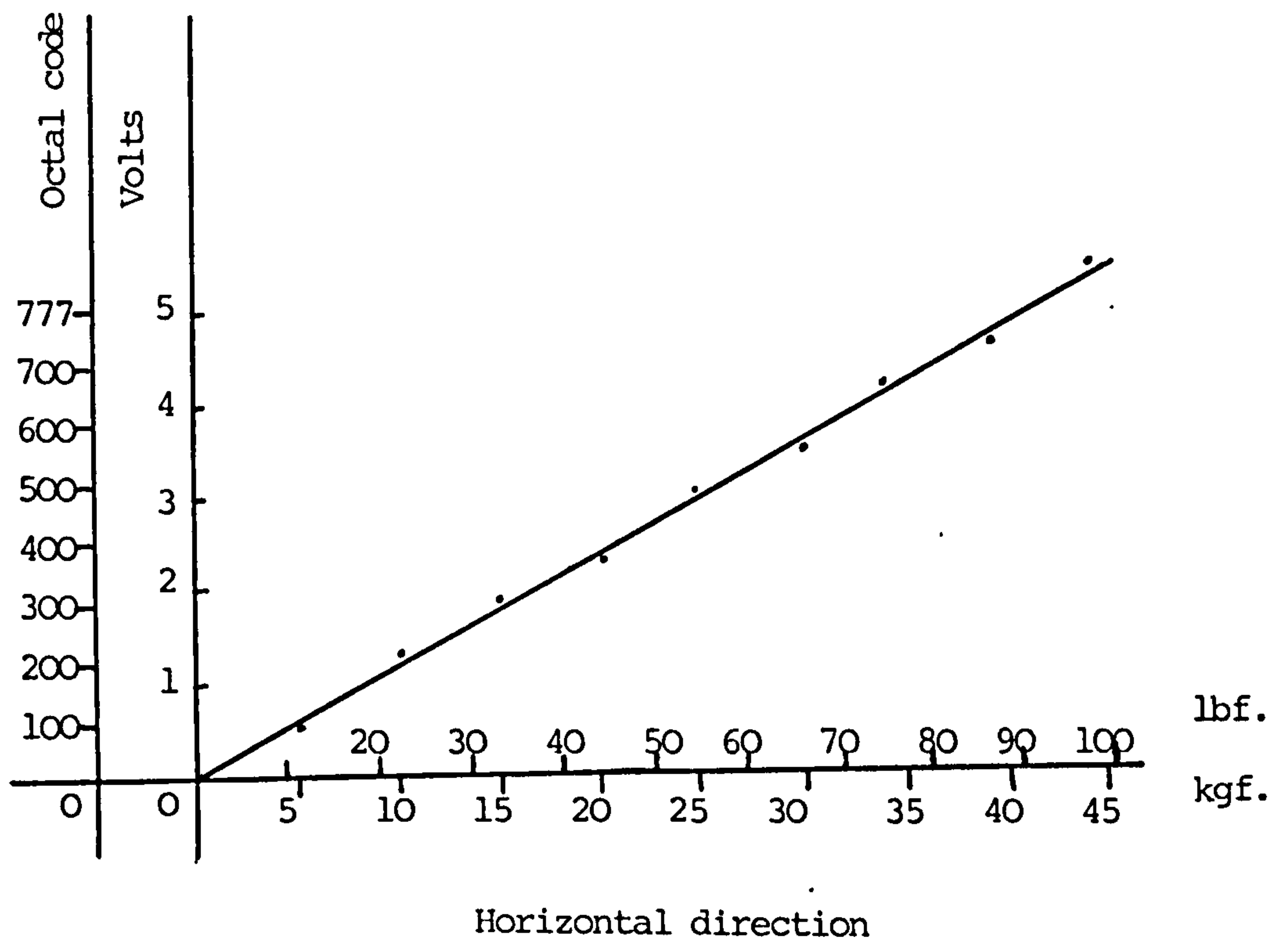


FIG7. 1.



Calibration curves for cutting force measurement system

FIG7. 2.

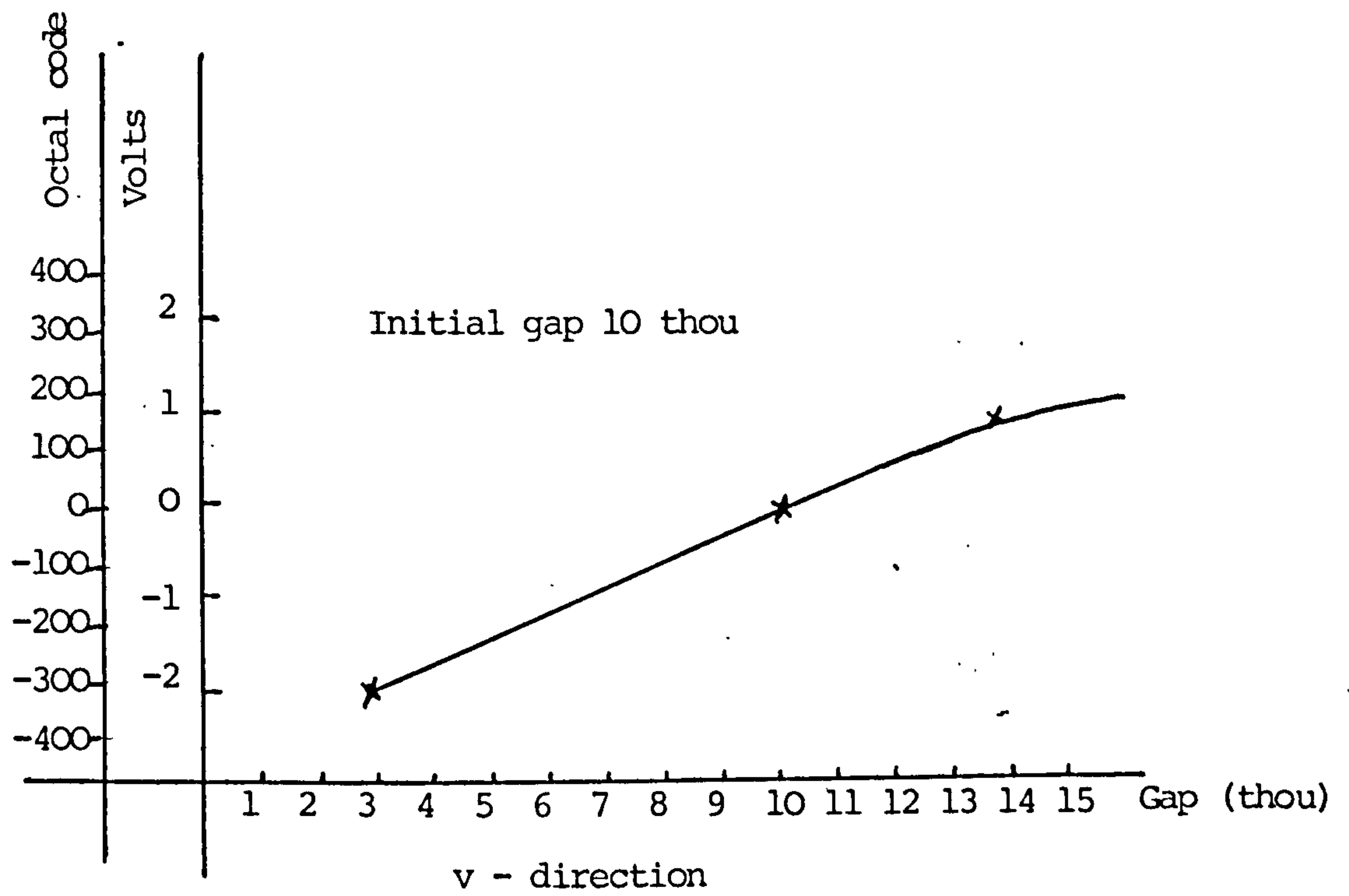
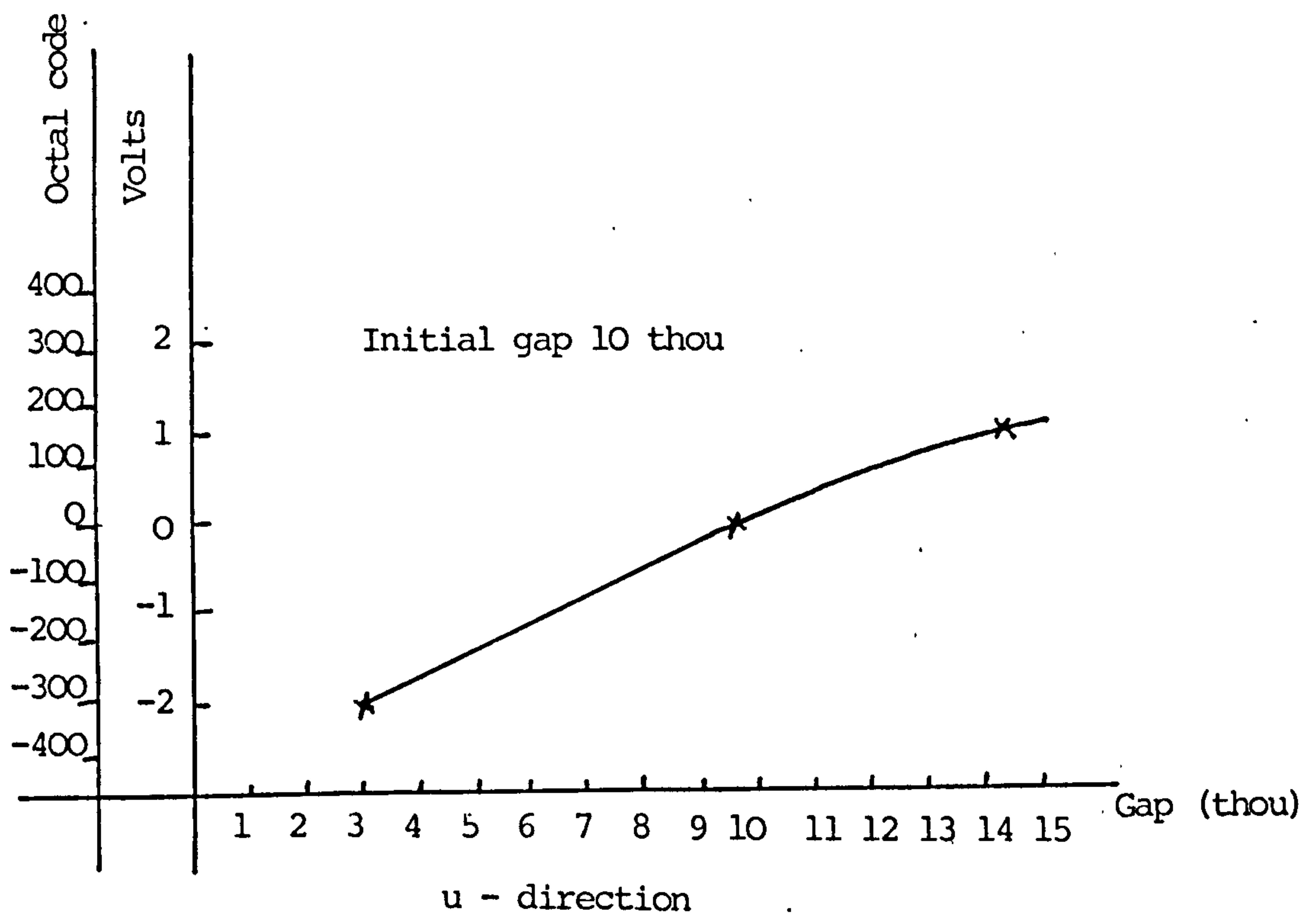
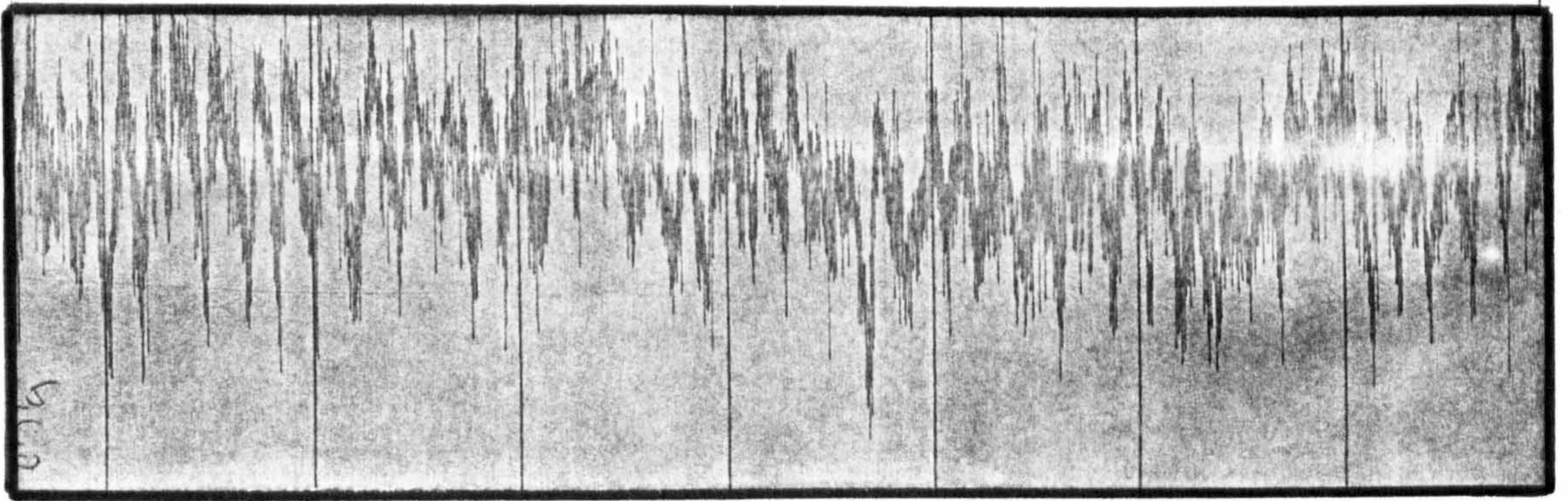


FIG7. 3.

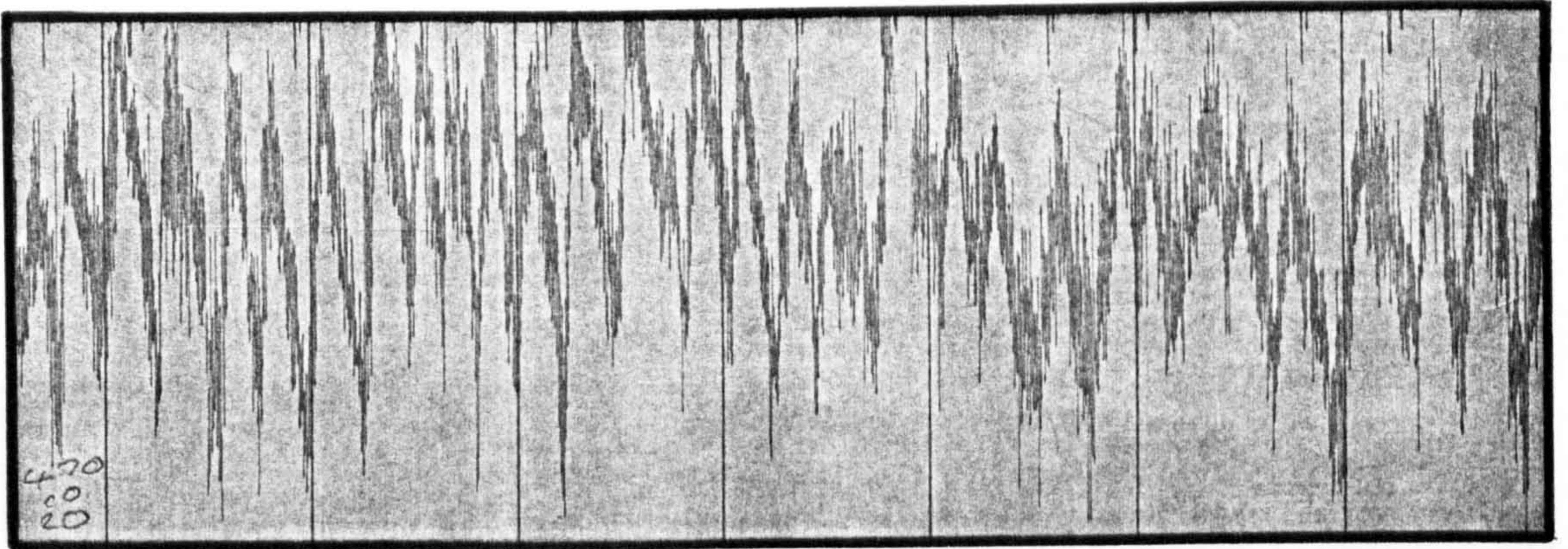


Calibration curves for displacement measurement system

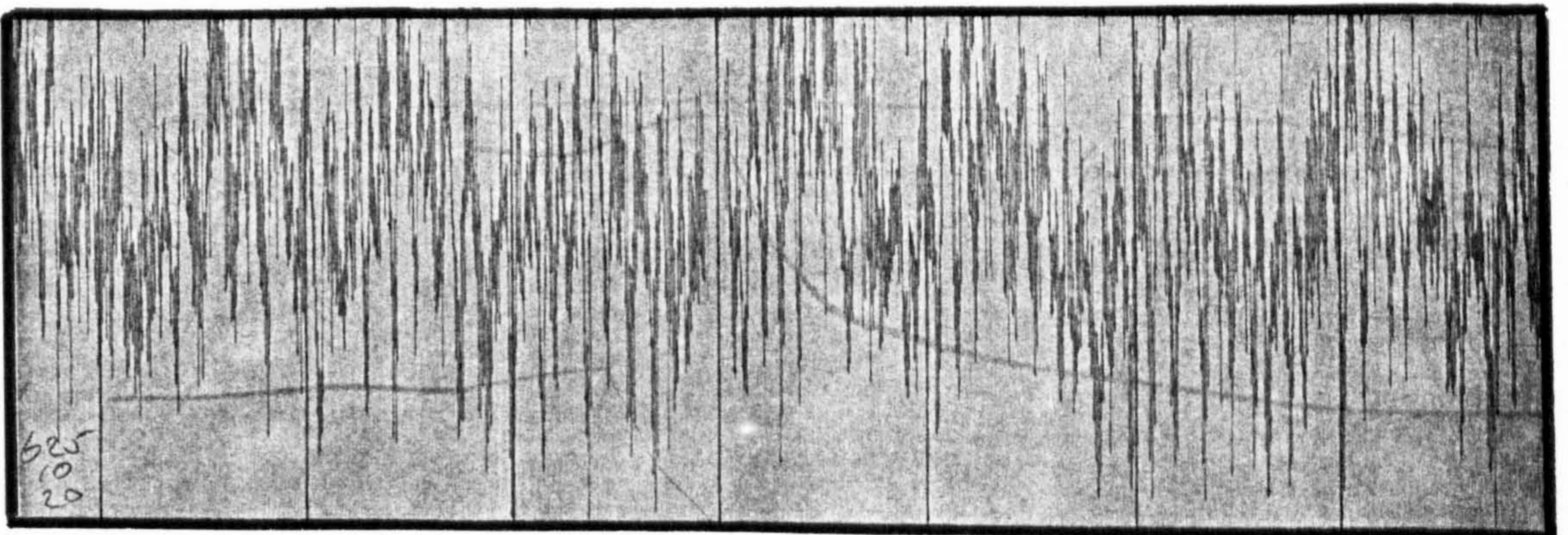
FIG7. 4.

F_c 

Speed=625 r.p.m., Feed=5 thou/rev., Cut=20 thou.

 F_c 

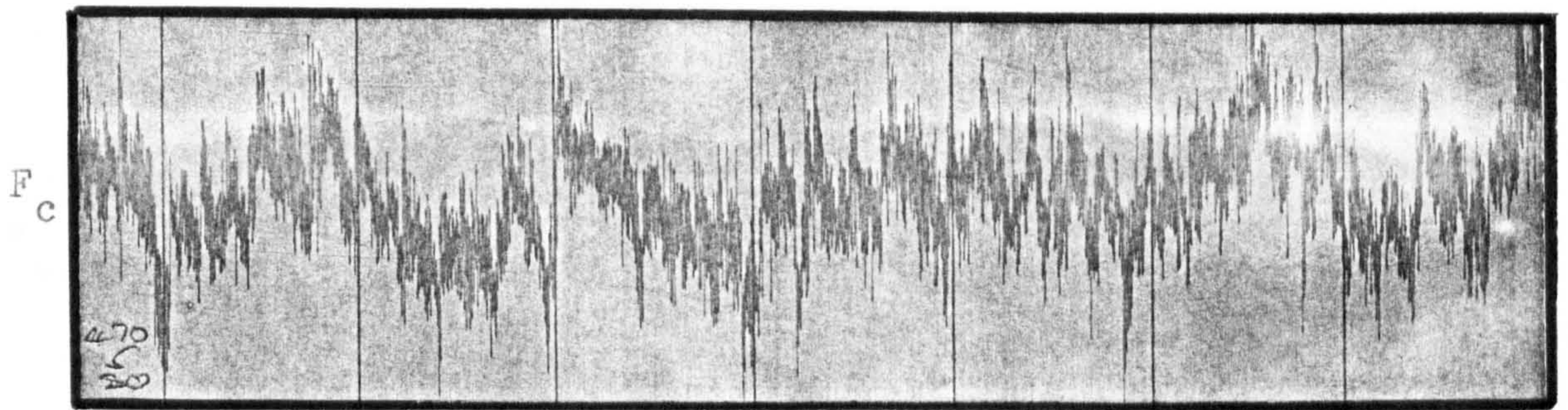
Speed=470 r.p.m., Feed=10 thou/rev., Cut=20 thou.

 F_c 

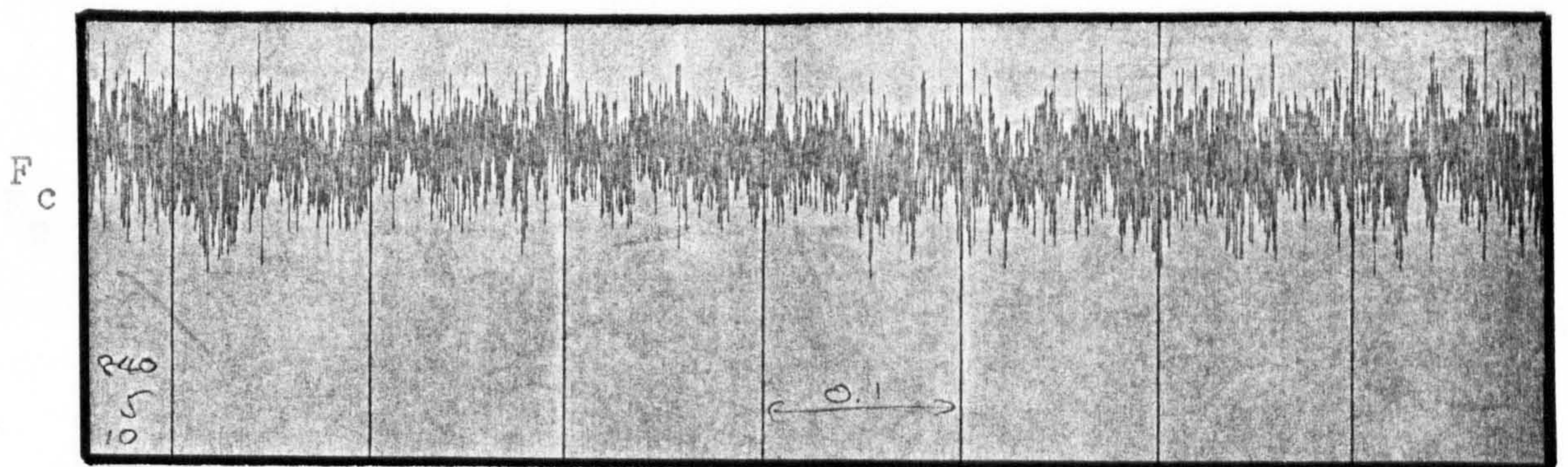
Speed=625 r.p.m., Feed=10 thou/rev., Cut=20 thou.

Cutting force traces.

FIG7. 5.



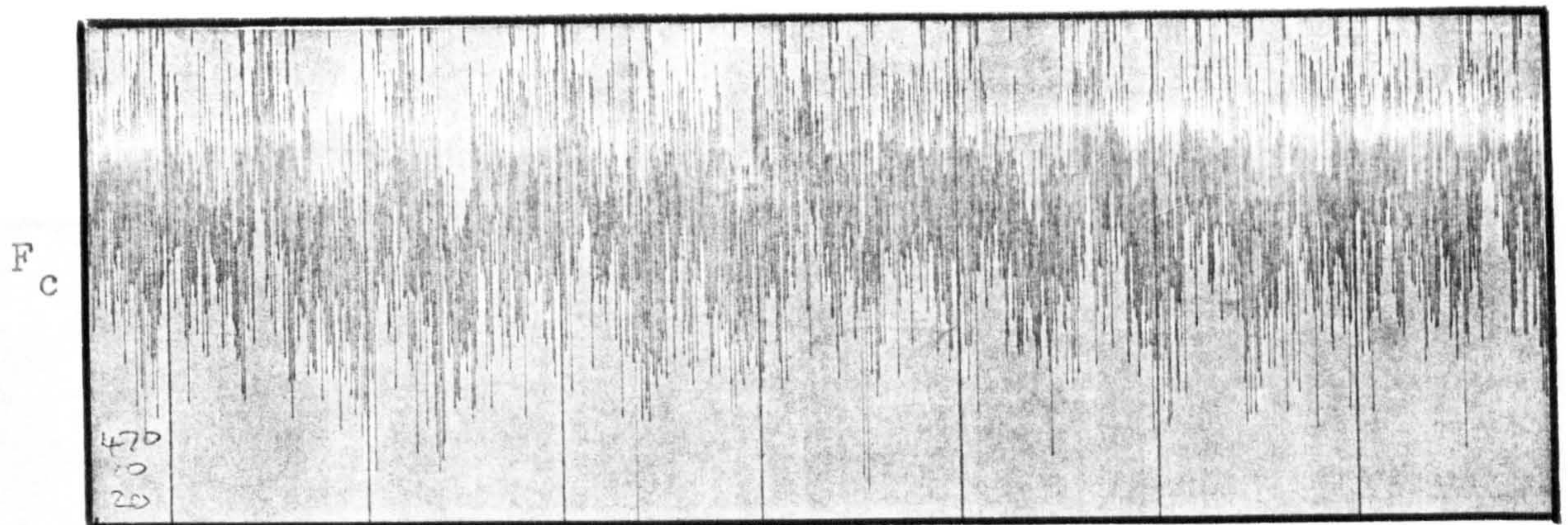
Speed=470 r.p.m., Feed=5 thou/rev., Cut=20 thou.



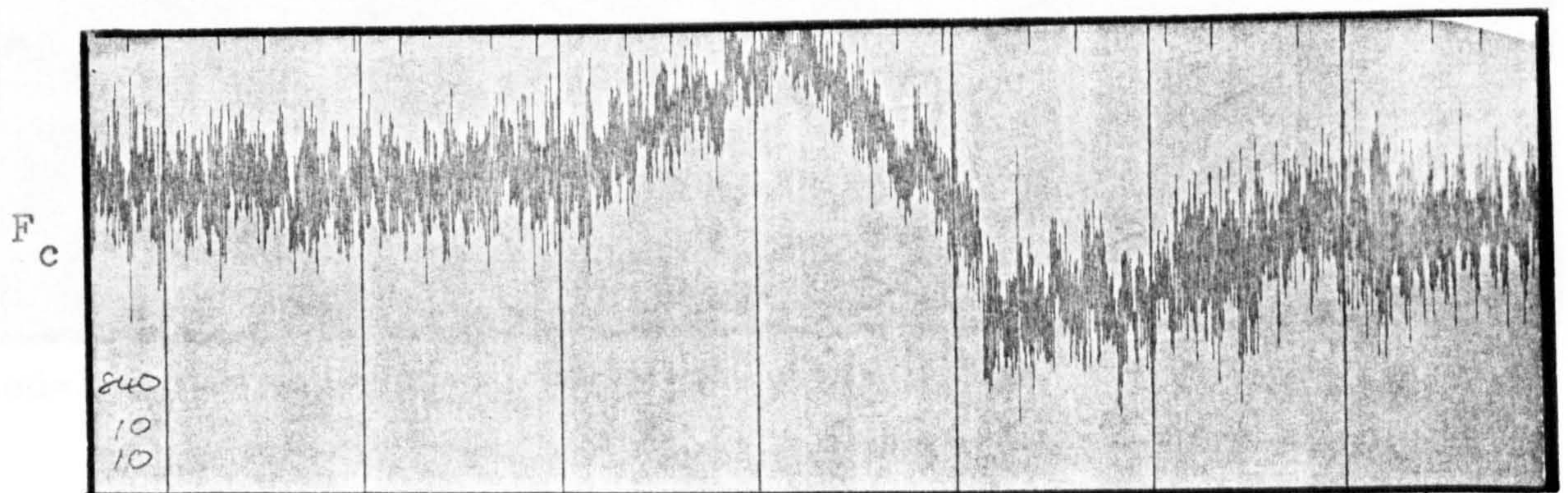
Speed=840 r.p.m., Feed=5 thou/rev., Cut=10 thou.

Cutting force traces.

FIG7. 6.

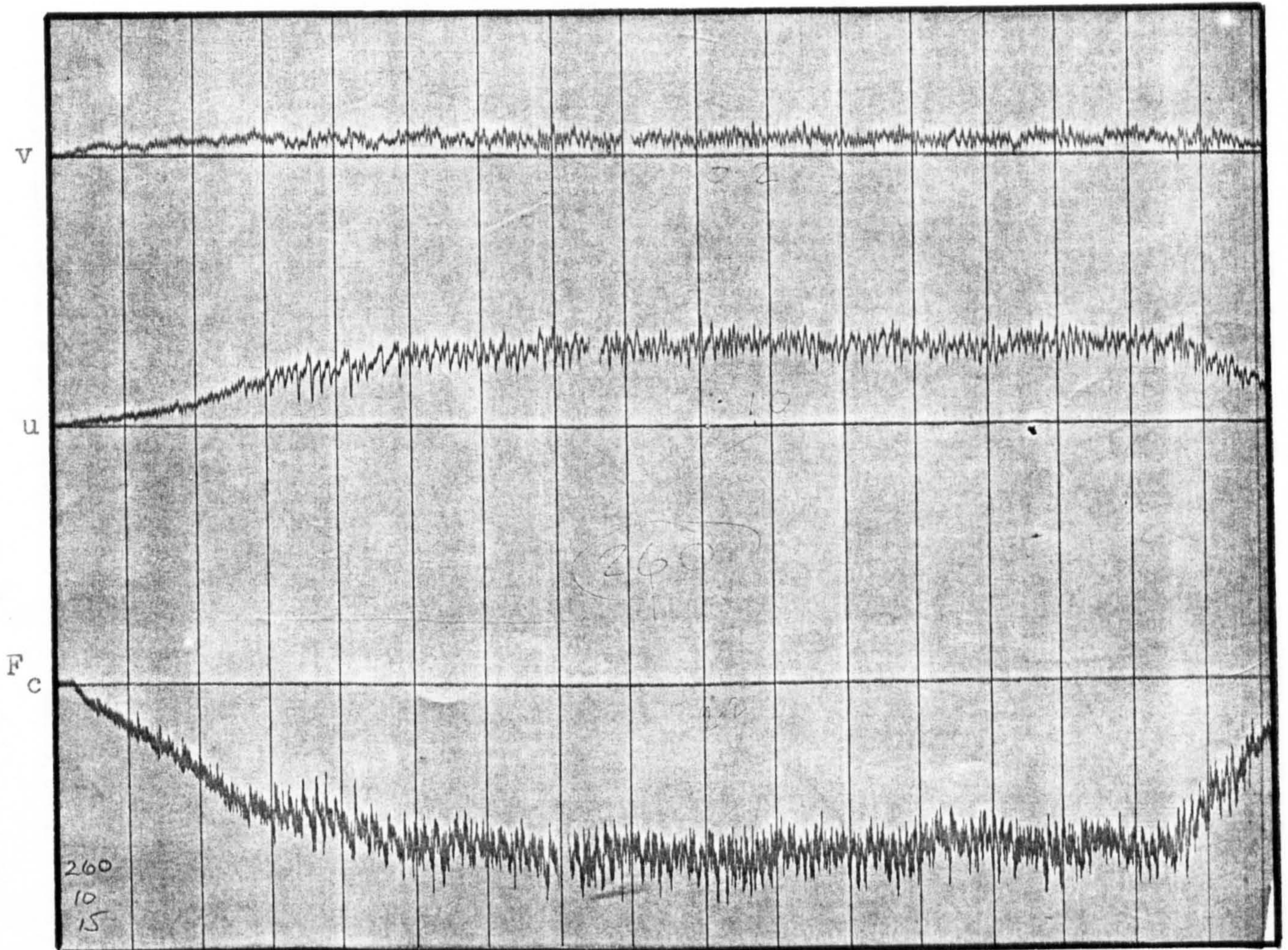


Speed=470 r.p.m., Feed=10 thou/rev., Cut=20 thou.



Speed=840 r.p.m., Feed=10 thou/rev., Cut=10 thou.

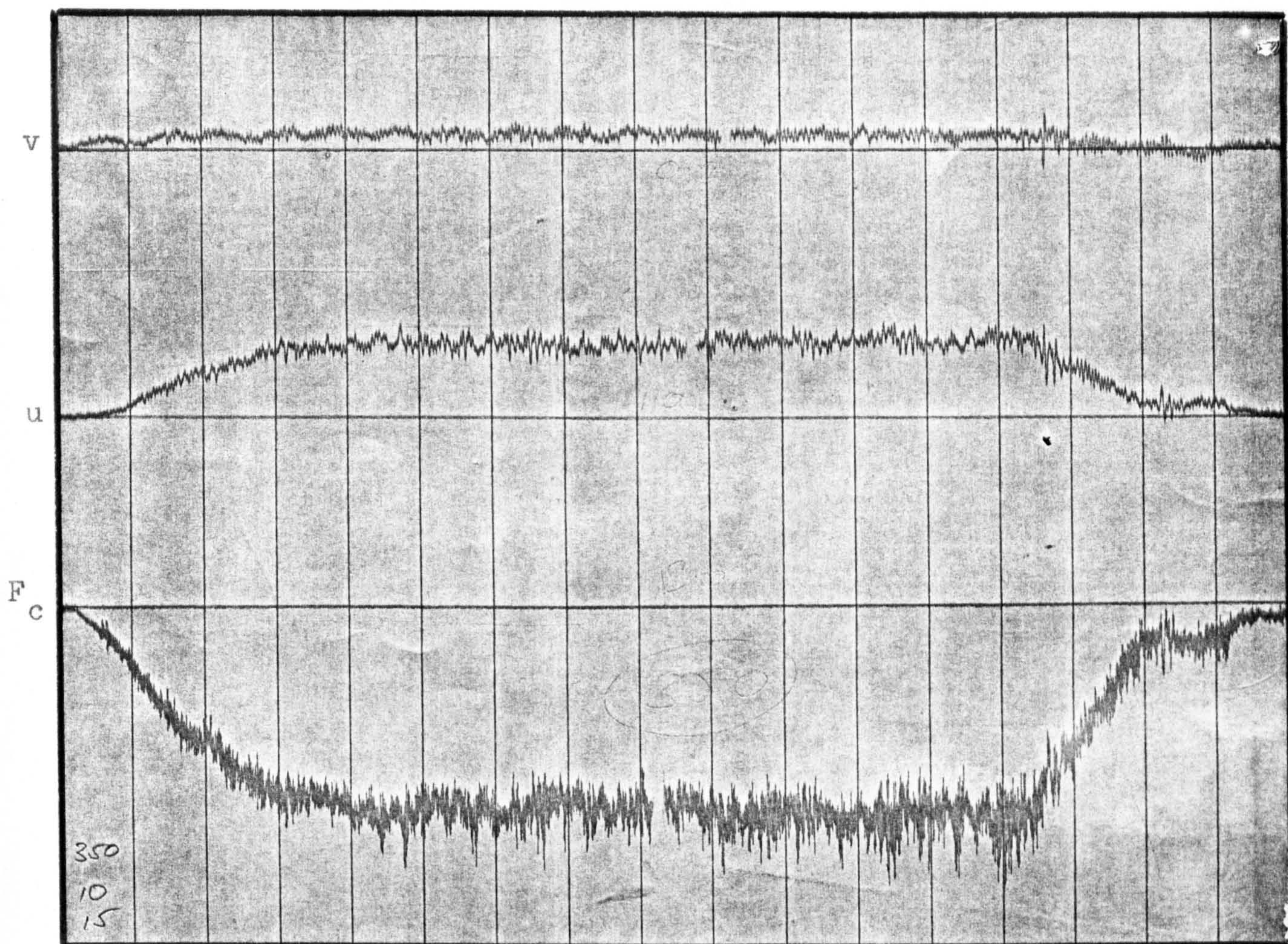
Cutting force traces.



Speed=260 r.p.m., Feed=10 thou/rev., Cut=15 thou.

Cutting force and workpiece displacement traces.

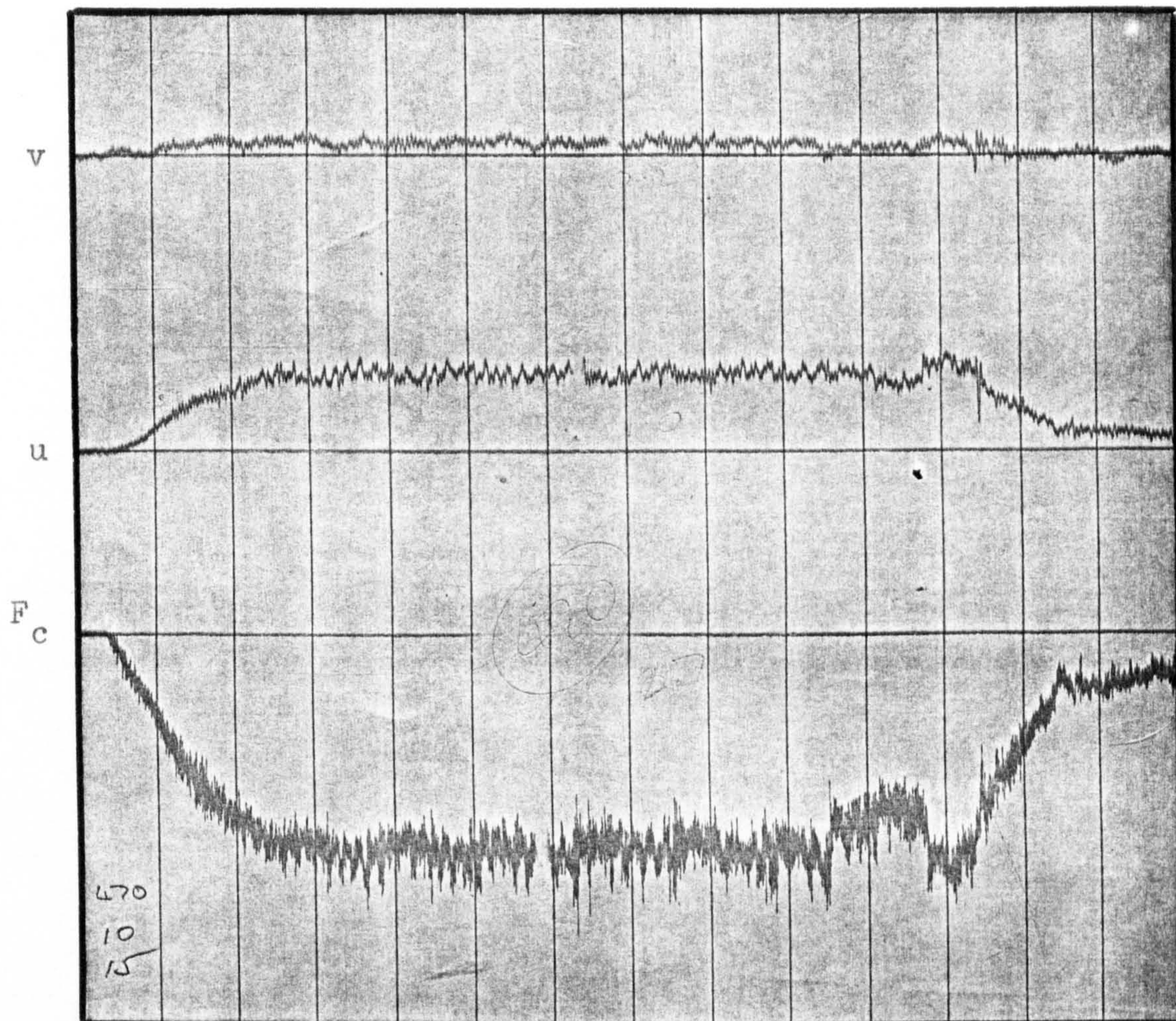
FIG7. 8.



Speed=350 r.p.m., Feed=10 thou/rev., Cut=15 thou.

Cutting force and workpiece displacement traces.

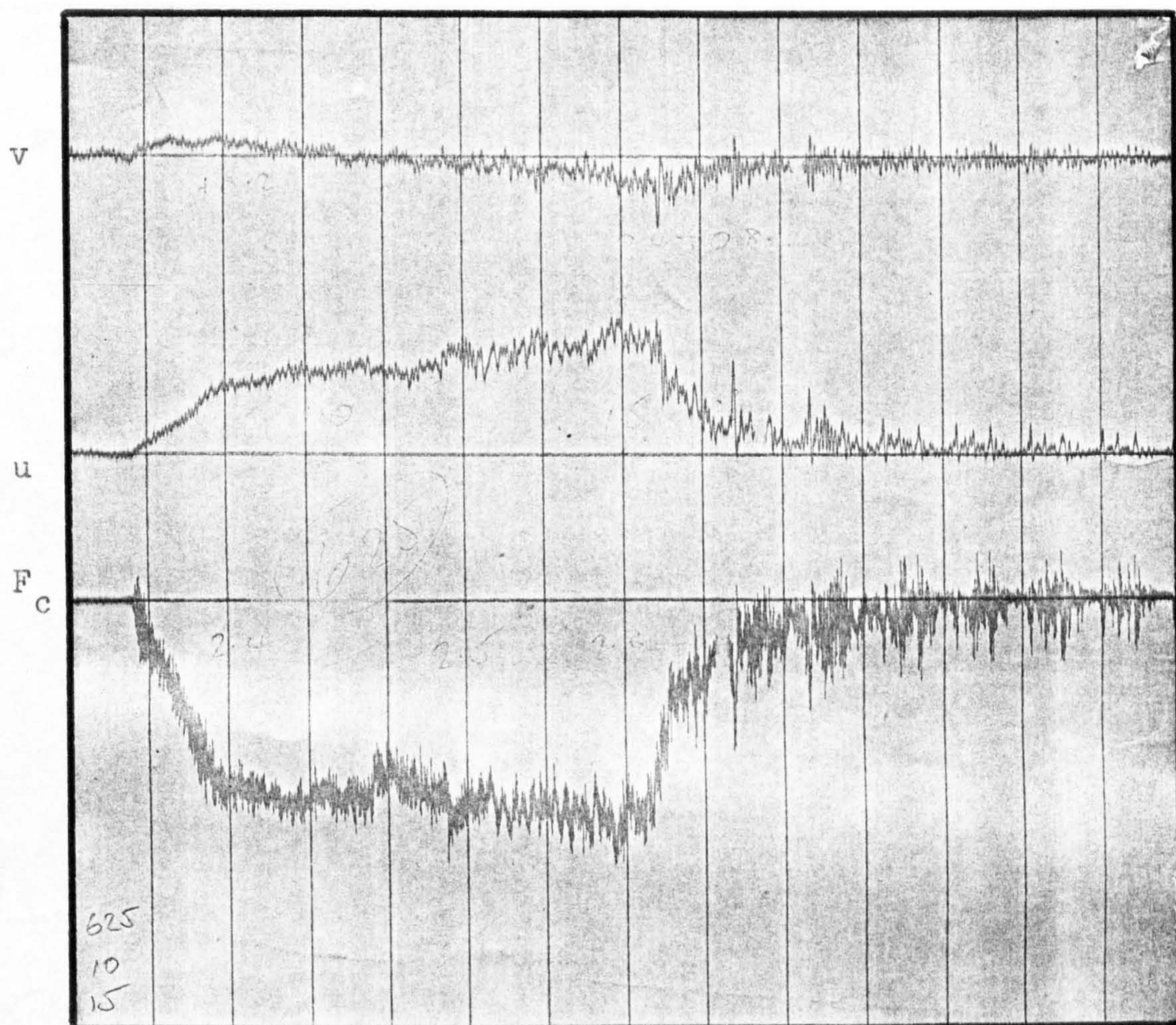
FIG7. 9.



Speed=470 r.p.m., Feed=10 thou/rev., Cut=15 thou.

Cutting force and workpiece displacement traces.

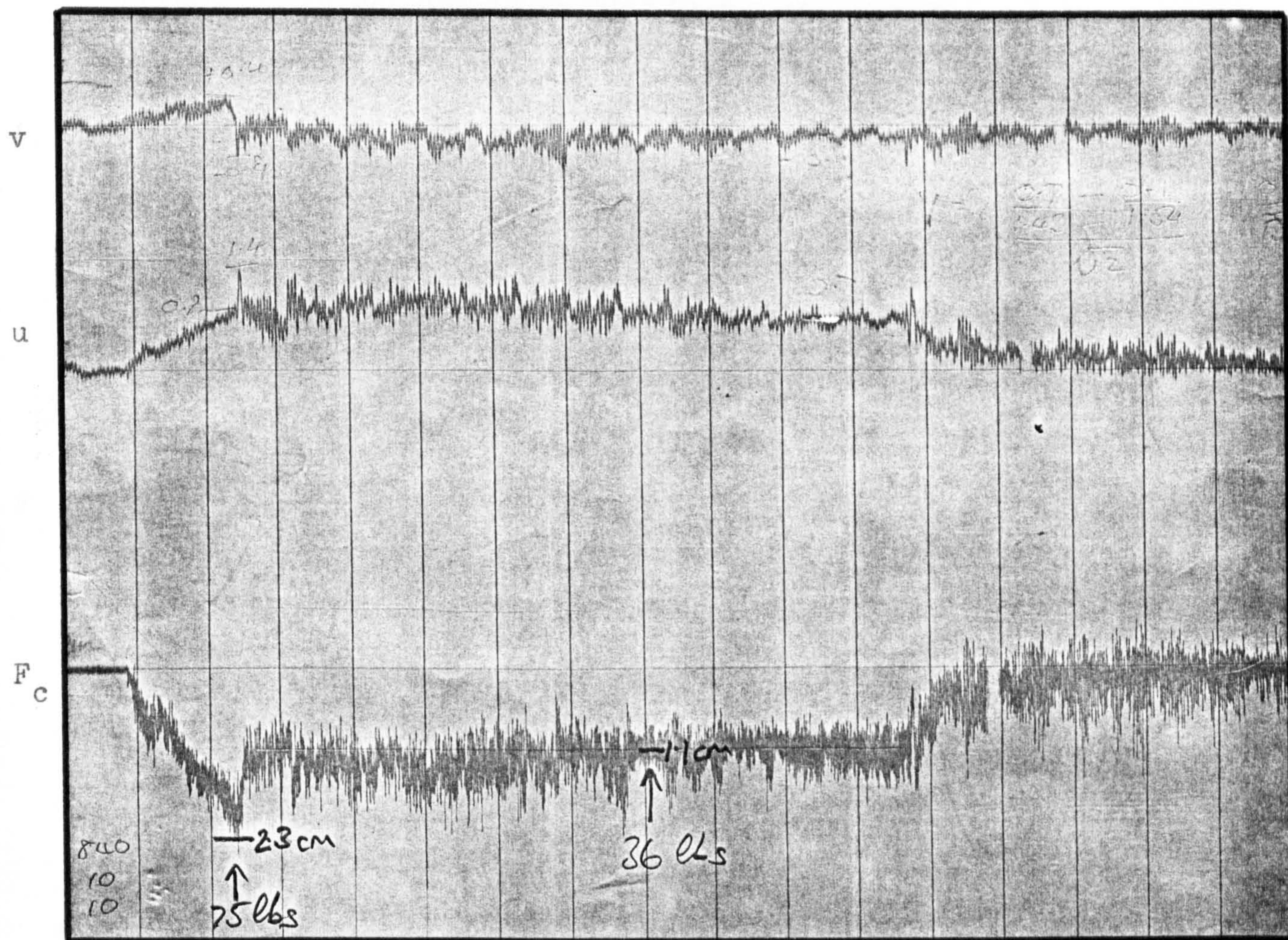
FIG7. 10.



Speed=625 r.p.m., Feed=10 thou/rev., Cut=15 thou.

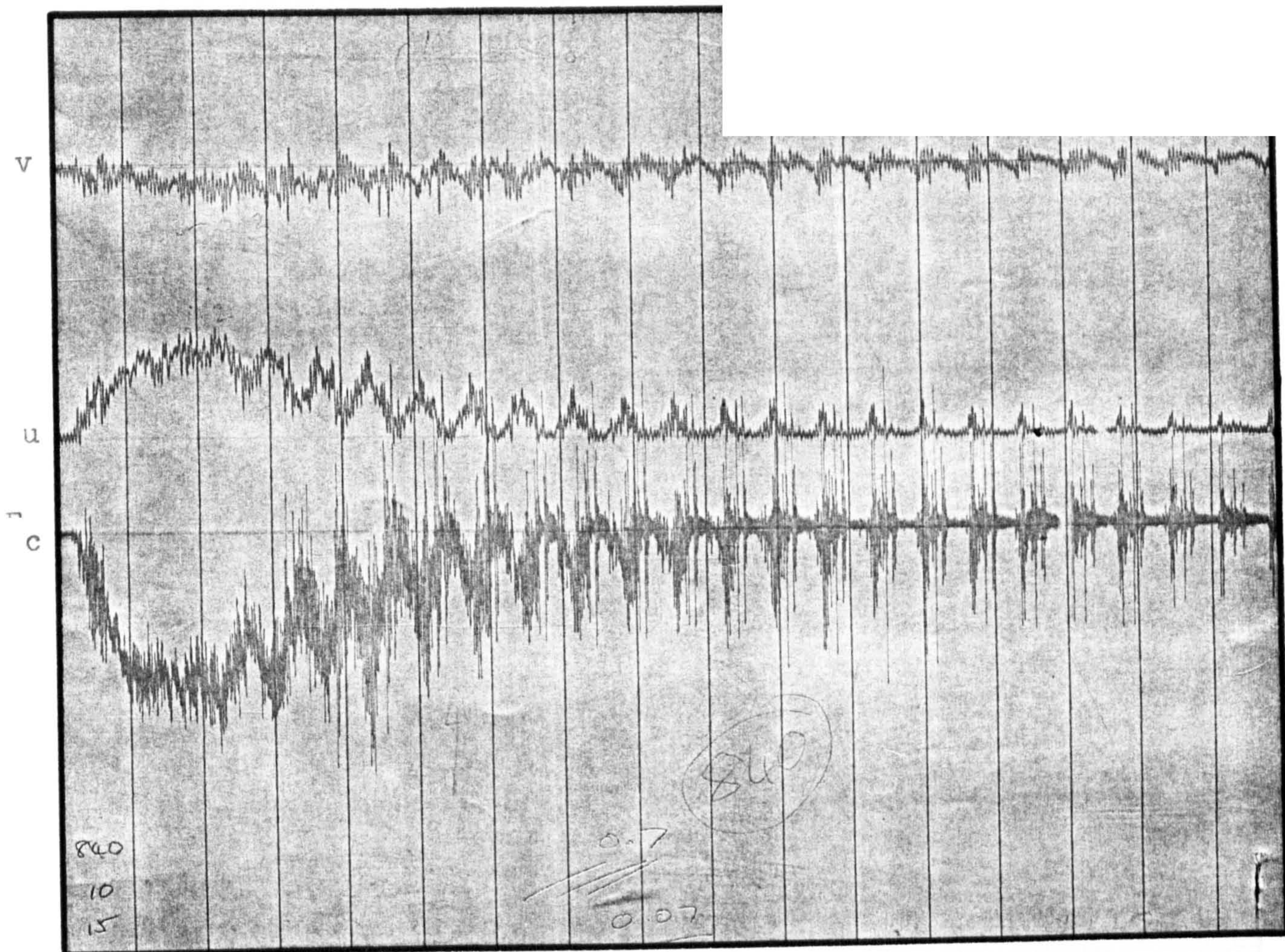
Cutting force and workpiece displacement traces.

FIG7. 11.



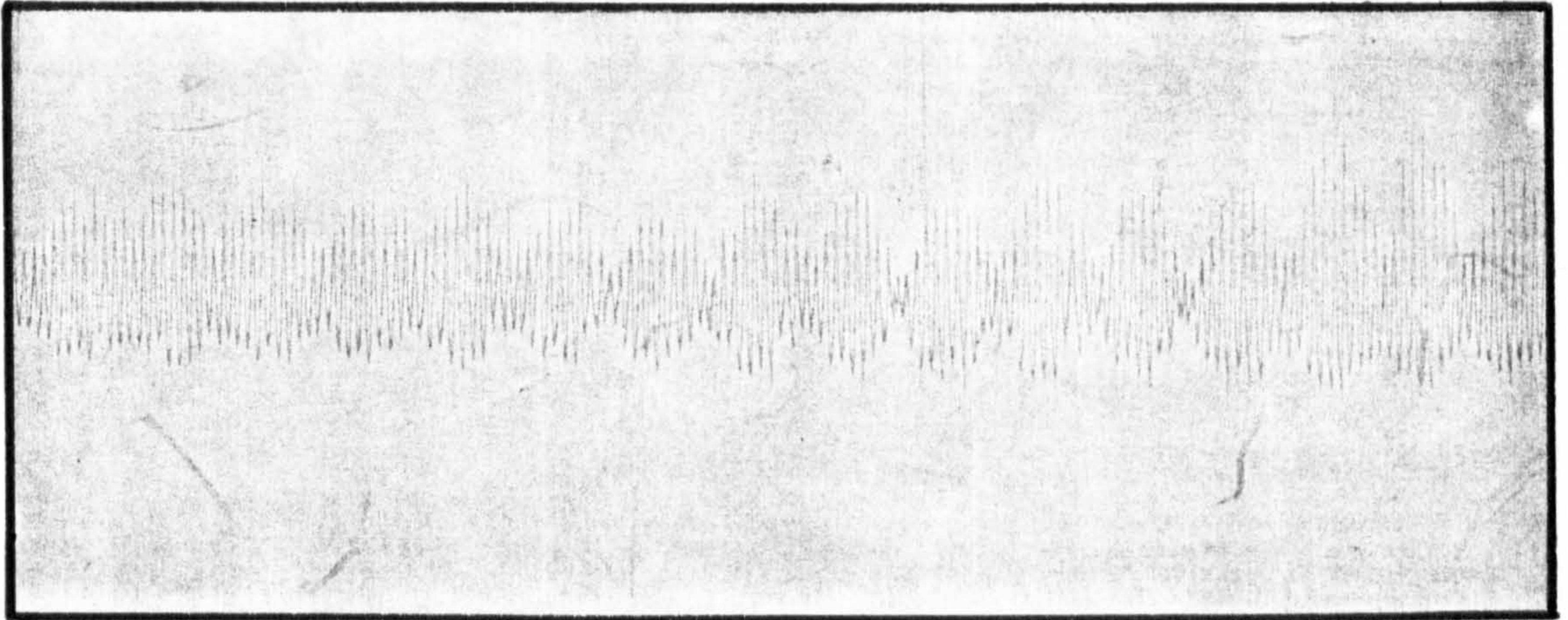
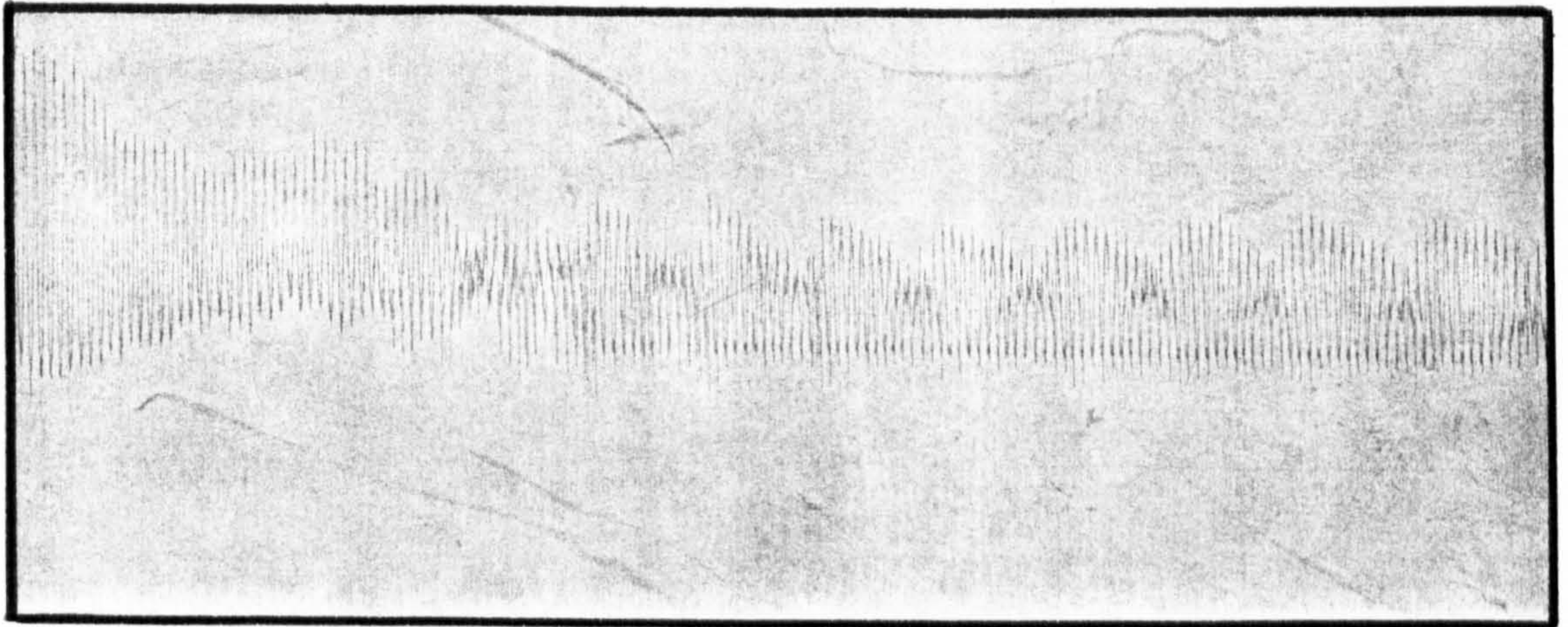
Speed=840 r.p.m., Feed=10 thou/rev., Cut=10 thou.

Cutting force and workpiece displacement traces.



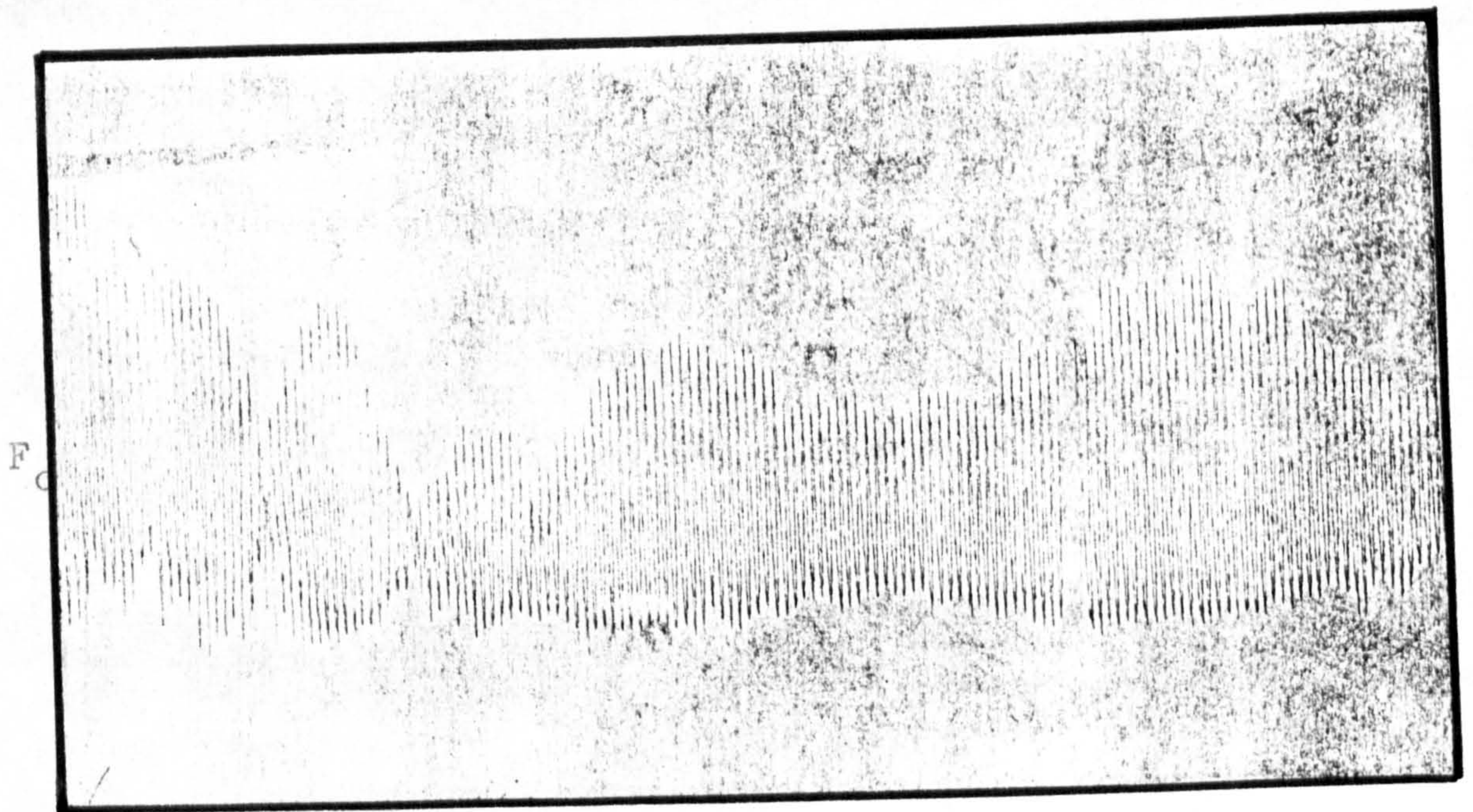
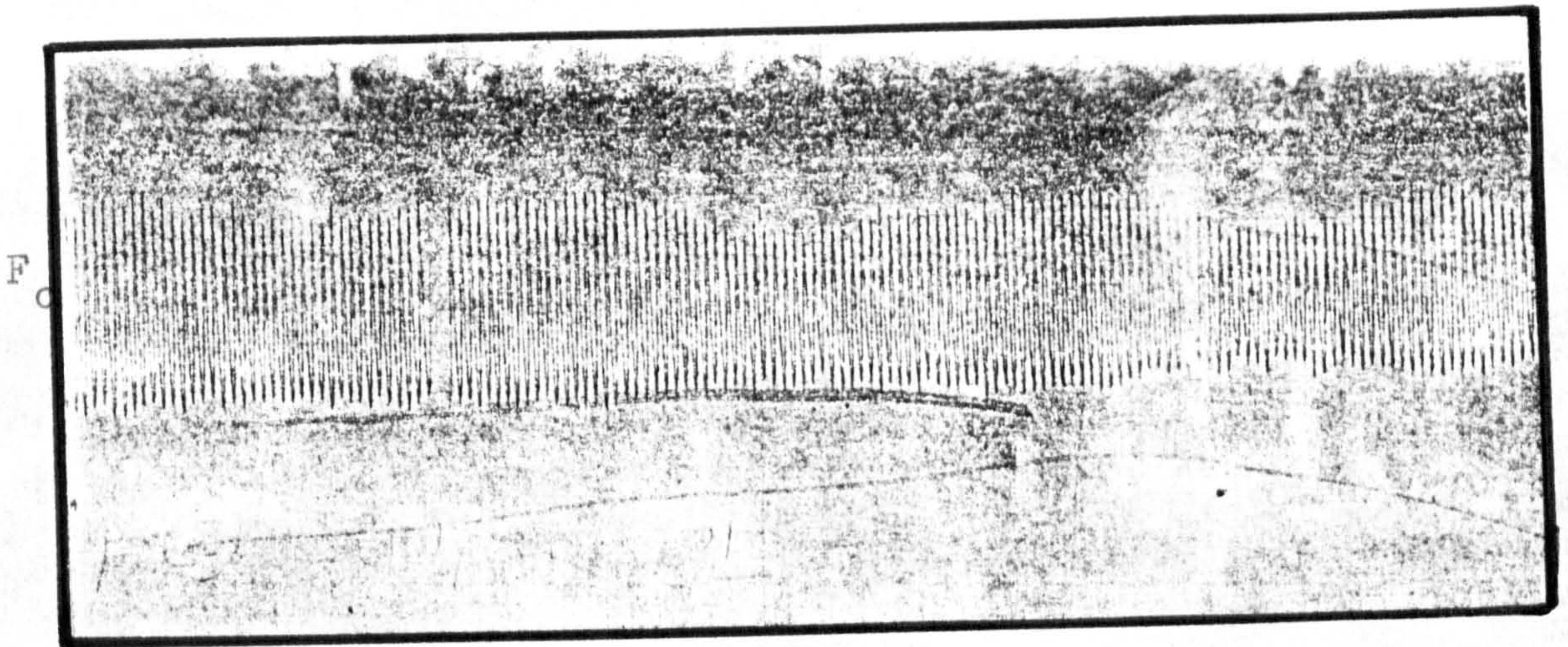
Speed=840 r.p.m., Feed=10 thou/rev., Cut=15 thou.

Cutting force and workpiece displacement traces.

F_c  F_c 

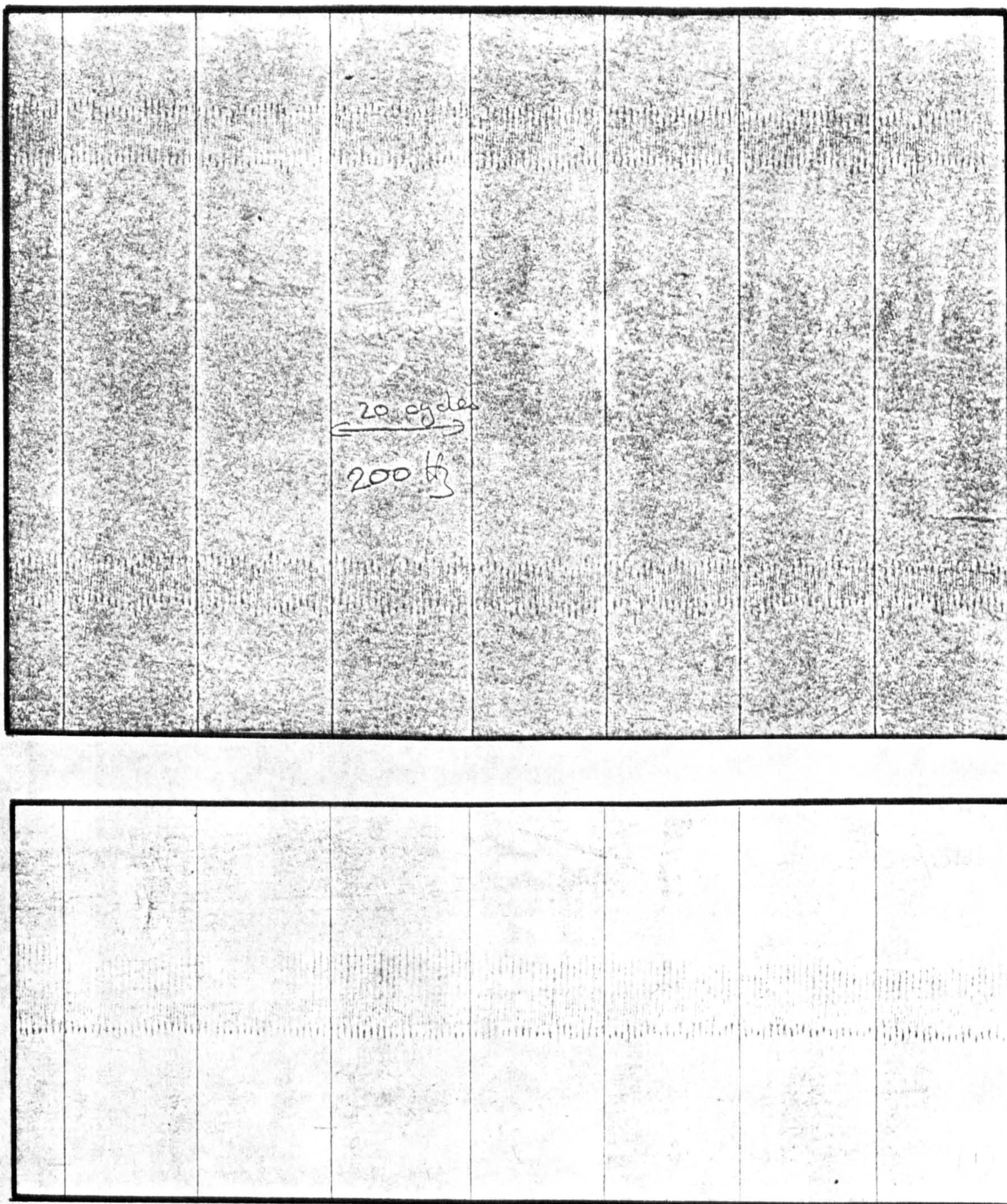
Cutting force traces - chattering, 'beats'

FIG7. 14.



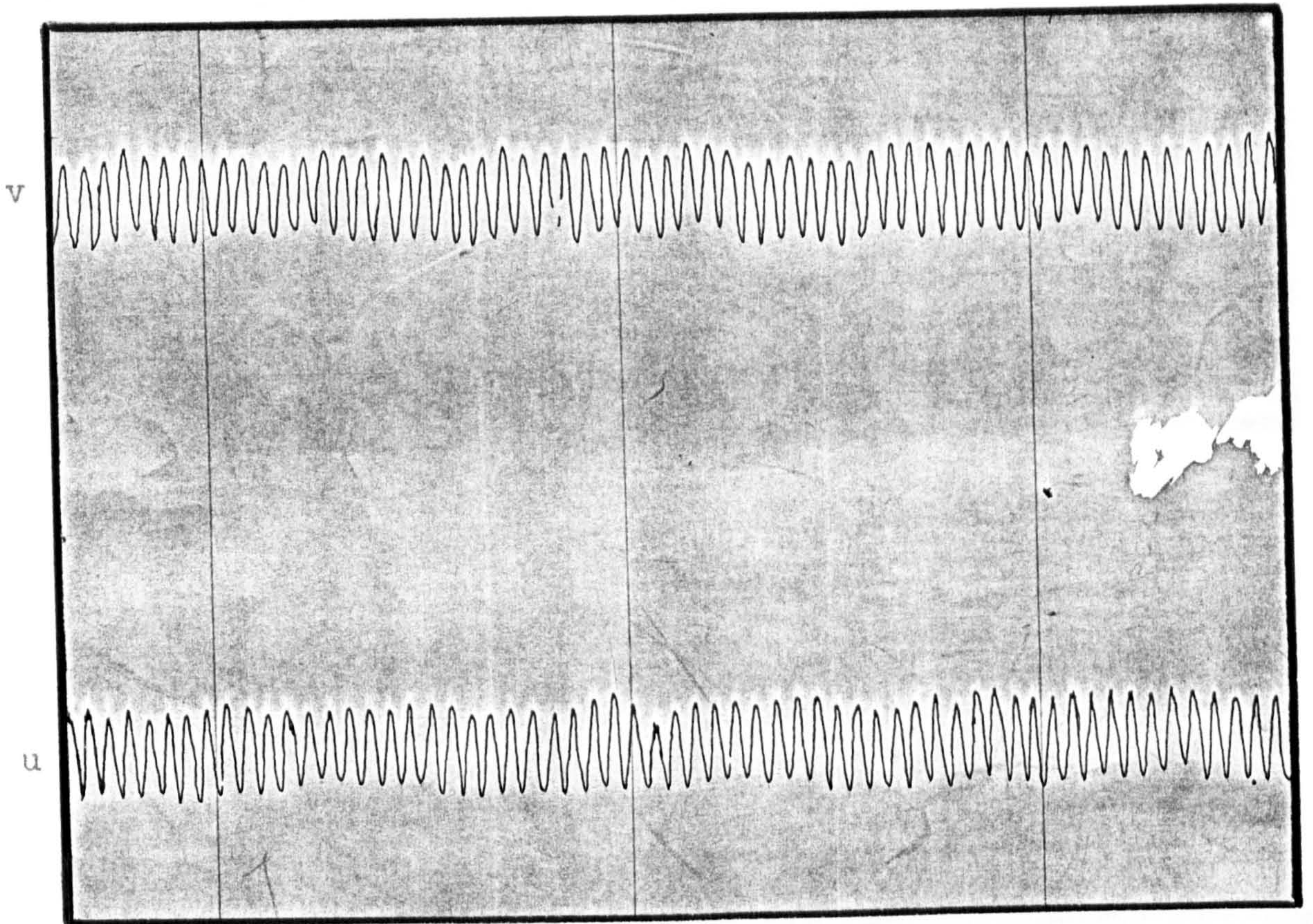
Cutting force traces - severe chattering.

FIG7. 15.



Cutting force and workpiece displacement traces - chatter

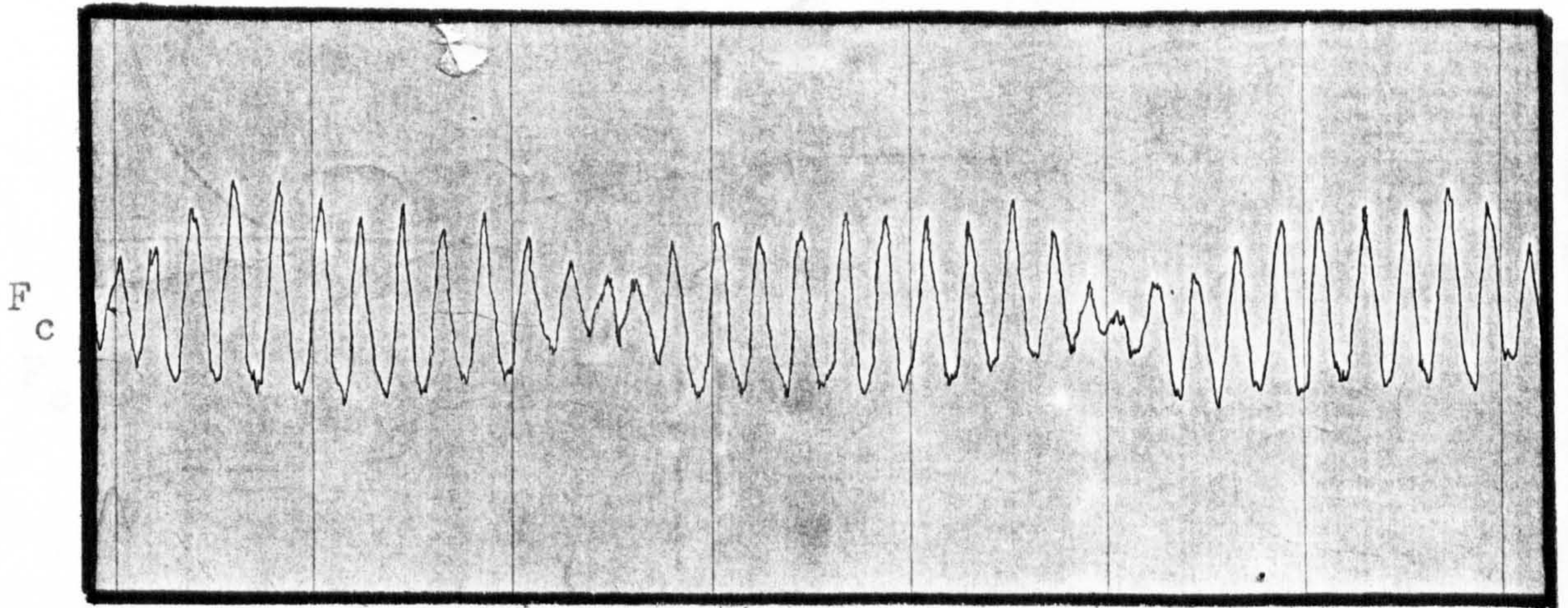
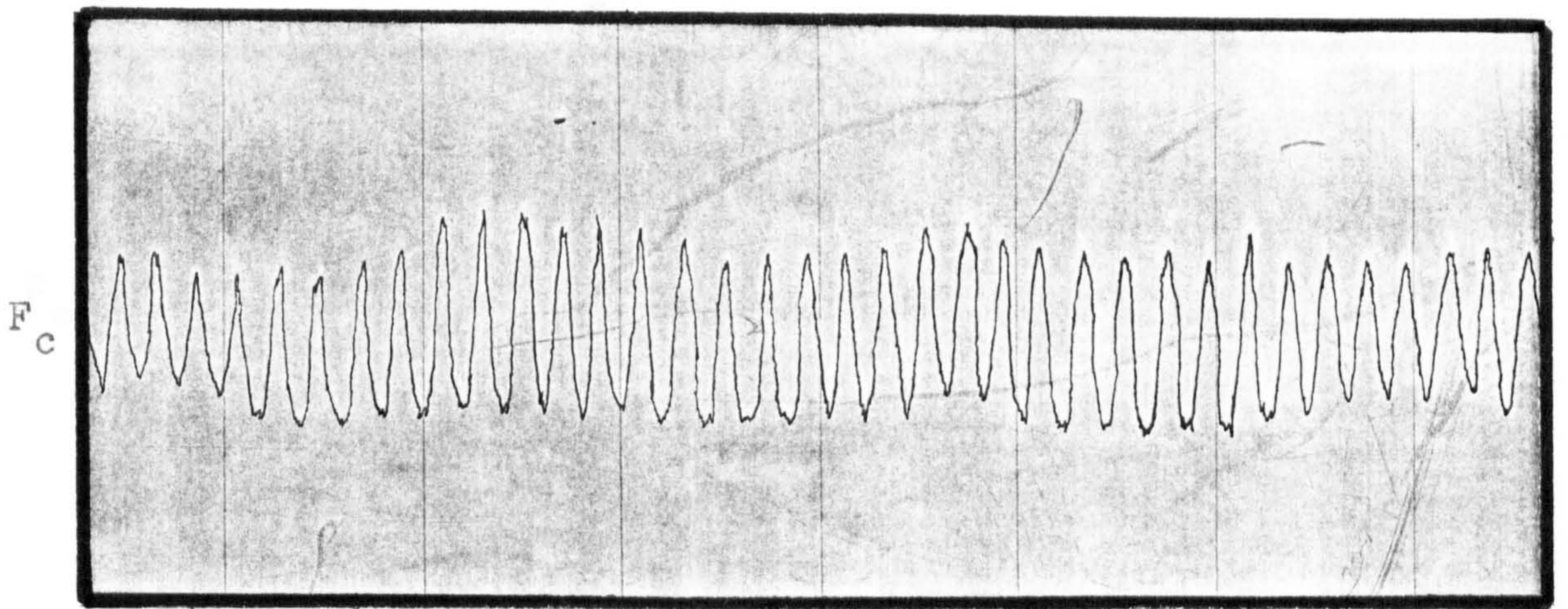
FIG7. 16.



Workpiece displacement traces - chattering.

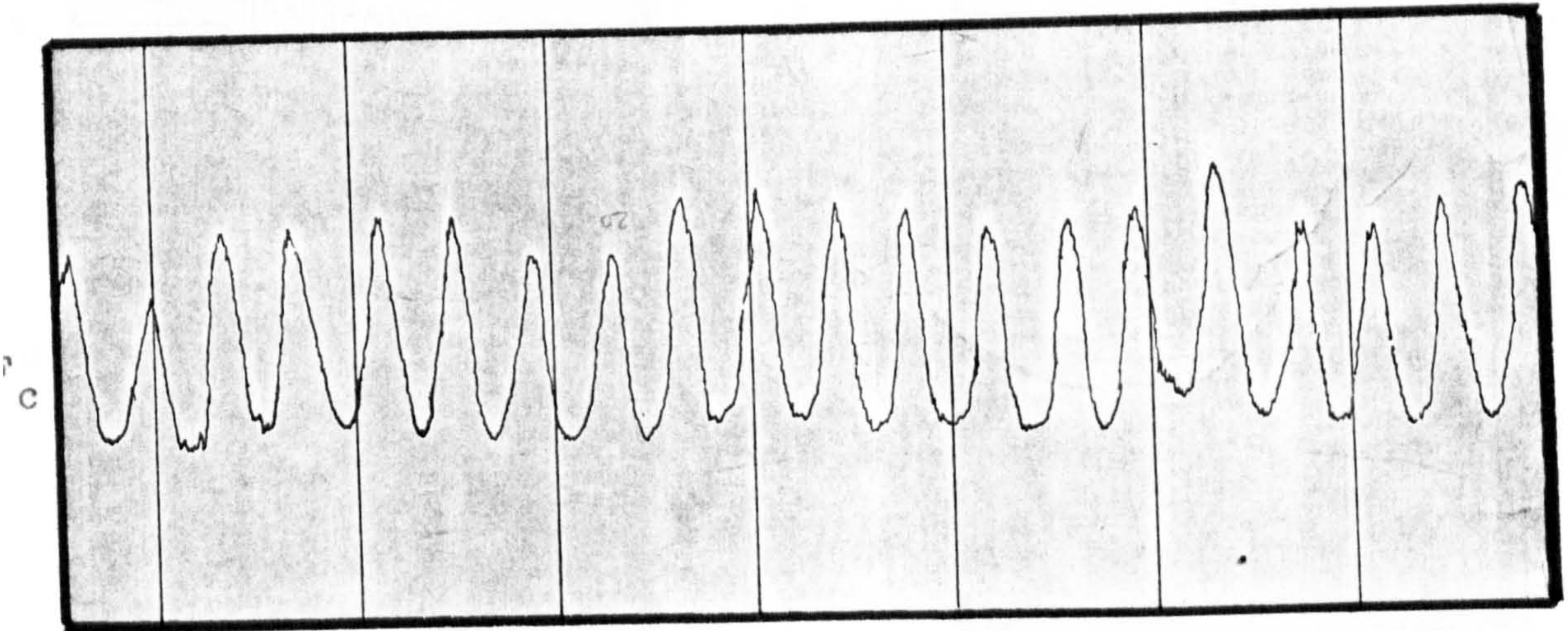
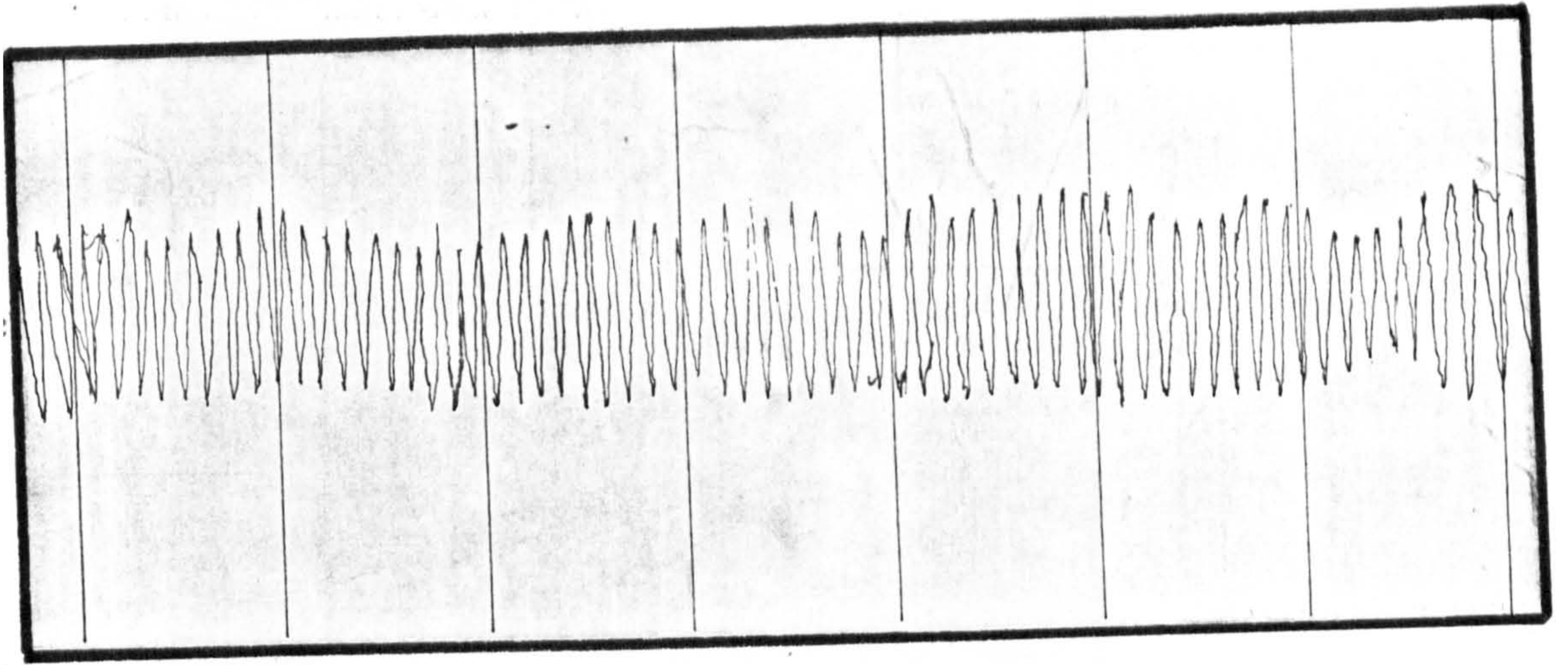
FIG7. 17.

628
18
8 km



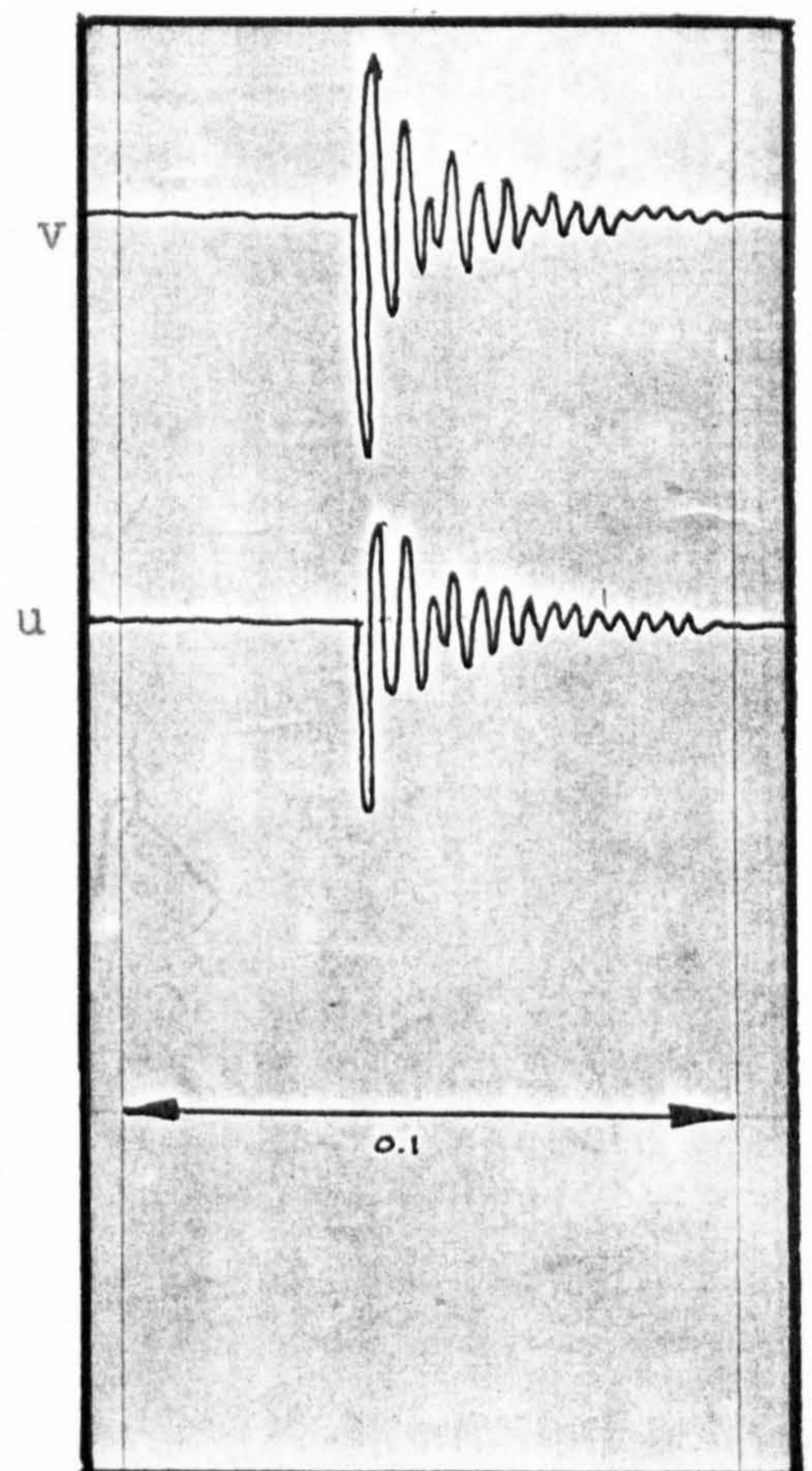
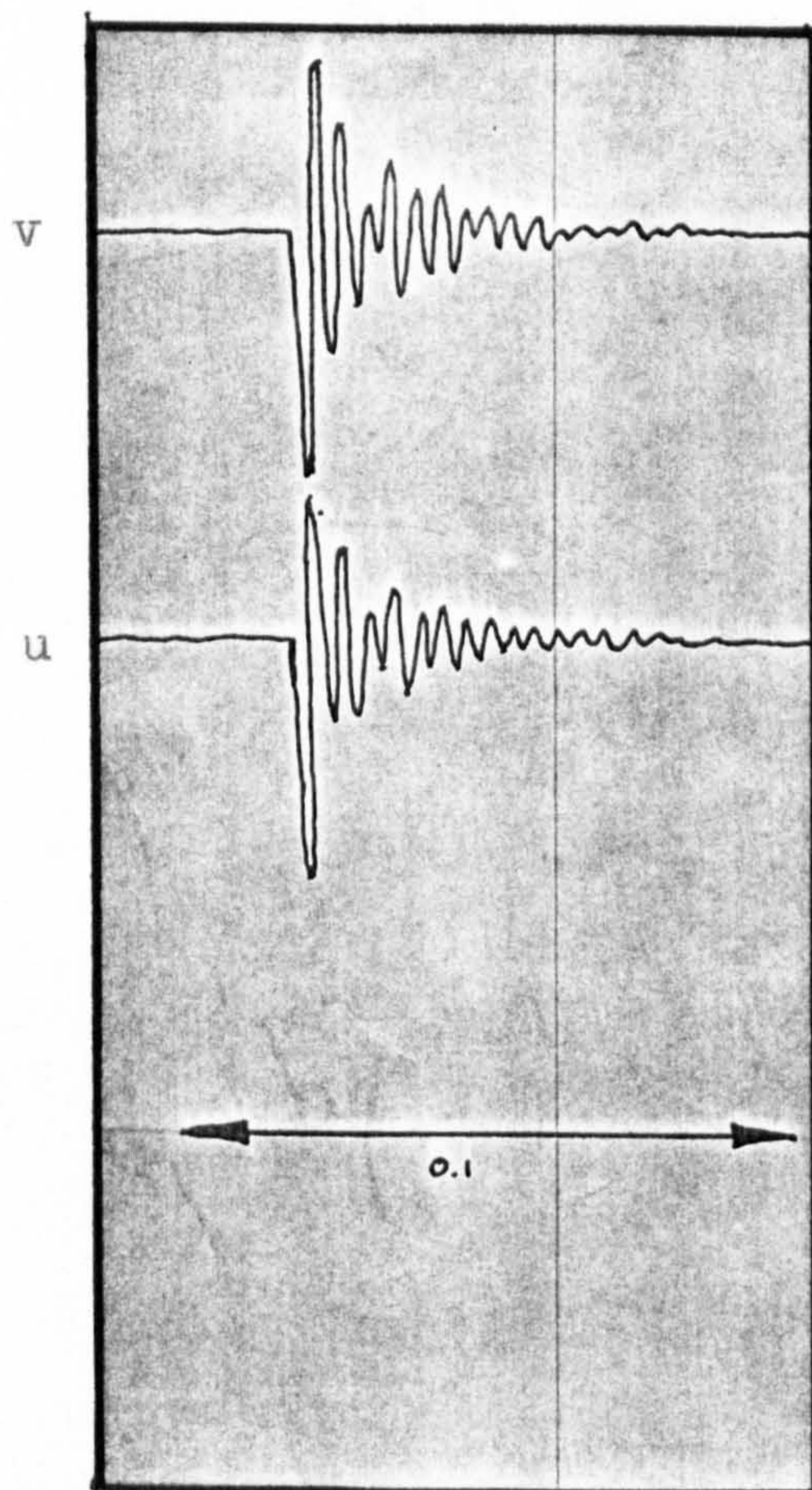
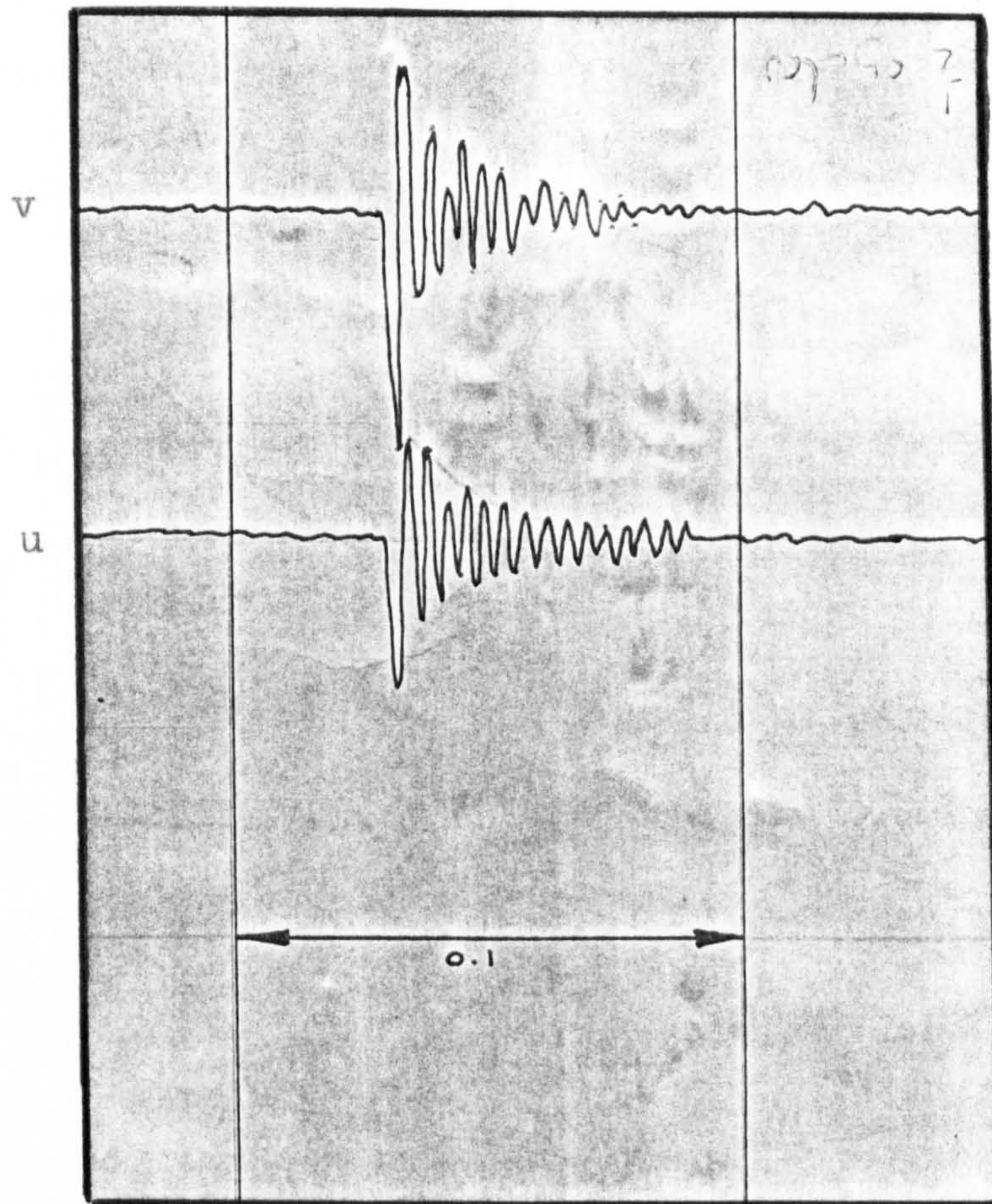
Cutting force traces - chattering, 'beats'

FIG7. 18.



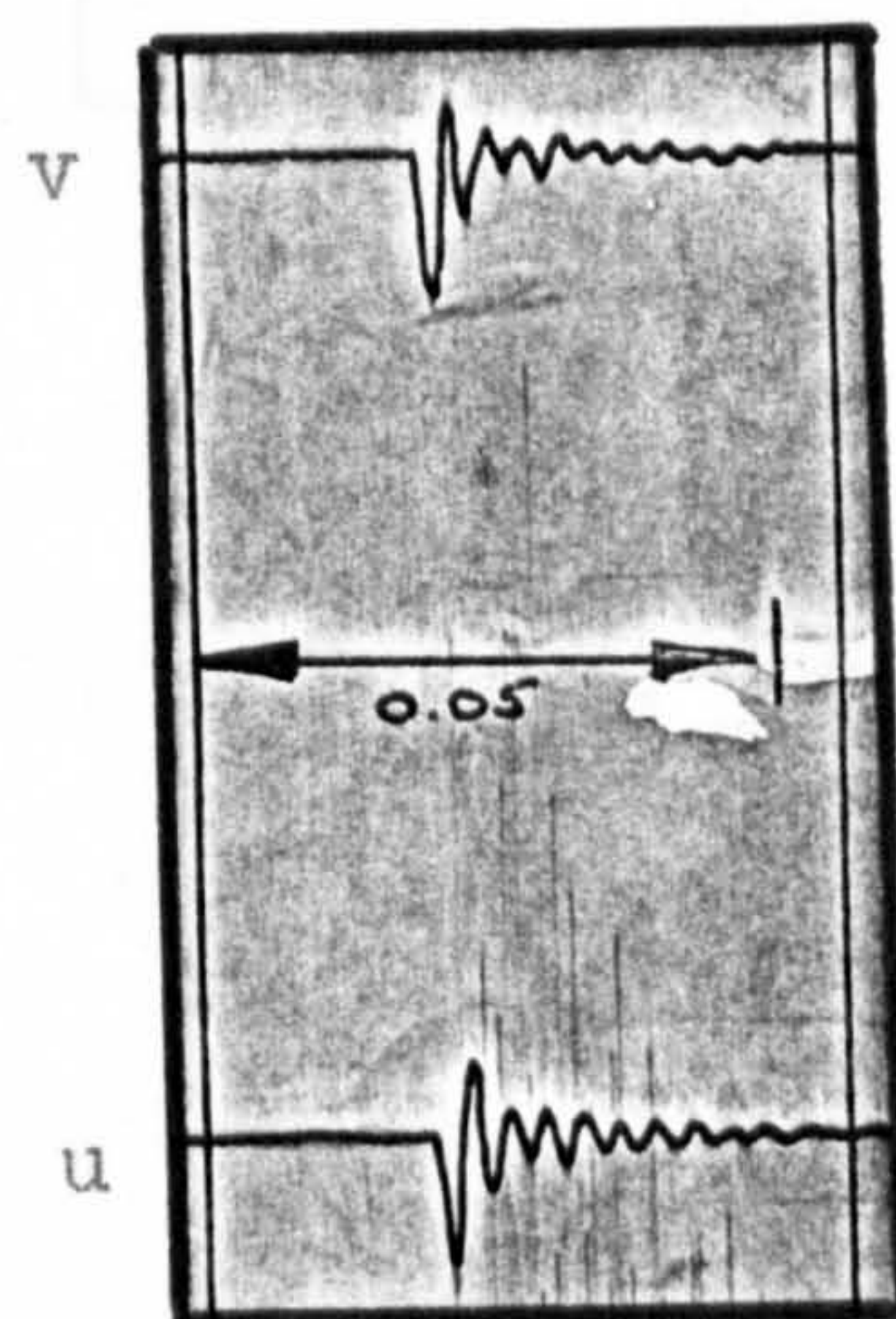
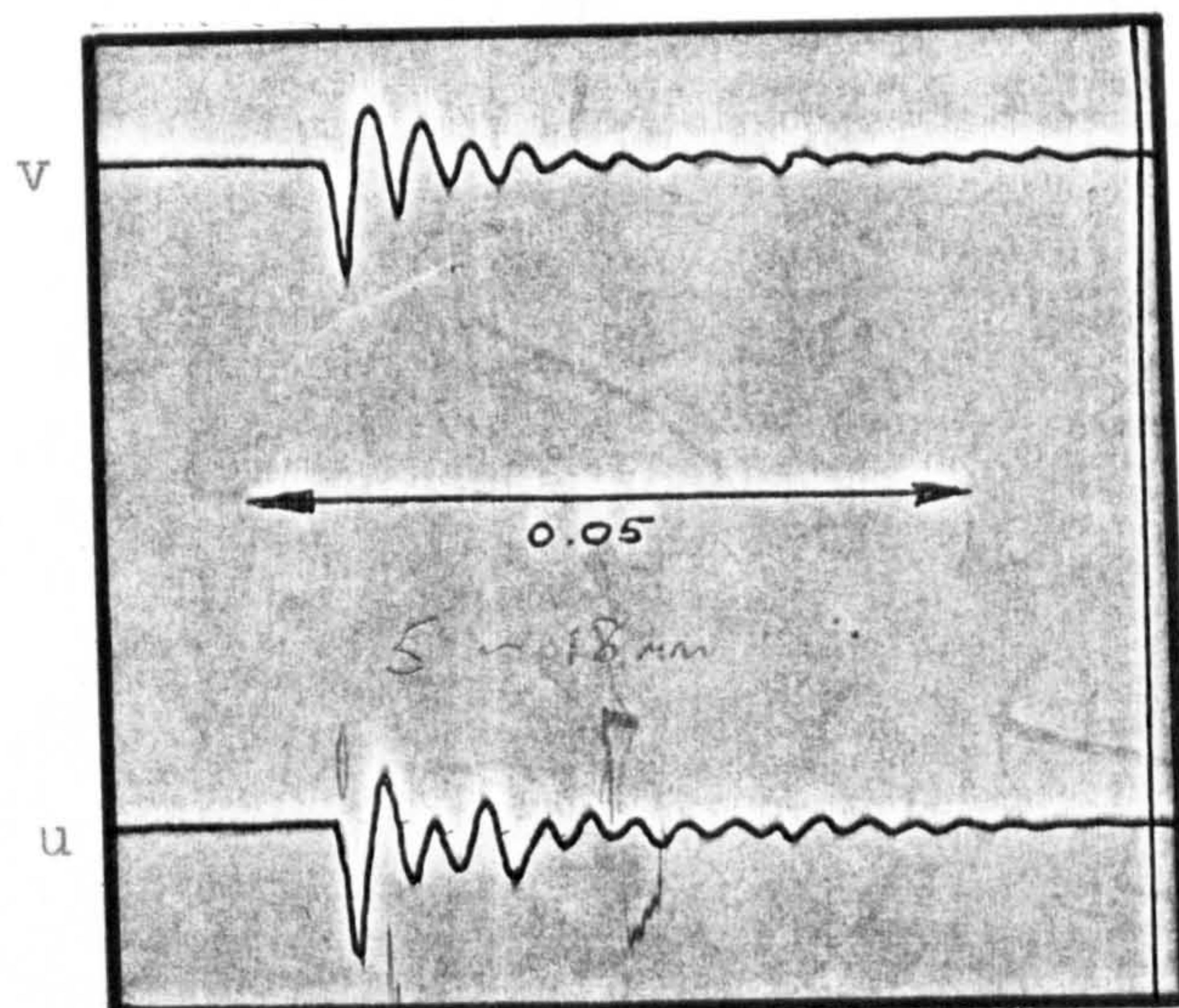
Cutting force traces - chattering.

FIG7. 19.



Impulse responses - lathe stationary.

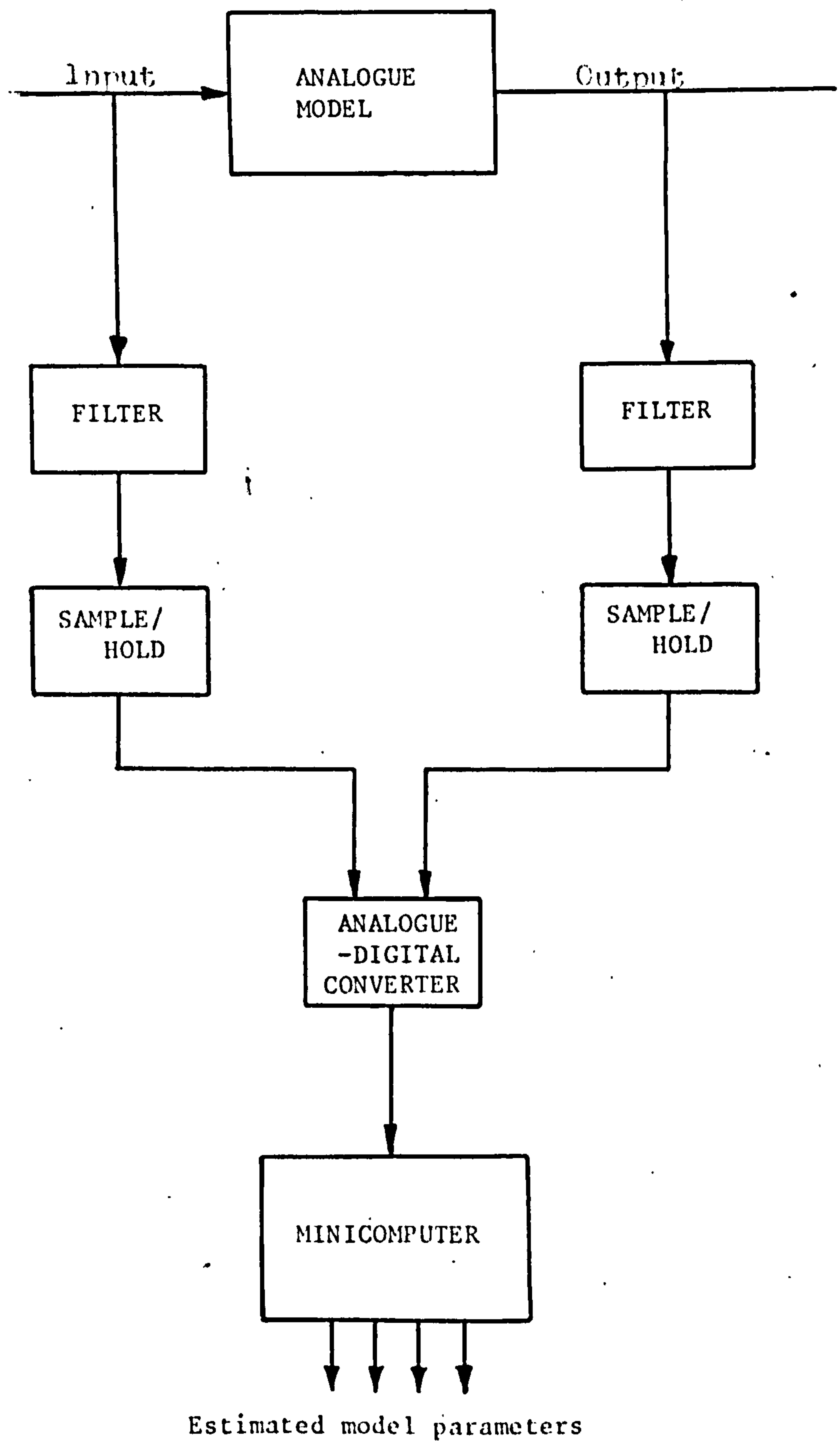
FIG7. 20.



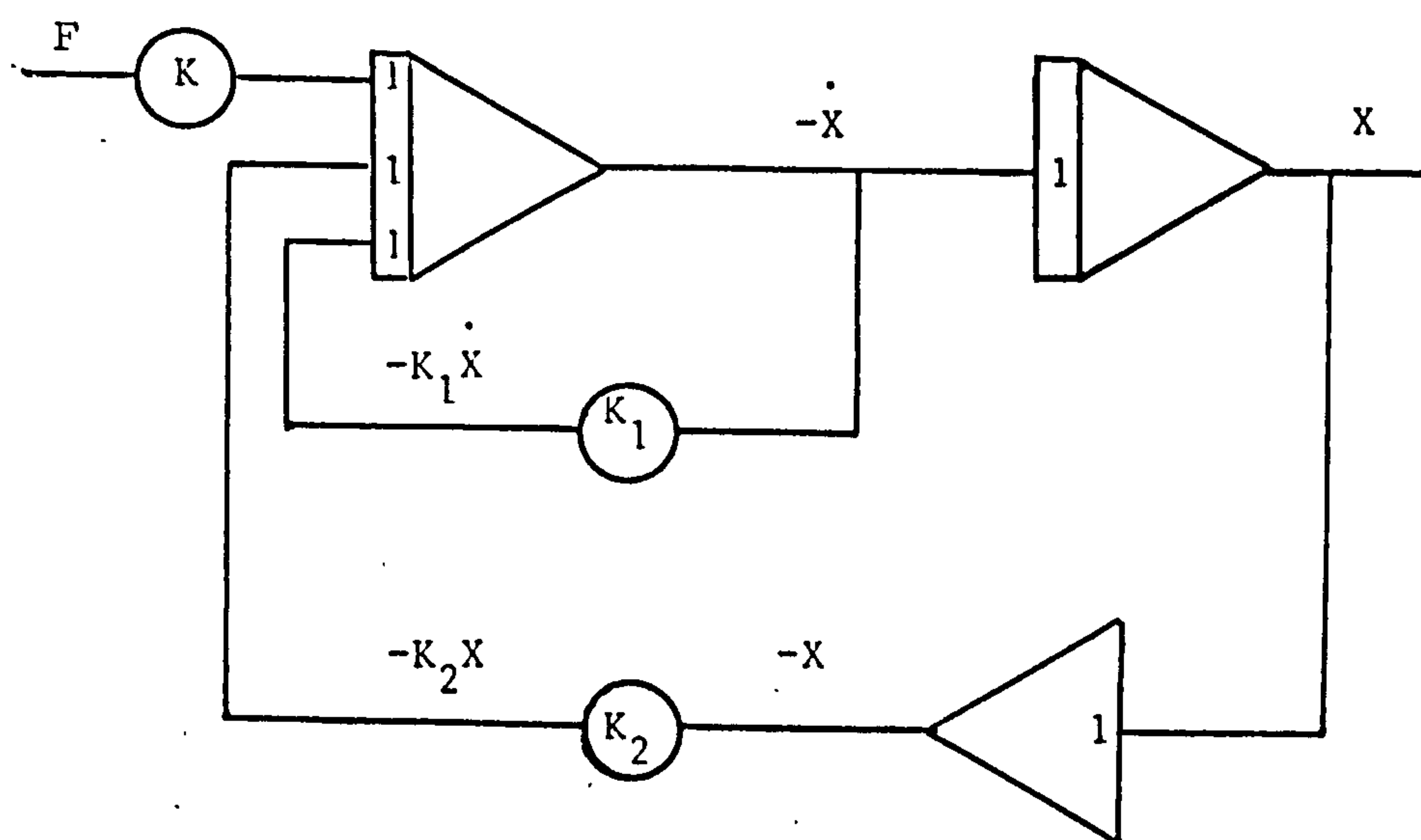
Speed = 625 r.p.m.

Impulse responses - lathe running.

FIG7. 21.



Schematic arrangement for testing identification method.



Analogue model used in identification tests.

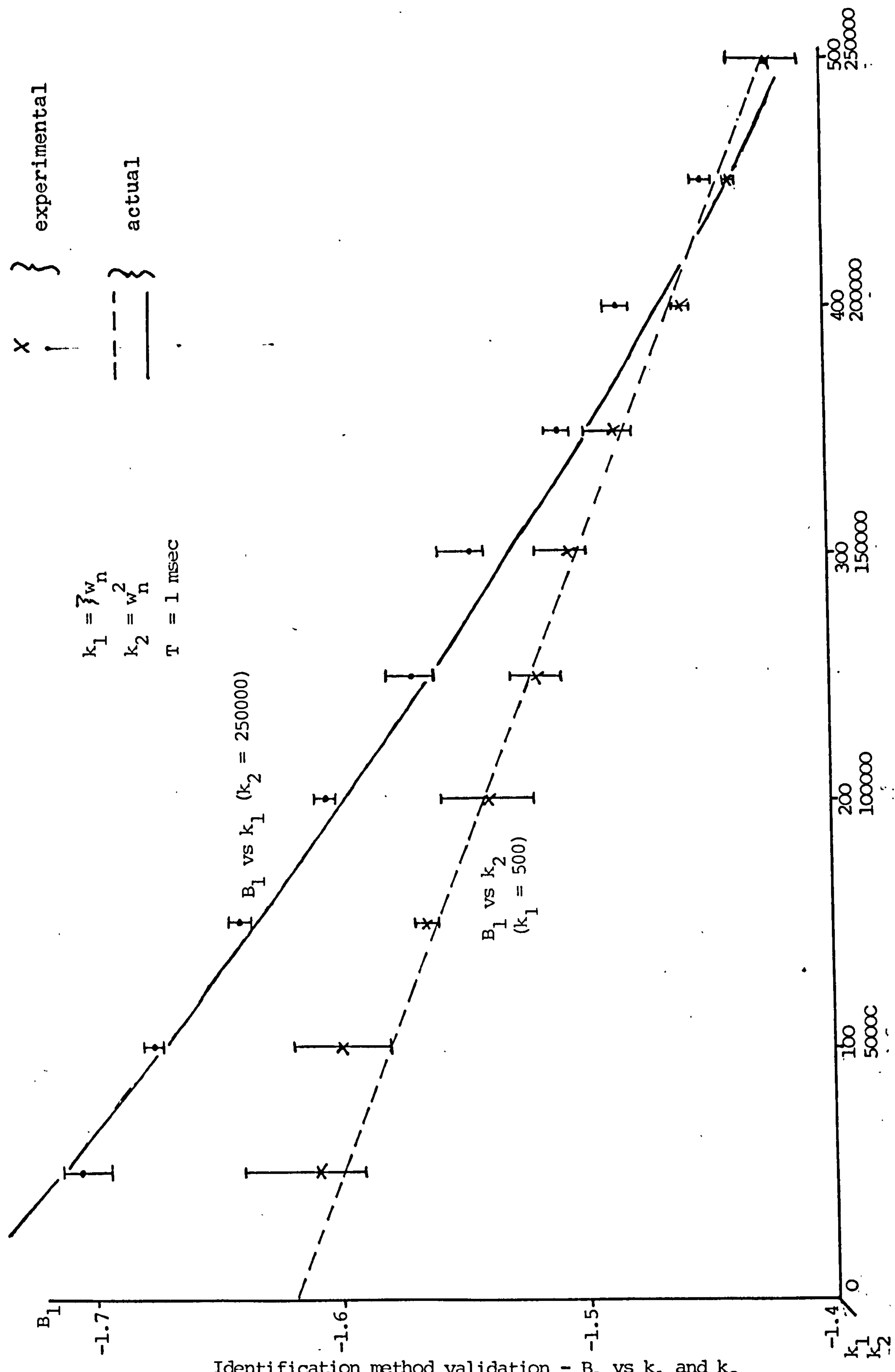
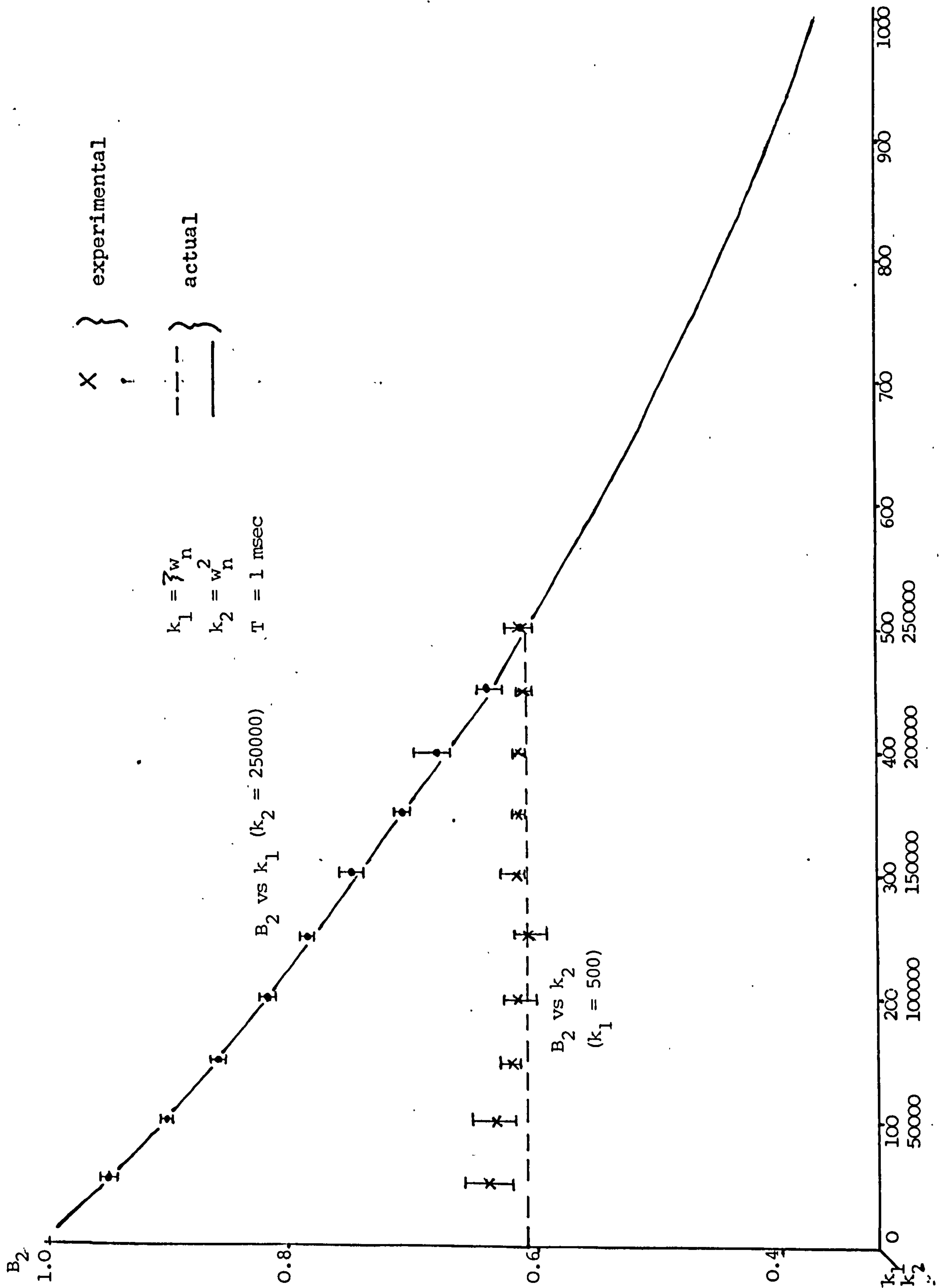
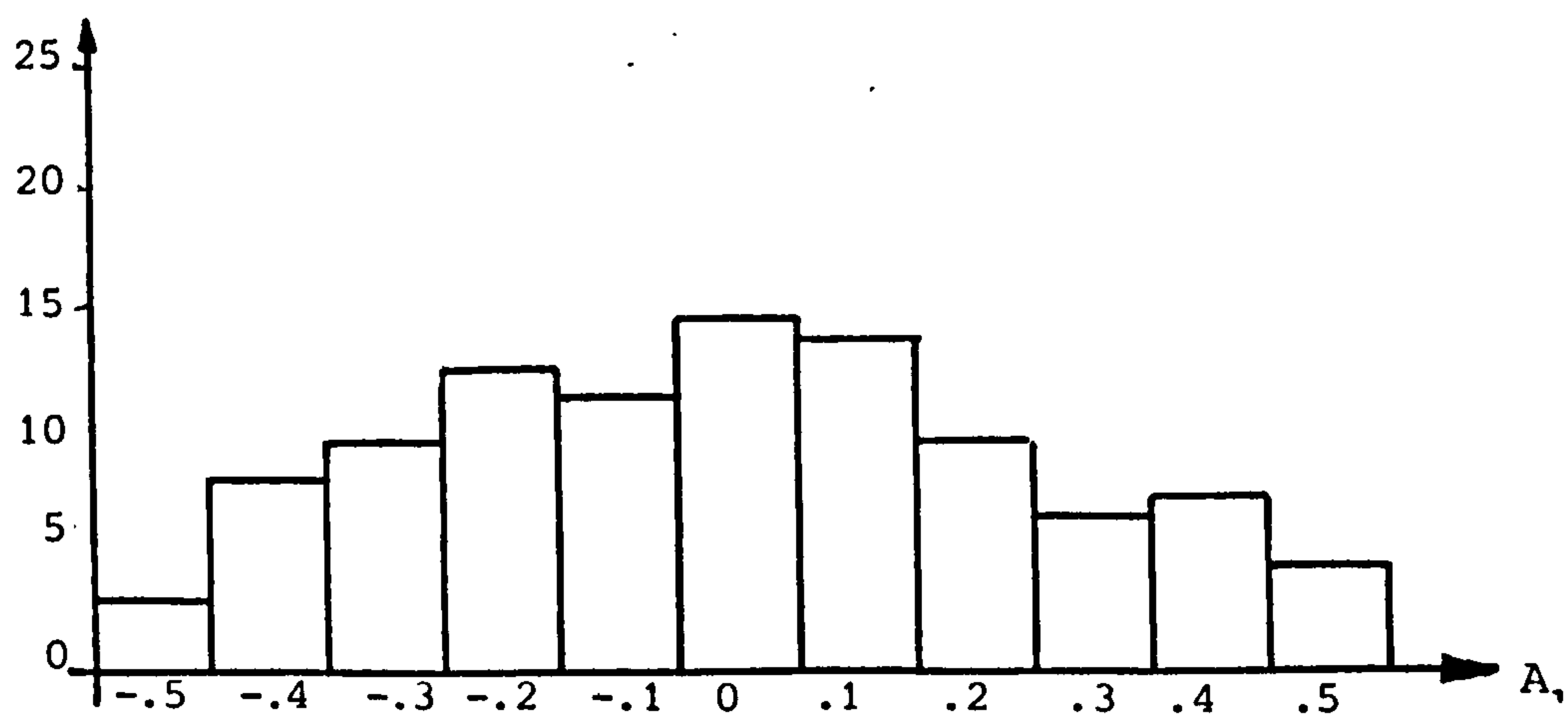
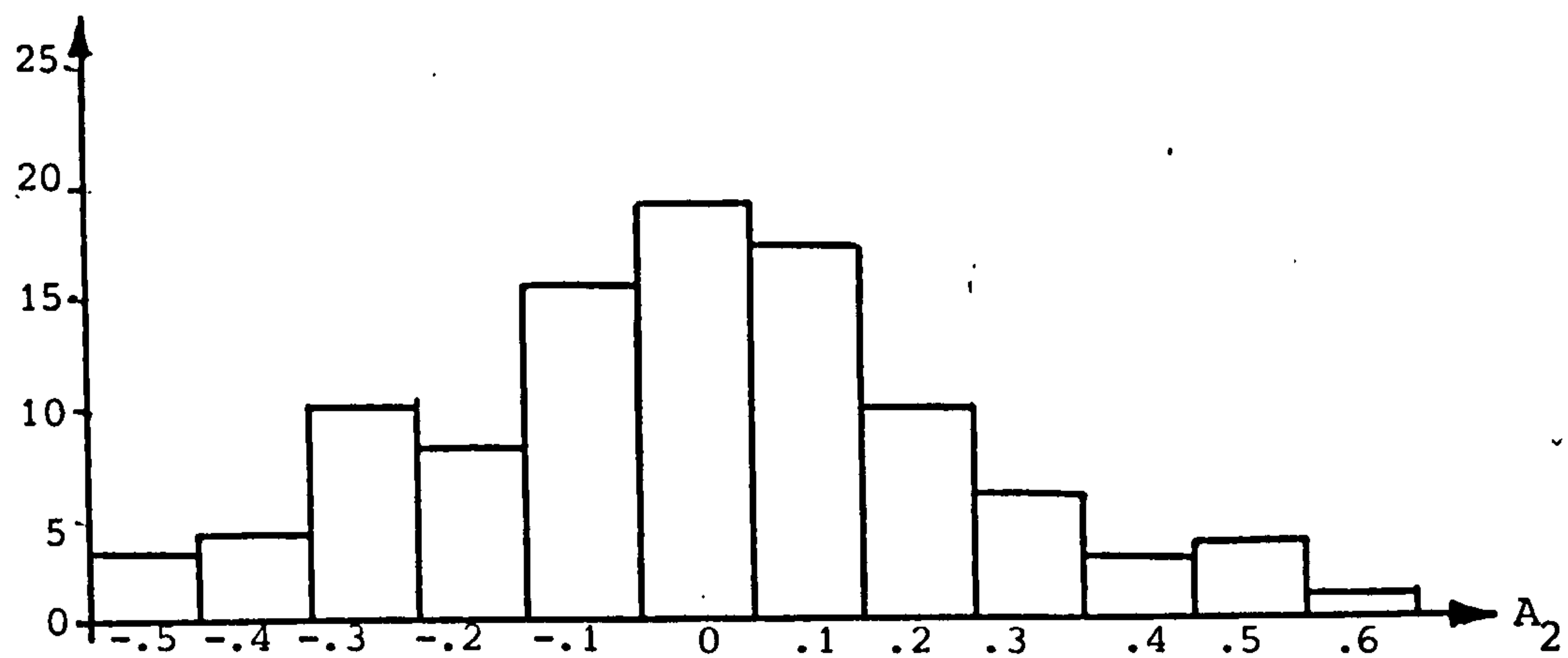
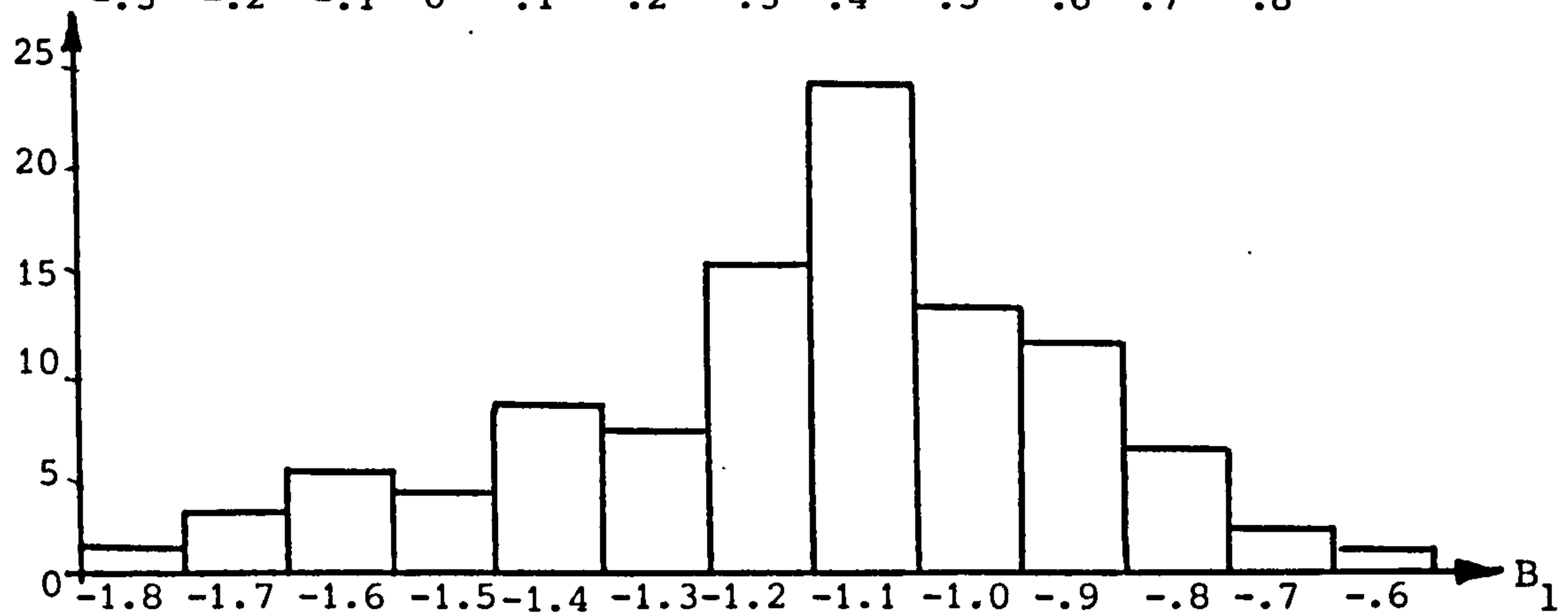
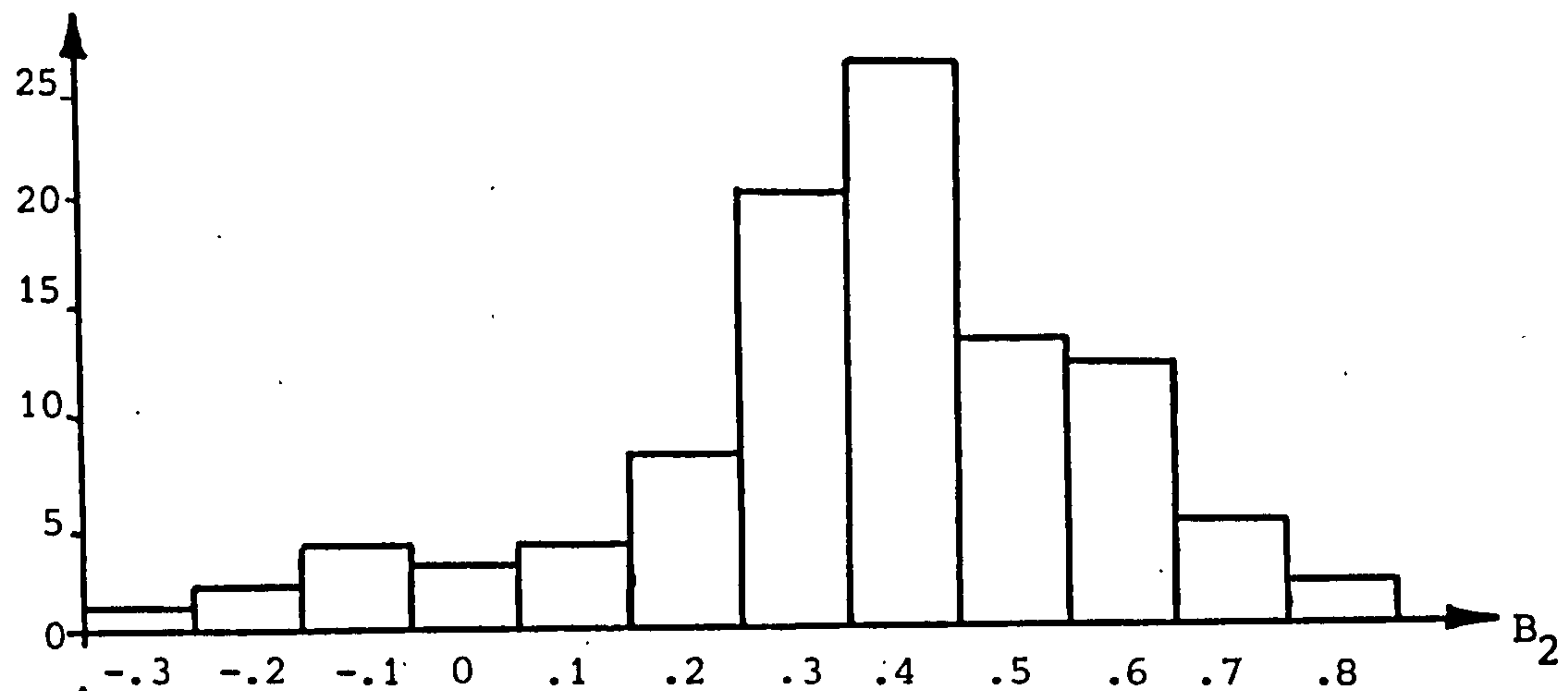


FIG7. 2h.



No. of results

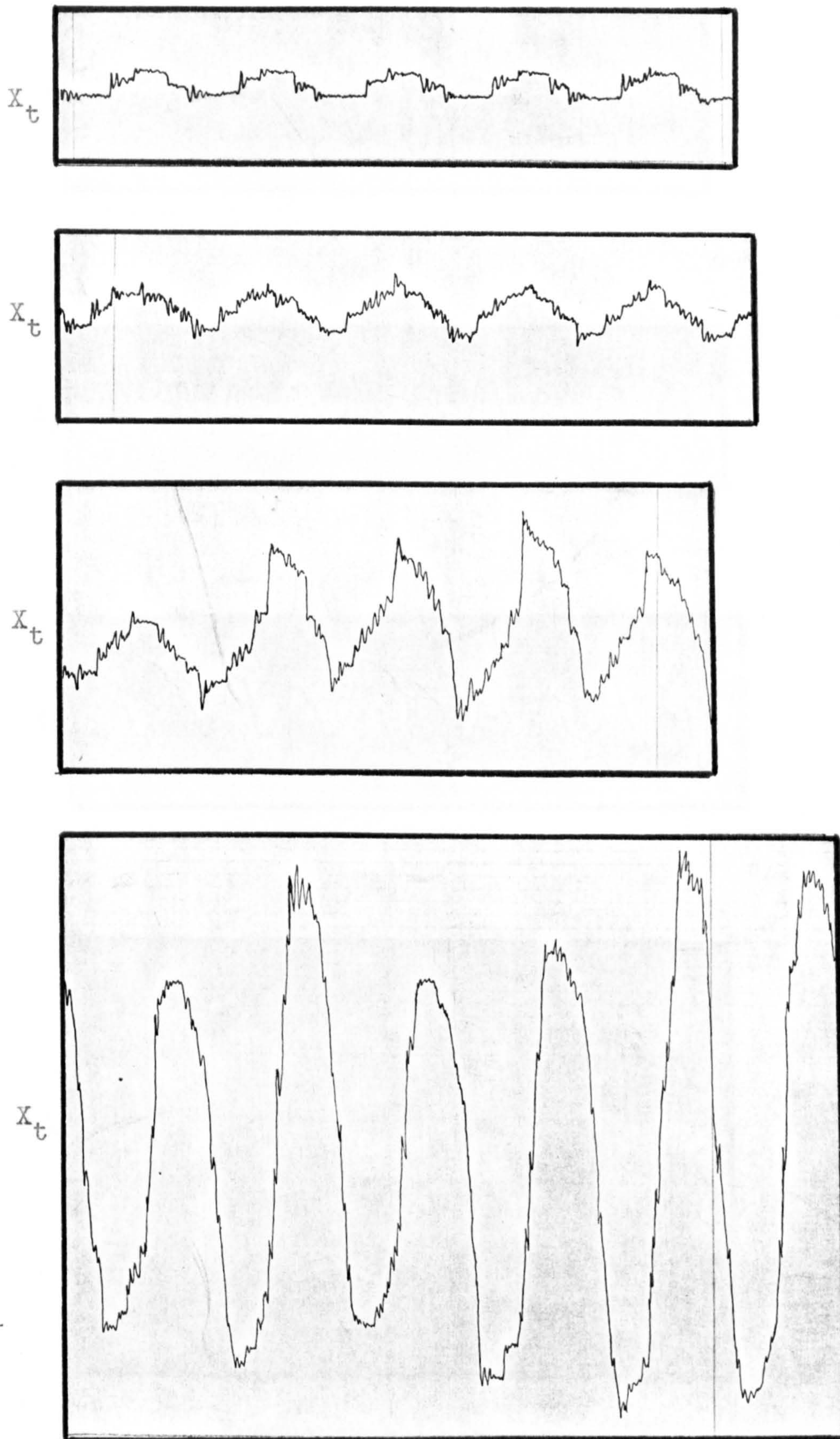


Sample period, $T = 0.415$ secs.

These give :- $\gamma = 0.7$, $w_n = 260$, $K = 860000$.

Results from on-line identification of machine-workpiece structure.

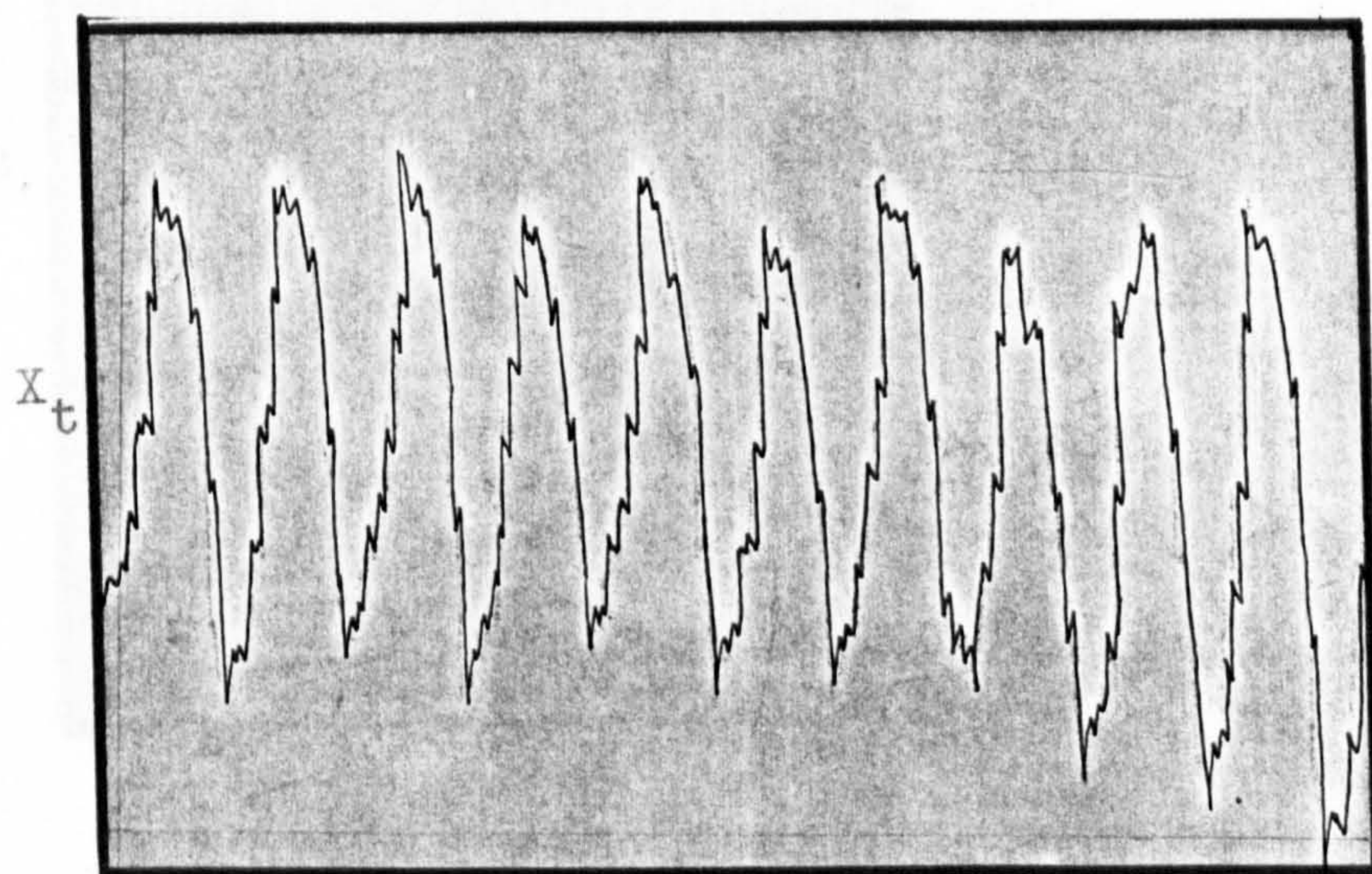
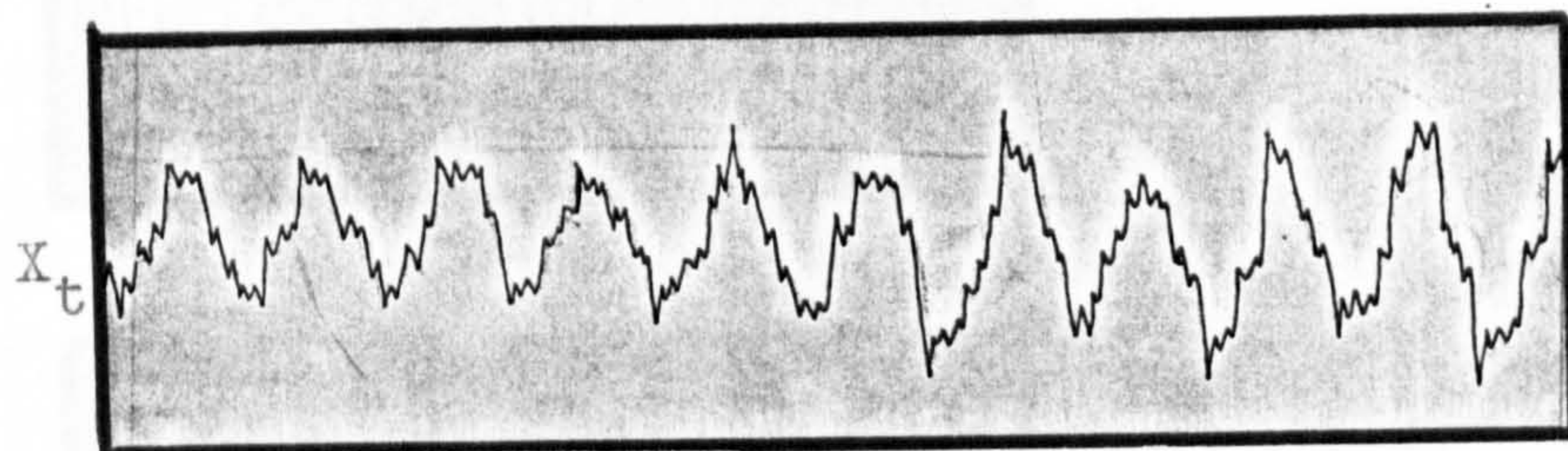
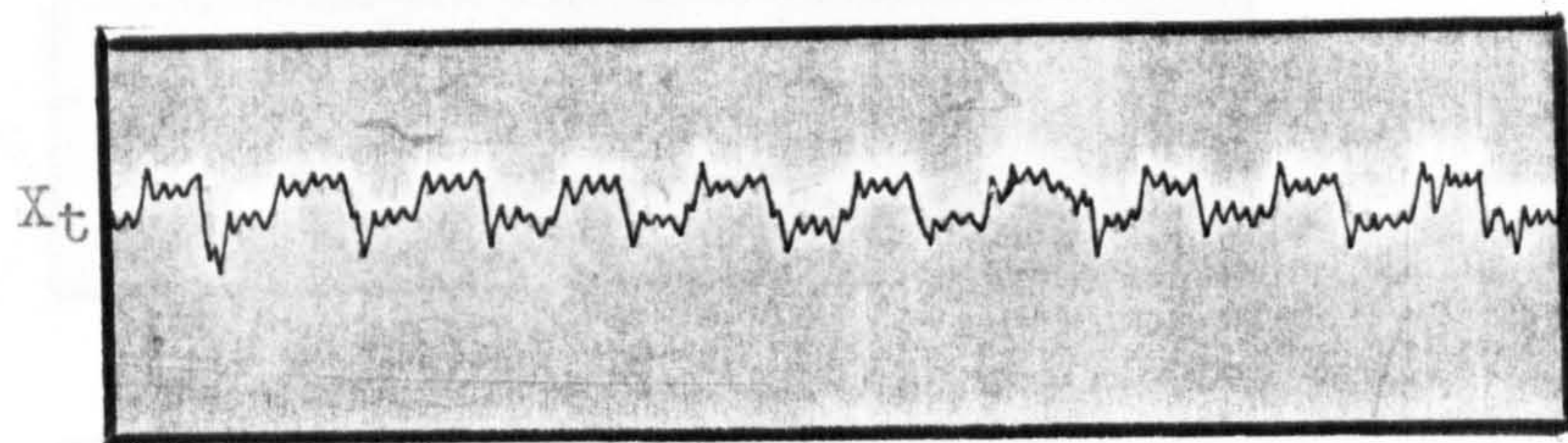
FIG7. 26.



Frequency = 5 Hz.

Tool positioner response.

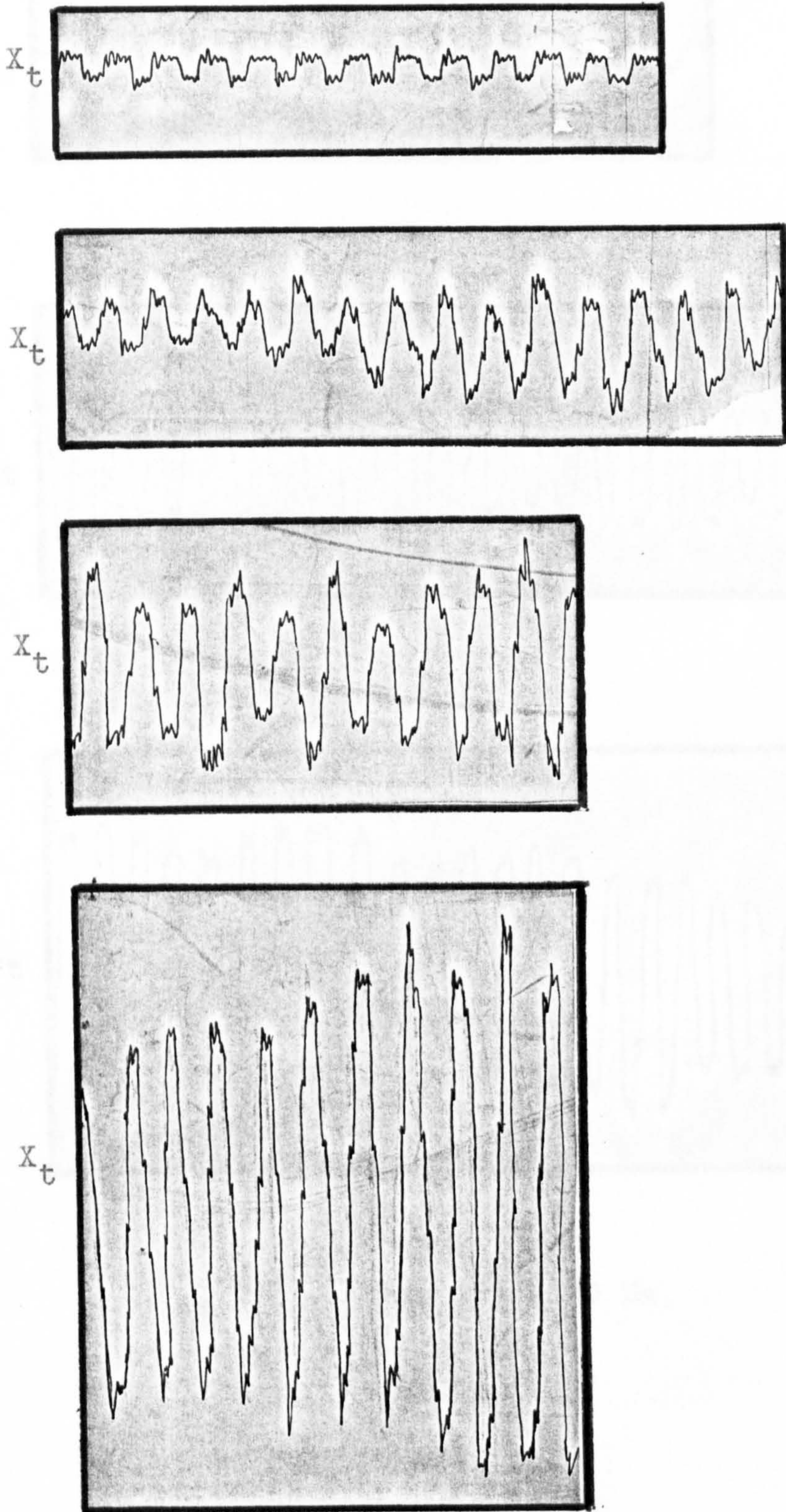
FIG7. 27.



Frequency = 10 Hz.

Tool positioner response.

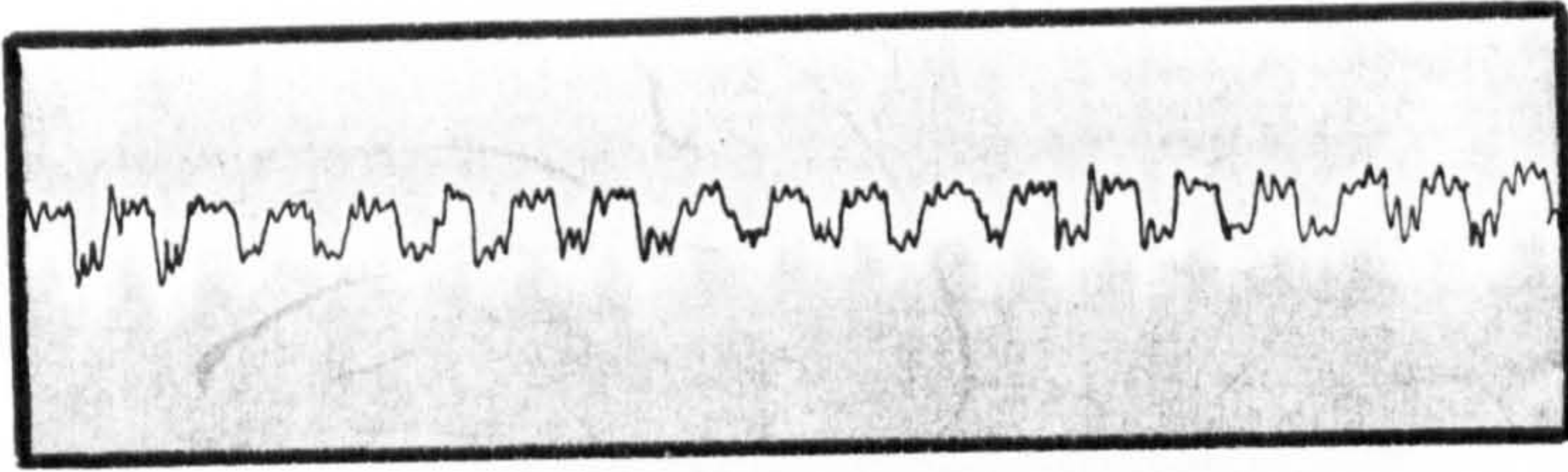
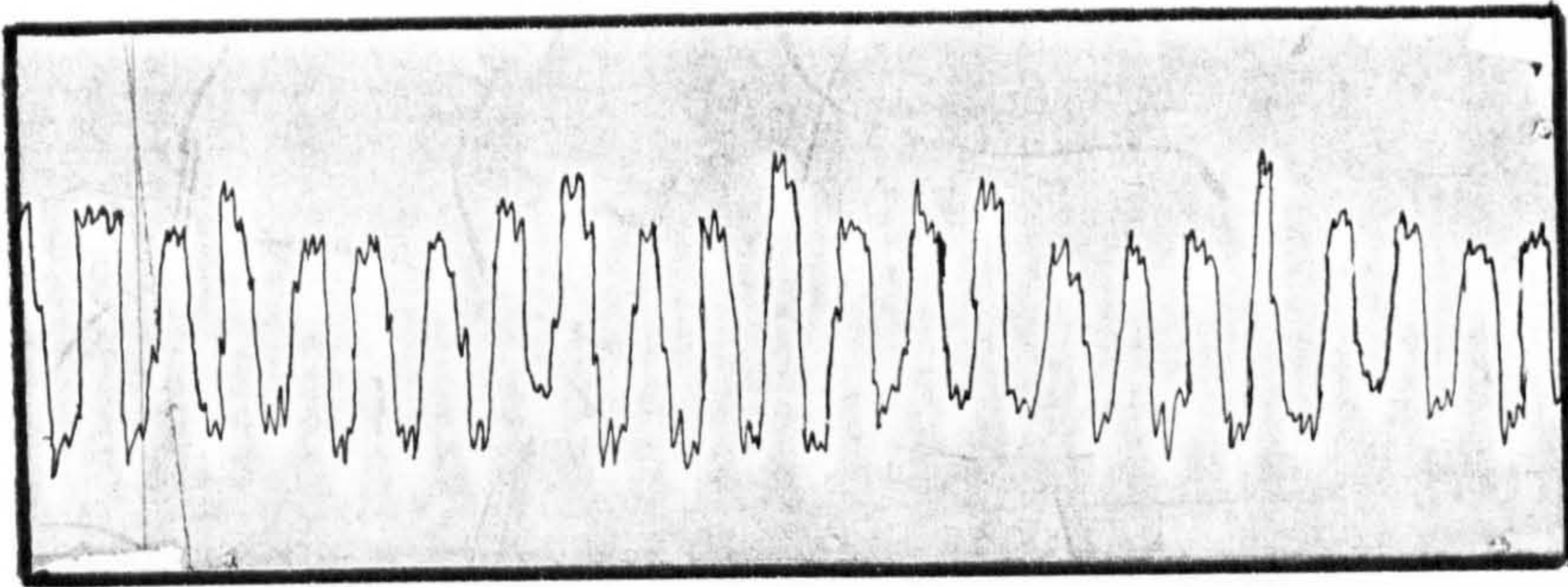
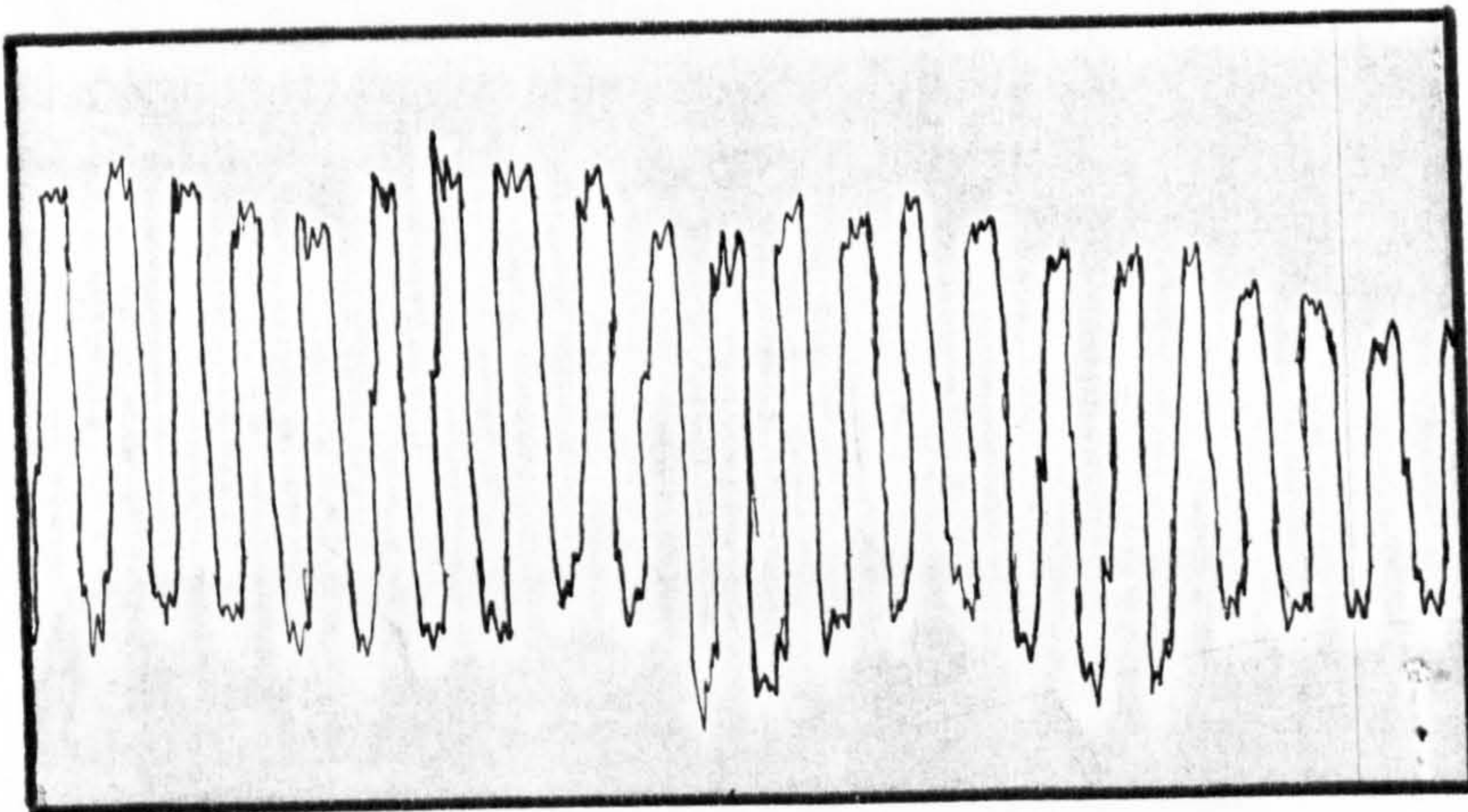
FIG7. 28.



Frequency = 15 Hz.

Tool positioner response.

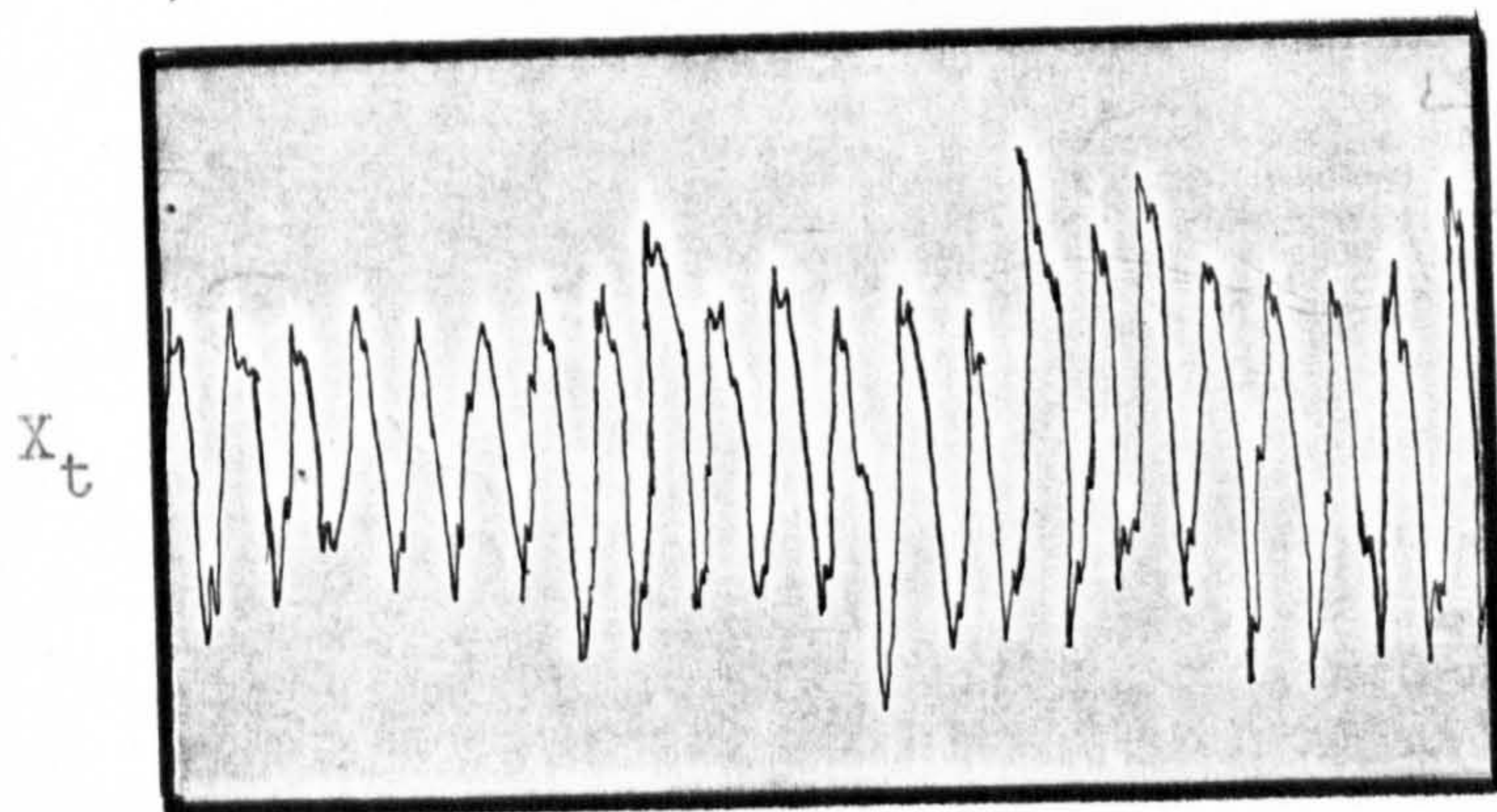
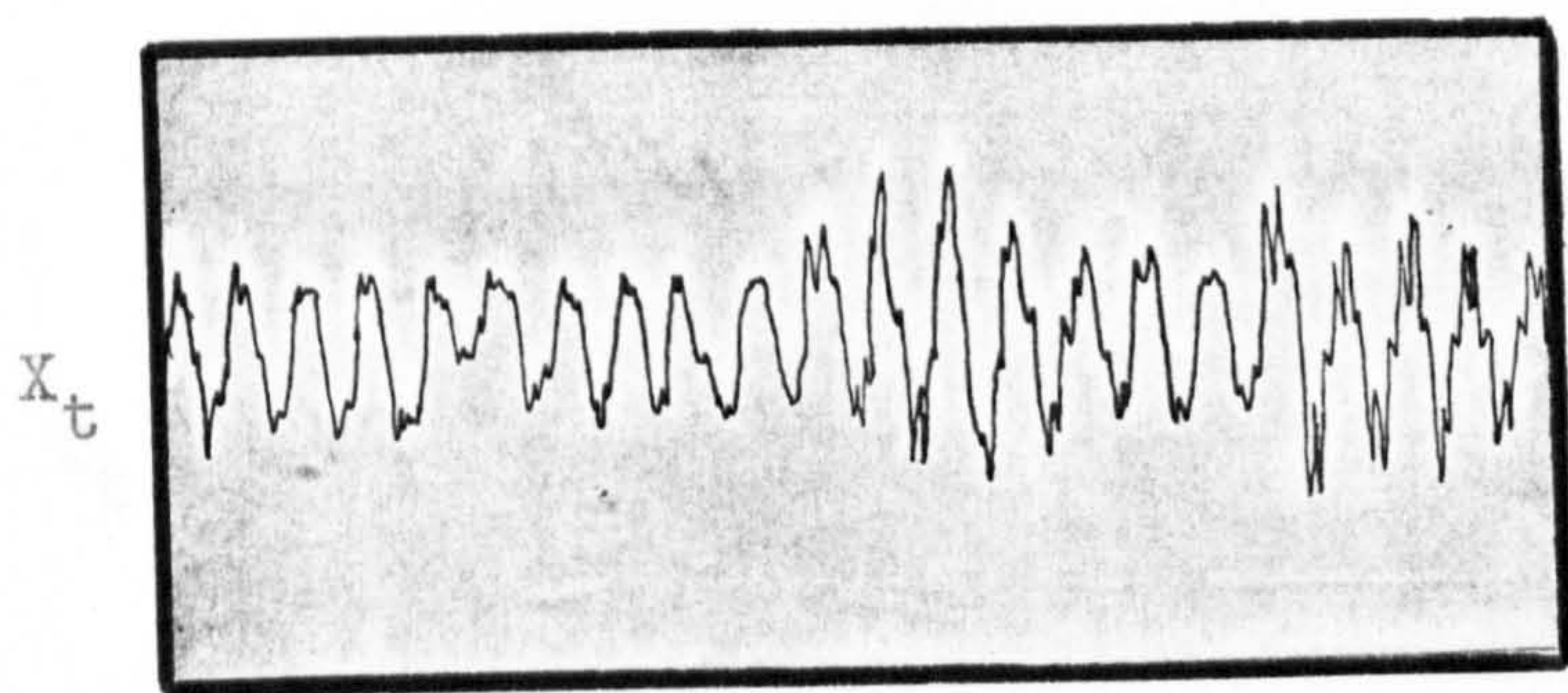
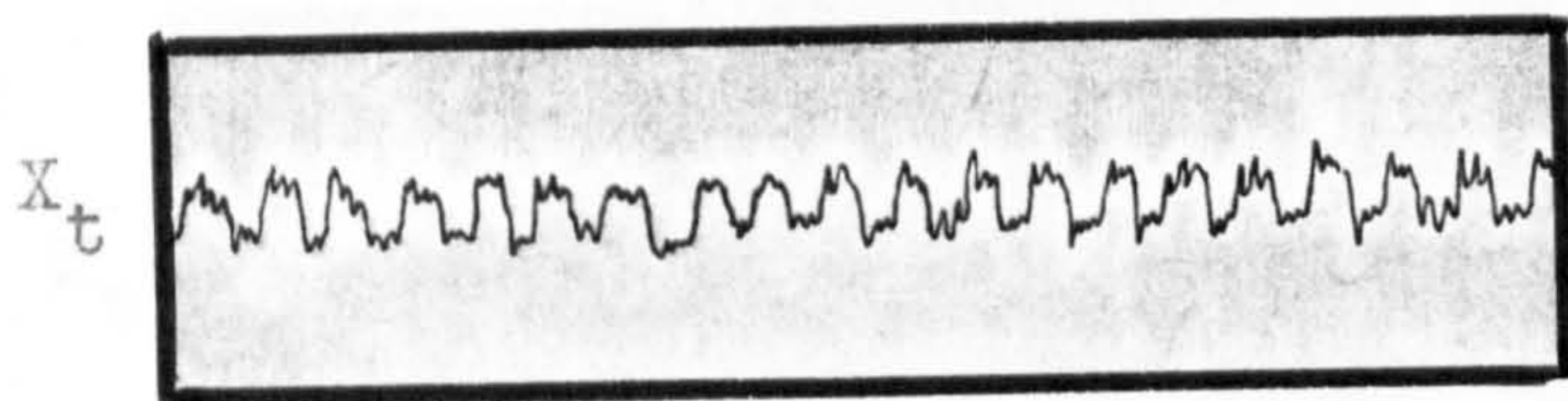
FIG7. 29.

x_t  x_t  x_t 

Frequency = 20 Hz.

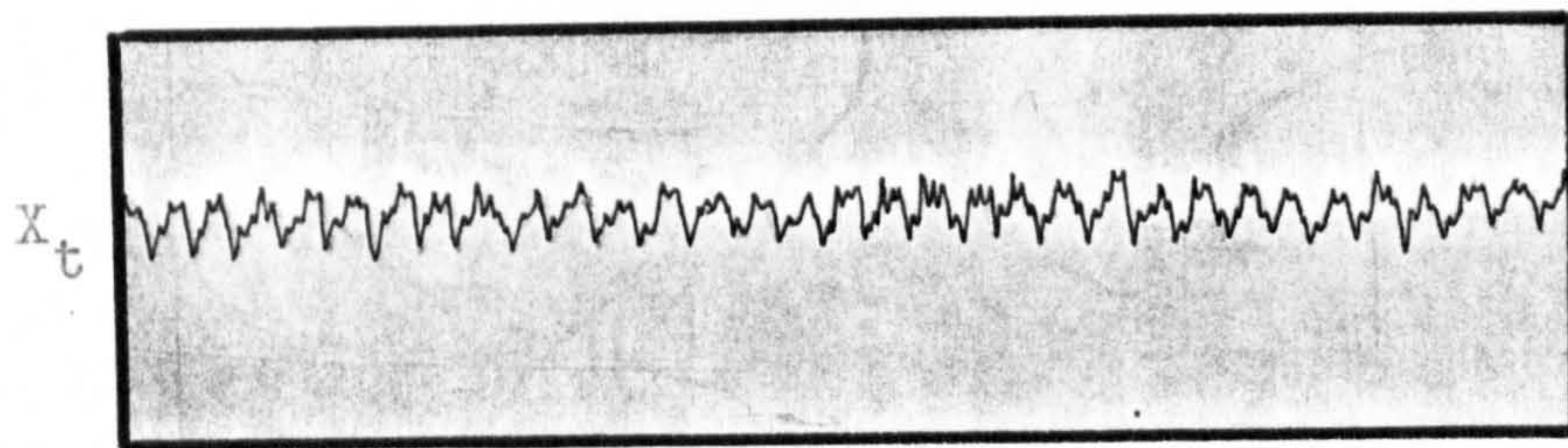
Tool positioner response.

FIG7. 30.



Frequency = 25 Hz.

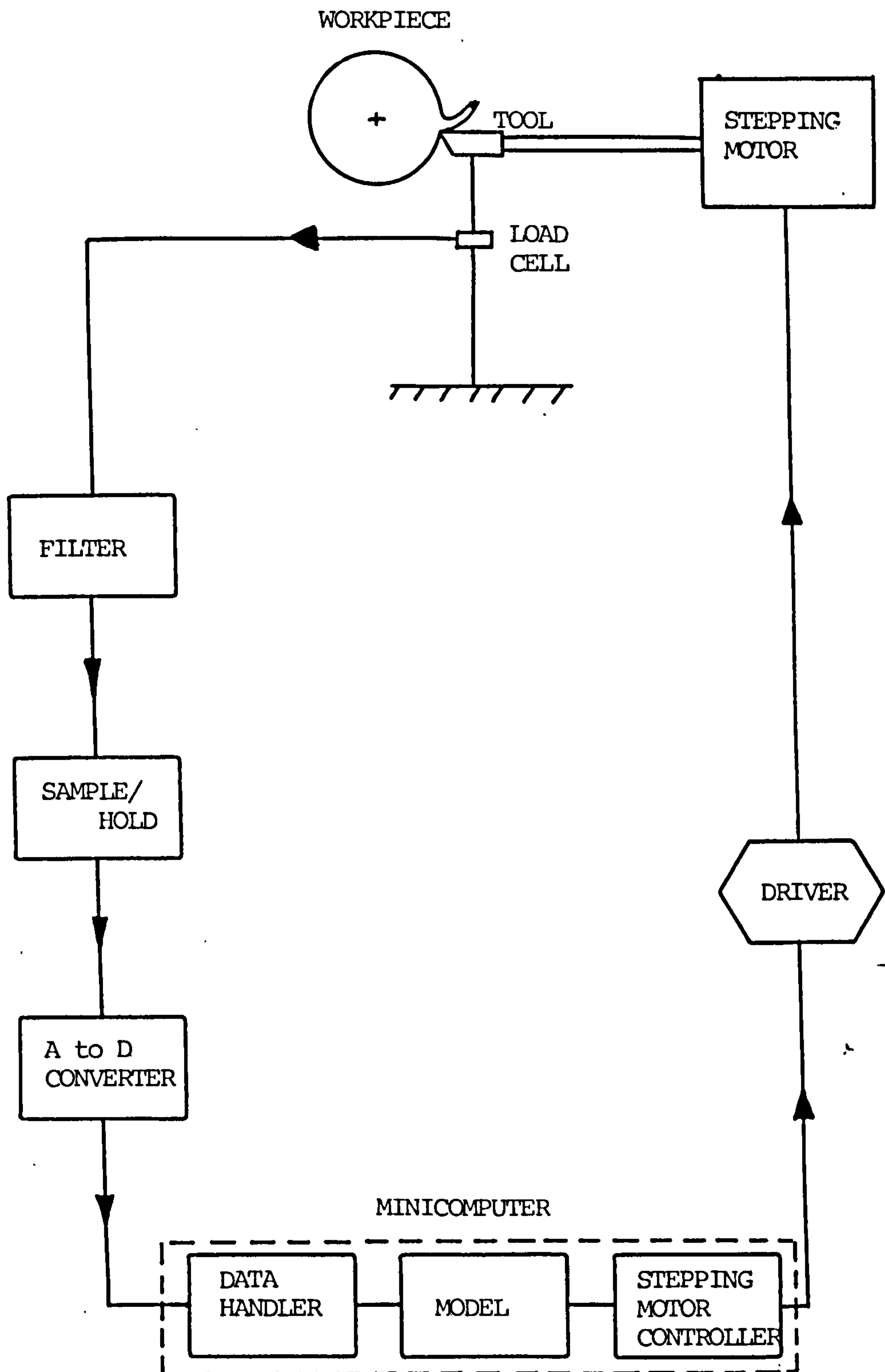
Tool positioner response.



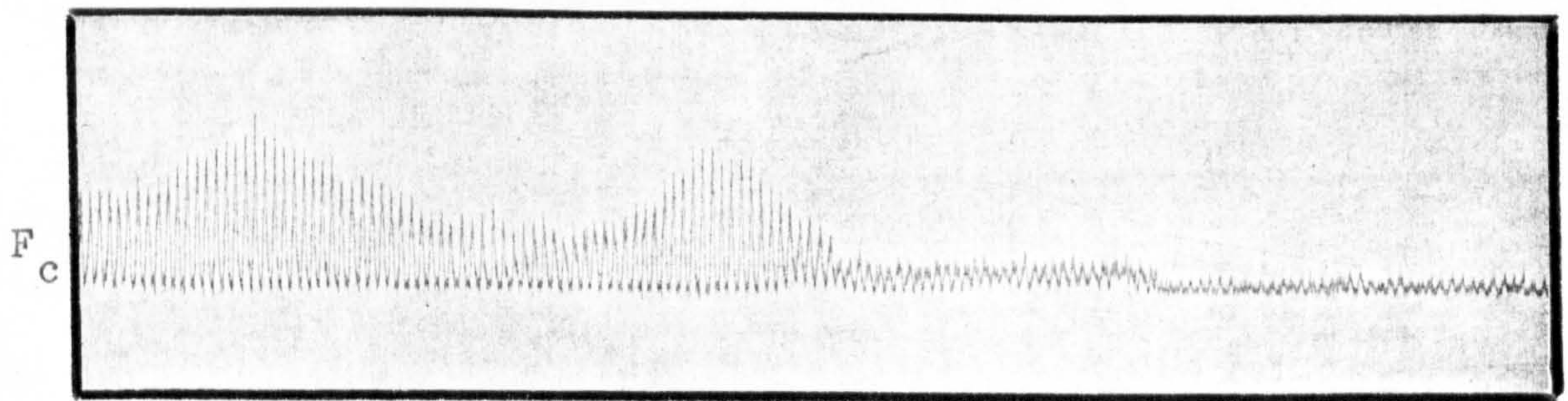
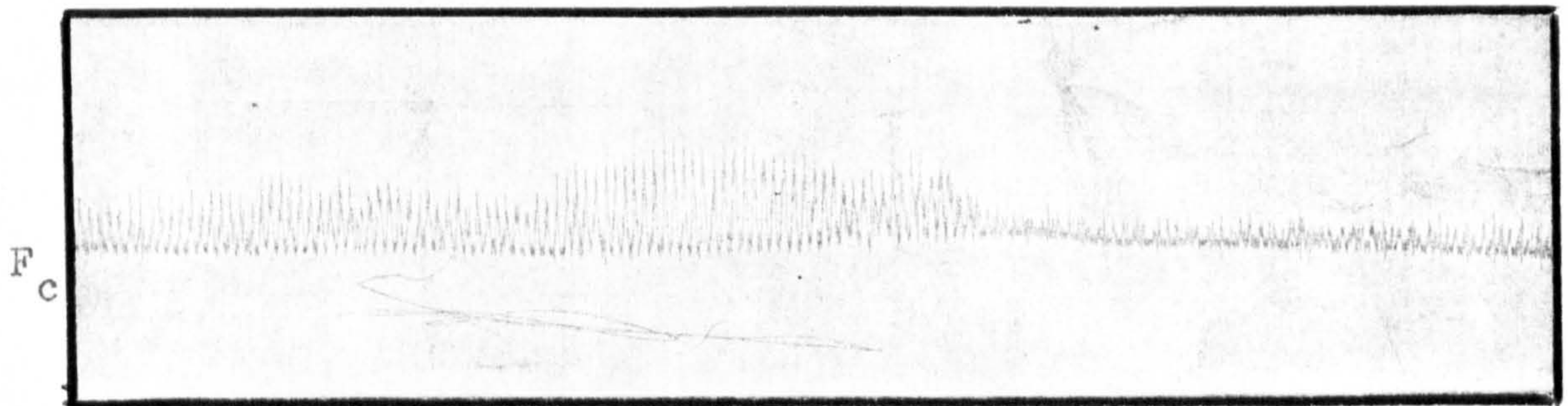
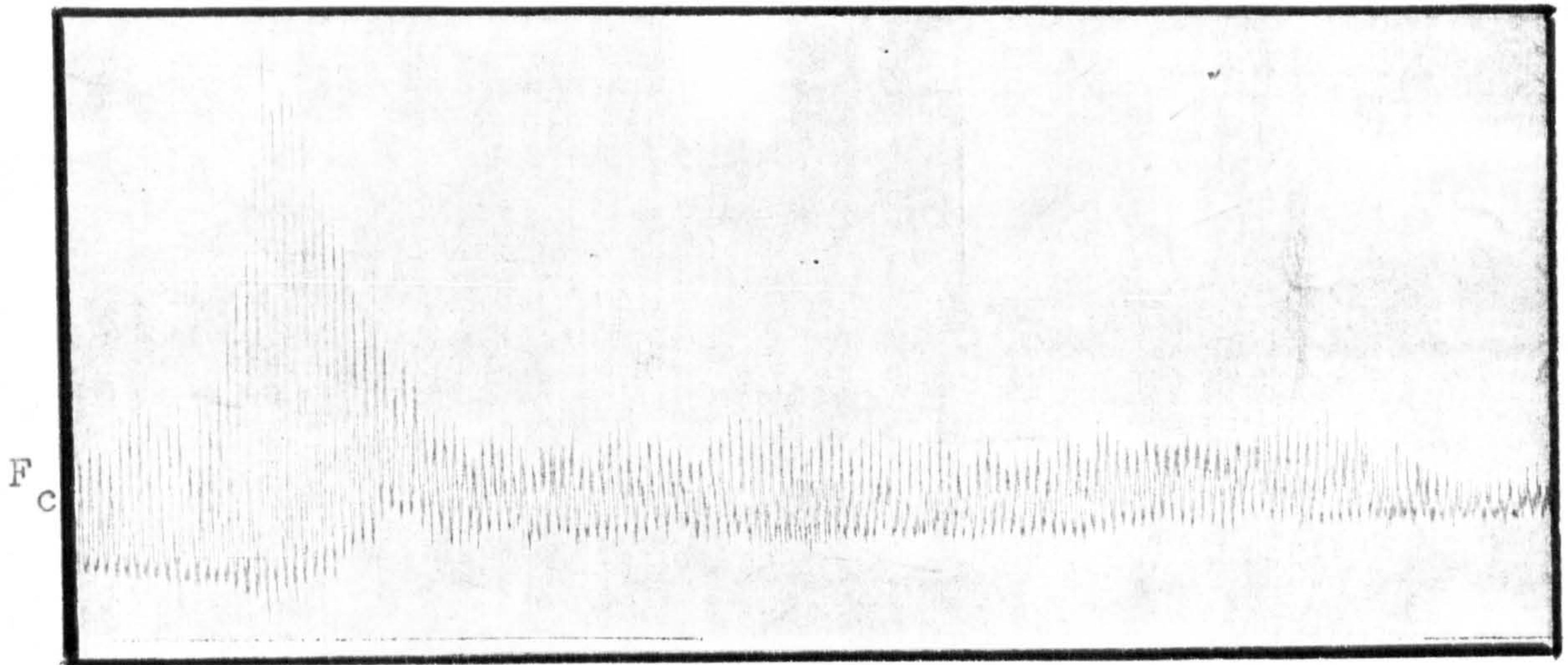
Frequency = 30 Hz.

Tool positioner response.

FIG7. 32.

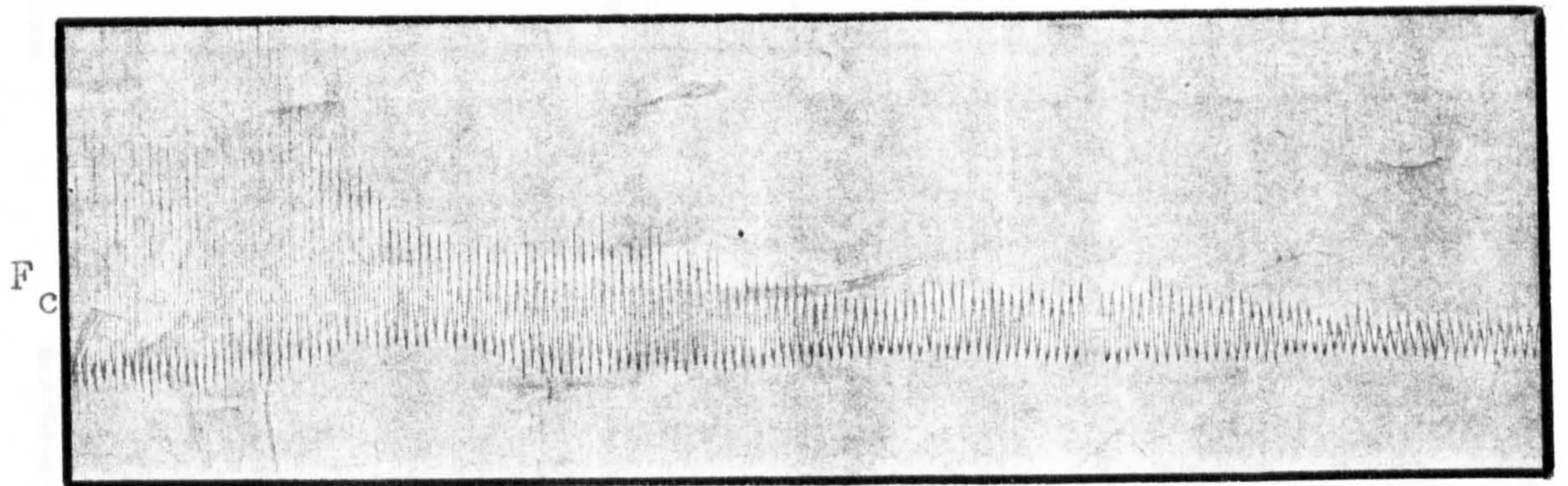
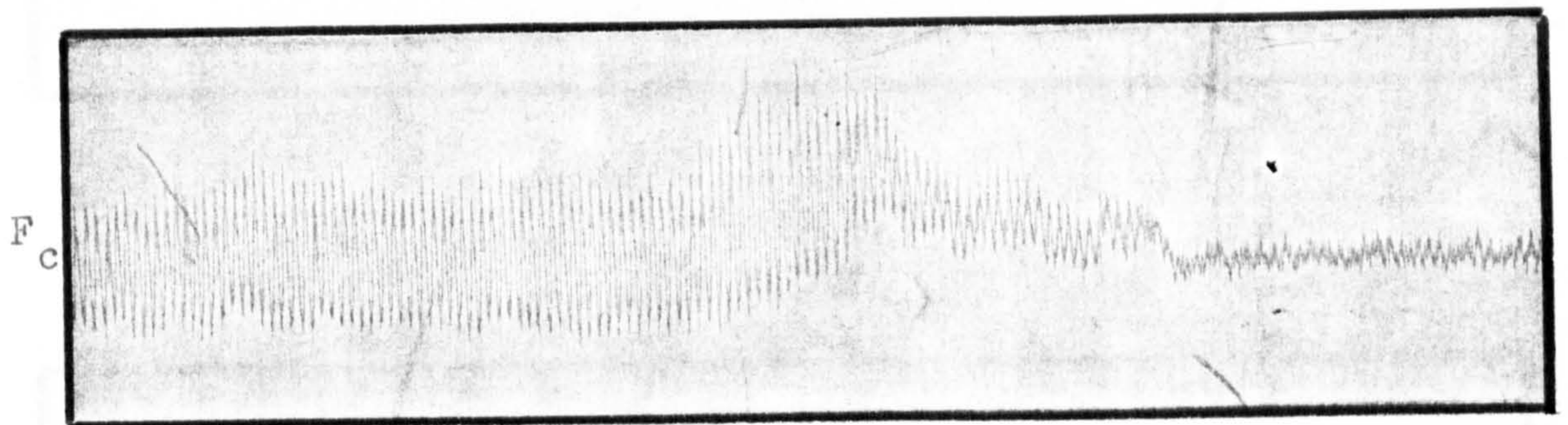
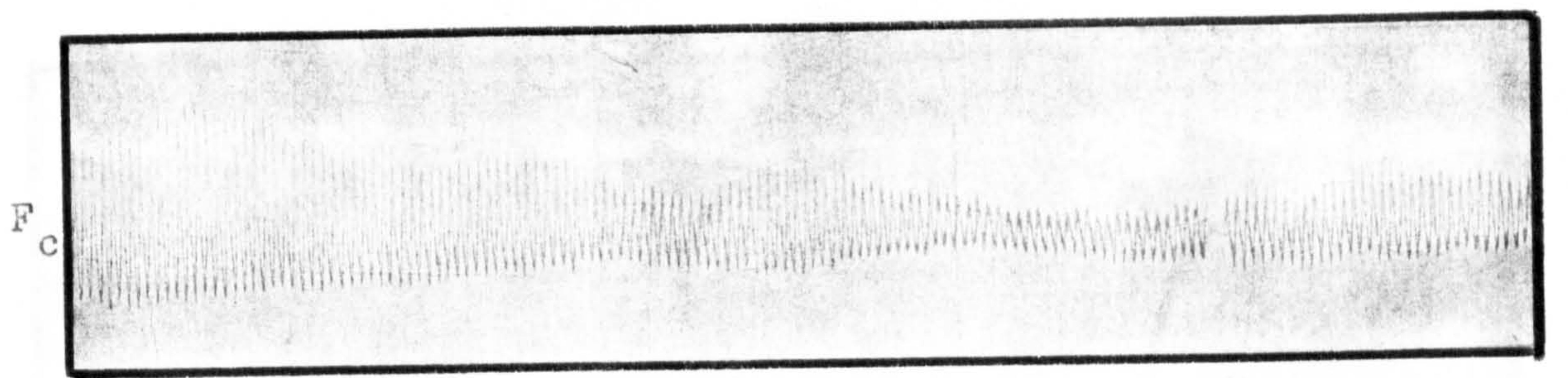


Schematic arrangement for chatter suppression tests.



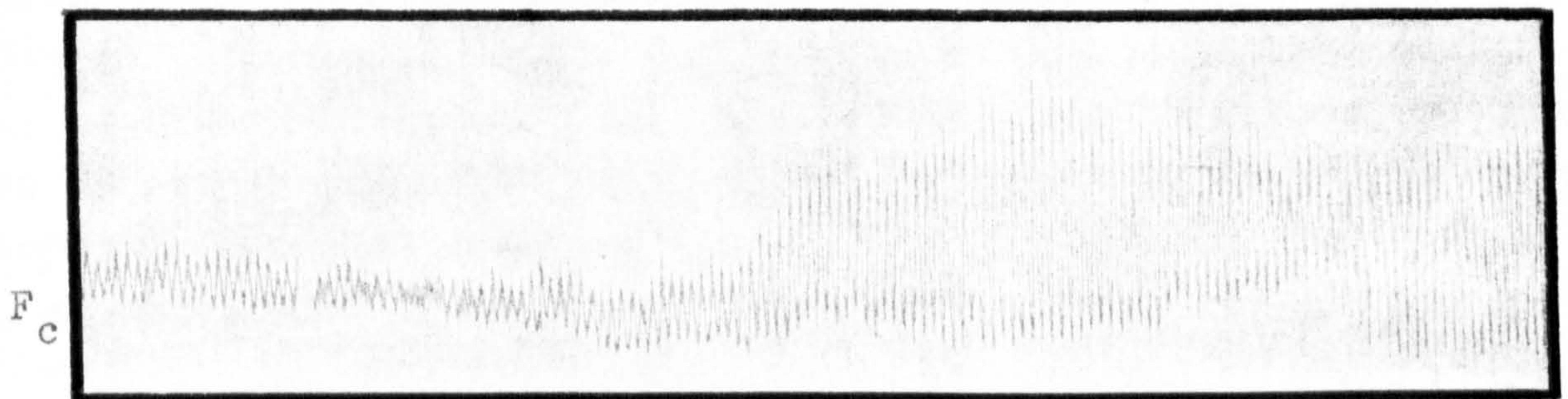
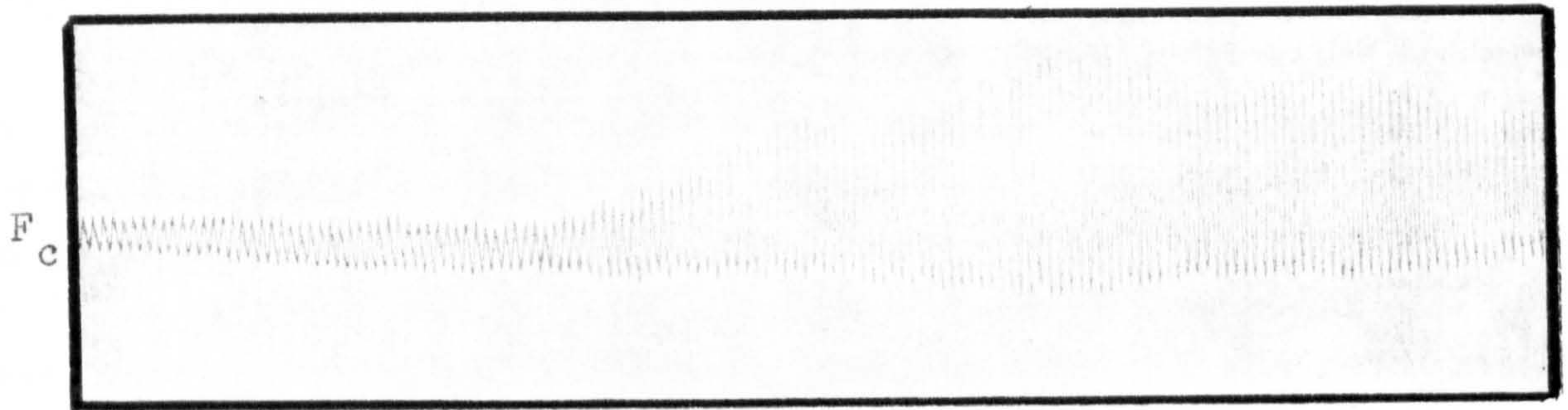
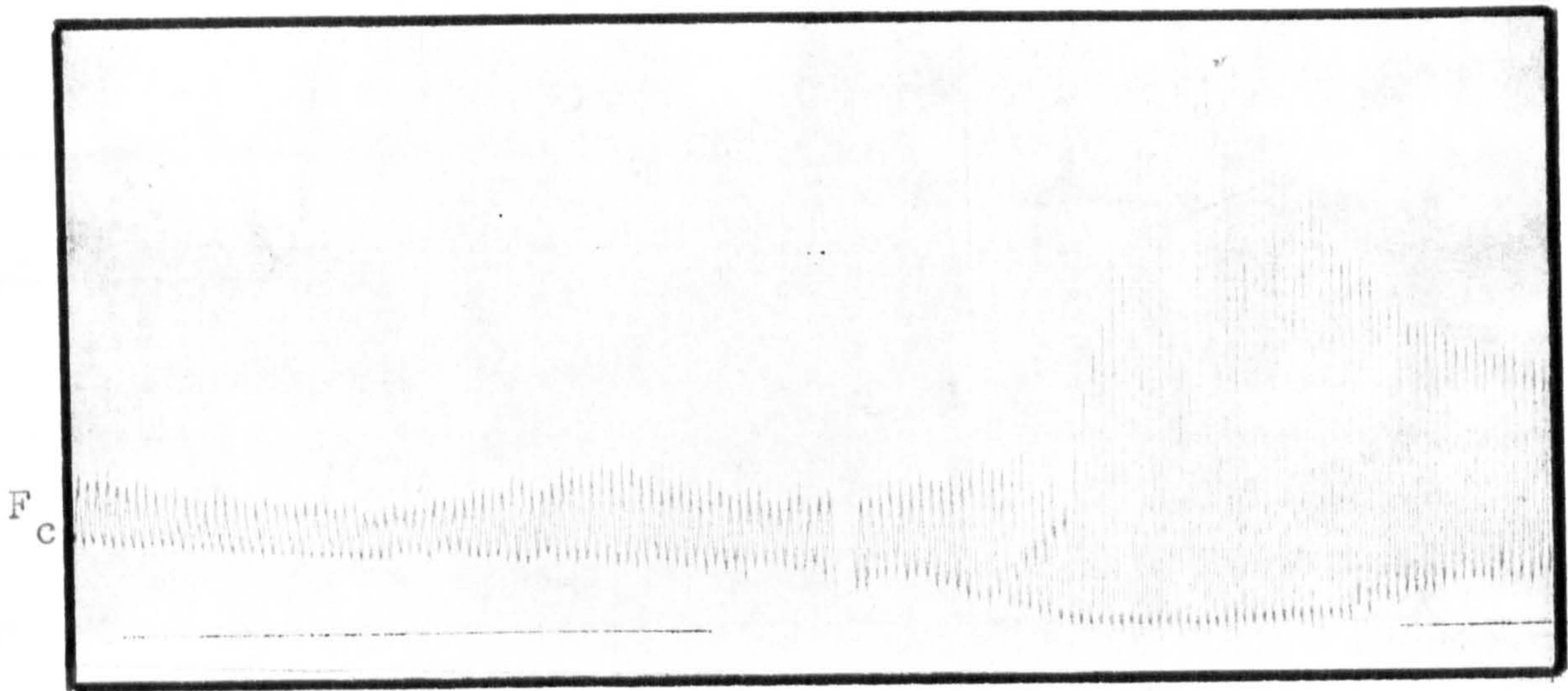
Chatter suppression.- matched model.

FIG7. 34.



Chatter suppression - matched model.

FIG7. 35.



Chatter suppression - mismatched model.

FIG7. 36.

8. DISCUSSION OF RESULTS.

8. 1. Introduction.

The results obtained during the experimental work are discussed and related to the theoretical analysis and simulation. Parallels are drawn between this work and that of Comstock and Nachtigal.

Problems encountered during the project are outlined, with suggestions for further research and development work.

8. 2. Machine-workpiece dynamics.

During the theoretical analysis, the machine-workpiece structure is represented by a dual mass-spring-damper system (see FIG2. 1). This is shown to have a frequency response similar to a second-order system, for practical combinations of machine and workpiece. In general, the experiments designed to evaluate this structure show this to be the case.

The cutting tests primarily provide information about the nature of the cutting force and workpiece displacement and the relationship between the two.

It is found that the cutting force varies greatly, depending on the cutting parameters (speed, feedrate and depth of cut) . Basically, there are two distinct components in the cutting force signal. One appears as a low amplitude, high frequency 'noise' which is due to microscopic variations in the structure of the metal being cut. The other component ranges from large amplitude, random jumps to almost sinusoidal variations. These may be caused by several macroscopic factors, such as:

- hard spots or weakness in the metal.
- depth of cut variations.
- machine vibrations.
- non-linear effects in the cutting process i.e. slip-stick tool motion.
- chatter.

Some examples of the types of cutting force signal found during turning operations are given in FIG7. 5 to FIG7. 16, FIG7. 18 and FIG7. 19.

It is observed that the workpiece displacement is much less noisy than the cutting force. This can be seen from FIG7. 8 to FIG7. 13, FIG7. 16 and FIG7. 17. /v

During chattering, both the force and displacement signals become dominated by a large amplitude, sinusoidal component. This behaviour is predicted by the theory and the digital simulation (see FIG2.14 to FIG2. 19), and also agrees with simulations performed by Comstock (1). On the limit of chatter stability, it is noticed both from the simulation (see FIG2. 14 and FIG2. 17) and the cutting tests (see FIG7. 14 and FIG7. 18) that a 'beats' effect is present. This is due to the regeneration of the vibrations on each cycle of the workpiece.

From the cutting tests, it is found that the dominant frequency component, when using the test workpiece (see PLATE5. 1), is about 200 Hz. This is especially evident during chattering (see FIG7. 14 to FIG7. 17)

The impulse response tests show that the machine-workpiece system behaves approximately like second-order system (see FIG7. 20 and FIG7. 21). There is clearly only one frequency component, however the decay rate is not a smooth exponential. This is probably due to a nonlinearity in the machine structure. Using the test workpiece with the lathe stationary, it is found that the natural frequency is about 260 Hz. and the damping factor is 0.1. When the lathe is running (625 rpm.), the natural frequency is unchanged but the damping factor increases to approximately 0.3. This is most likely due to the effects of the oil circulating in the machine bearings.

Although the chatter frequency observed during the cutting tests and the natural frequency determined from the impulse response tests are of the same order, the theory predicts a much close correlation. The discrepancy is probably due to the structural modification which is introduced by the tool during the cutting tests. Hence, the results from the impulse tests should be used with care when determining the model parameters for use |unc?

in the chatter suppressor.

It is found during the on-line identification tests that the accuracy of the results is largely determined by the nature of the input signals. In general, the lower the signal-to-noise ratio, the greater the errors in the parameter estimates. It is well known (14,15,16,17,18) that bias errors may arise in the basic least-squares estimates if either the signal-to noise ratio is low, or if the noise is correlated. During the method evaluation tests, where the signal noise is negligible, the estimates are found to be accurate (see FIG7. 24 and FIG7. 25). However, during the identification tests, the signal-to-noise ratio varies greatly with the cutting conditions. Consequently, the parameter estimates vary over a large range (see FIG7. 26). If those estimates which appear to be wildly incorrect are neglected, the averaging of the remaining results give values which approximately agree with those from the other methods. Because of the inconclusive nature of these results, the estimates cannot be used with confidence, however, they lend support to the other tests.

The basic identification method may be improved by several techniques (14,15,16,17,18) which either filter the input signals prior to the least-squares estimation, or use some other form of statistical criterion, eg. maximum likelihood. These techniques have been found to give the method better immunity to noise, and generally give more accurate and reliable results.

Another possible reason for the poor results could be the unsuitability of the second-order base used in this implementation, under some or all of the cutting conditions. However, because the method is implemented as a computer programme it could be easily extended to investigate higher order models.

In general, it is felt that the results show that a second-order system is a reasonable approximation for use in the chatter suppressor. This is confirmed both by the results of previous workers (eg. 19,21,23,24) and the success of the second-order model used by Nachtigal (2). It is noted that none of the tests indicate that the machine-workpiece dynamics are second-order, and this is not expected for a structure as complicated as this.

Much work has already been carried out on the investigation of machine tool dynamics (eg. 19,20,23,24). The usefulness of these methods in this application needs to be assessed. It is also felt that further development of the on-line identification will prove worthwhile.

As the theory predicts the chatter frequency to be near the natural frequency, and because the impulse tests are not performed under actual cutting conditions, the results from the cutting tests (chattering) are used as the basis for the model natural frequency. The damping factor is estimated from the results of the impulse tests and the gain (stiffness) via static loading tests.

8. 3. Chatter suppression tests.

The results are discussed in two parts. Firstly, testing of the tool positioner and validation of the chatter suppressor implementation. Secondly, the effectiveness of the chatter suppressor under actual cutting conditions.

8. 3. 1. The tool positioner and chatter suppressor.

The most important factor influencing the performance of the suppressor is the response of the tool positioner. This limits the maximum frequency of chatter that can be suppressed. However, as chatter is a most severe problem during turning of large components (eg. gun barrels, turbine rotors) which have low chatter frequencies, this does not invalidate the current prototype. It can be seen from FIG7. 28 to FIG7.30 that the maximum useable frequency for the test rig is about 30 Hz. for amplitudes up to 1 'thou' (3 steps). The response for single step operation begins to get 'ragged' at about 30 Hz., however this will be damped when used in a cutting situation. It is obvious from all the traces that the positioner is underdamped. This is partly remedied when used during cutting, but additional damping is desirable. It is essential to also improve the frequency-amplitude response if a 'production standard' suppression system is to be developed.

Possible ways of improving the performance were investigated but proved to have certain undesirable side effects. Both 'reverse-pulse' damping (7) and the addition of external dampers were tried. 'Reverse-pulse' damping was found to be effective, but requires careful tuning to the tool positioner dynamics. Other methods of optimal control (10,48) could also be applied to the tool positioner, but these will require further investigation. Many would be easily implemented on the minicomputer and may provide a convenient way of improving the performance of the existing prototype. Viscous damping was found to be more reliable, but could not be easily incorporated into the original design. A redesign of the tool positioner to include viscous damping and to minimise the mass of the tool holder will result in a better overall dynamic response.

More sophisticated stepping motor drivers are also available which increase the rate of power input to the motor eg. bi-level drive. Several of these methods are described in references 6,7 and 43. There are also more specialised stepping motors becoming available which have both smaller step sizes and faster response times. These will enable improvements to both the speed and accuracy of the tool positioner.

In the present system both the modelling and control functions are performed by the minicomputer. Although the computation time does not, at present, limit the performance of the suppressor it will become more significant as the speed of the other elements increases. It is possible to decrease both the computation time and the cost by using one or more microprocessors in place of the minicomputer. One possible approach would be to use one microprocessor for monitoring the instrumentation system, one for the modelling function, and one to control the stepping motor.

Although the tool positioner is designed primarily for use in the chatter suppressor, it has potential applications in other areas. One of these is the C.N.C. system introduced in Chapter 6. A more sophisticated, two axis prototype is currently under development in the Department of Mechanical Engineering at the University of Newcastle-upon-Tyne. The main advantages of the tool positioner are its stability, accuracy, cheapness, and lack

of feedback requirements. Another possible area for application may be in-process measurement and control. This application and some currently available systems are described in reference 47.

8. 3. 2. The suppression method.

Because of the limited response of the prototype chatter suppressor, a special workpiece is used to facilitate testing. The nominal model parameters are determined as described in the previous section. These are then tuned in order to match the model more accurately to the machine-workpiece structure. This is found to be a difficult operation as the system is both sensitive and variable, and only a trial-and-error approach is available.

The sensitivity is predicted by the theory (see FIG2. 13) and demonstrated by the simulation (see FIG3. 13 and FIG3. 14). It is shown that limits of less than 5% on the natural frequency are required for effective operation of the suppressor. This seems to contradict claims made by Nachtigal (2) that useful limits of 10% were obtained.

Variation of the machine-workpiece dynamics is expected because of changing conditions in the machine, eg. oil pressure and temperature, and the moving cutting position. It was hoped that these variations would be small and would fall within the working range of the suppressor. Unfortunately, this is not the case, and hence the model requires almost continuous tuning.

After tuning of the model, it is found that chatter is successfully suppressed for a limited period. Extensive qualitative tests were not performed, however, it is generally found that cutting rates can be increased by 500%. The experimental results (see FIG7. 34 and FIG7. 35) show how the amplitude of oscillation of the cutting force decreases when the suppressor is switched on. If the model is mis-matched, chatter can be initiated even in a normally stable cutting operation (see FIG7. 36).

It is obvious from the experimental results that two important factors determine the effectiveness of the method:

- the machine-workpiece dynamics must be accurately identified.

-- the model must be reliably and continuously tuned.

In order that the second condition be fulfilled, it is necessary that the model be made adaptive. This could be achieved by a method which minimises the amplitude of oscillation of the cutting force that occurs during chattering. The advantage of this approach being that it is not required to measure the machine vibration.

8. 4. Comments.

One of the main reasons for choosing a minicomputer to implement the chatter suppression method is to provide flexibility during development. It has already been stated that this flexibility can be used for different types of model and optimal control of the stepping motor, and may be extended to adaptive control of the system. Although these are proposed as possible ways of improving the basic method, and are mainly untested, it is felt that they would form a good starting point for further research and development.

During this research project, contact with skilled machine operators has revealed several other ways of preventing chatter. These seem to fall into three main types:

- avoiding the chatter regions.
- 'tricks' of the trade'.
- add-on devices.

In general, the first two merely ensure that the machine operates under optimum conditions, thus making the best of the machine. The third covers such things damper and workpiece steadies which may allow small increases in the machining rate.

The methods of Comstock and Nachtigal, and that described in this thesis, promise much greater increases. How these can be developed to 'production standard', and made suitable for an industrial environment, requires further investigation. However, the continuing progress of technology, especially in the fields of instrumentation, microcomputers, and electrical motors, makes the future availability of a 'production standard' active chatter suppressor seem near.

9. CONCLUSIONS.

9. 1. Conclusions.

In this final section, the main conclusions resulting from this work are summarised.

Throughout this project, the emphasis has been placed on developing a flexible chatter suppression system. It is shown that this primary objective has been fulfilled, resulting in a system which is amenable to future modification and expansion. It is clearly shown that the basic suppression method is sound, although several problem areas were encountered. These are mainly associated with the practical aspects of the implementation, and are discussed in detail in the main text. Solutions to the problems are proposed, with suggestions for other possible improvements.

The simple machining model used for the chatter analysis and simulation accurately predicts the observed behaviour during chattering. This model is then used to investigate the effects of the chatter suppression method under a variety of conditions. The digital simulation technique was found to be particularly useful as it is easy to use and allows the inclusion of many additional variables, eg. the tool positioner dynamics. The Describing Function method was found to be restricted to a simplified analysis only, as it becomes unwieldy if many variables are included. It does, however provide some qualitative results.

The selection of a suitable model for use in the suppressor turned out to be the most challenging problem. It was found that neither the cutting tests, impulse tests, or on-line identification provided other than nominal model parameters. These then had to be tuned by 'trial-and-error'. It was found that accurate tuning of the model parameters was essential for effective operation of the method. A realistic limit of less than 5% was found, which contradicts the results of Nachtigal, who quoted 10 %.

The most important future development of the suppressor would be to make the model self-tuning (adaptive). Also, the performance of the tool positioner needs to be improved. Investigation is also required to extend the method to other machine tools.

It is hoped that the work described in this thesis will, to some extent, contribute to the development of more efficient machine tools, and will form the basis of further work.

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APPENDICES.

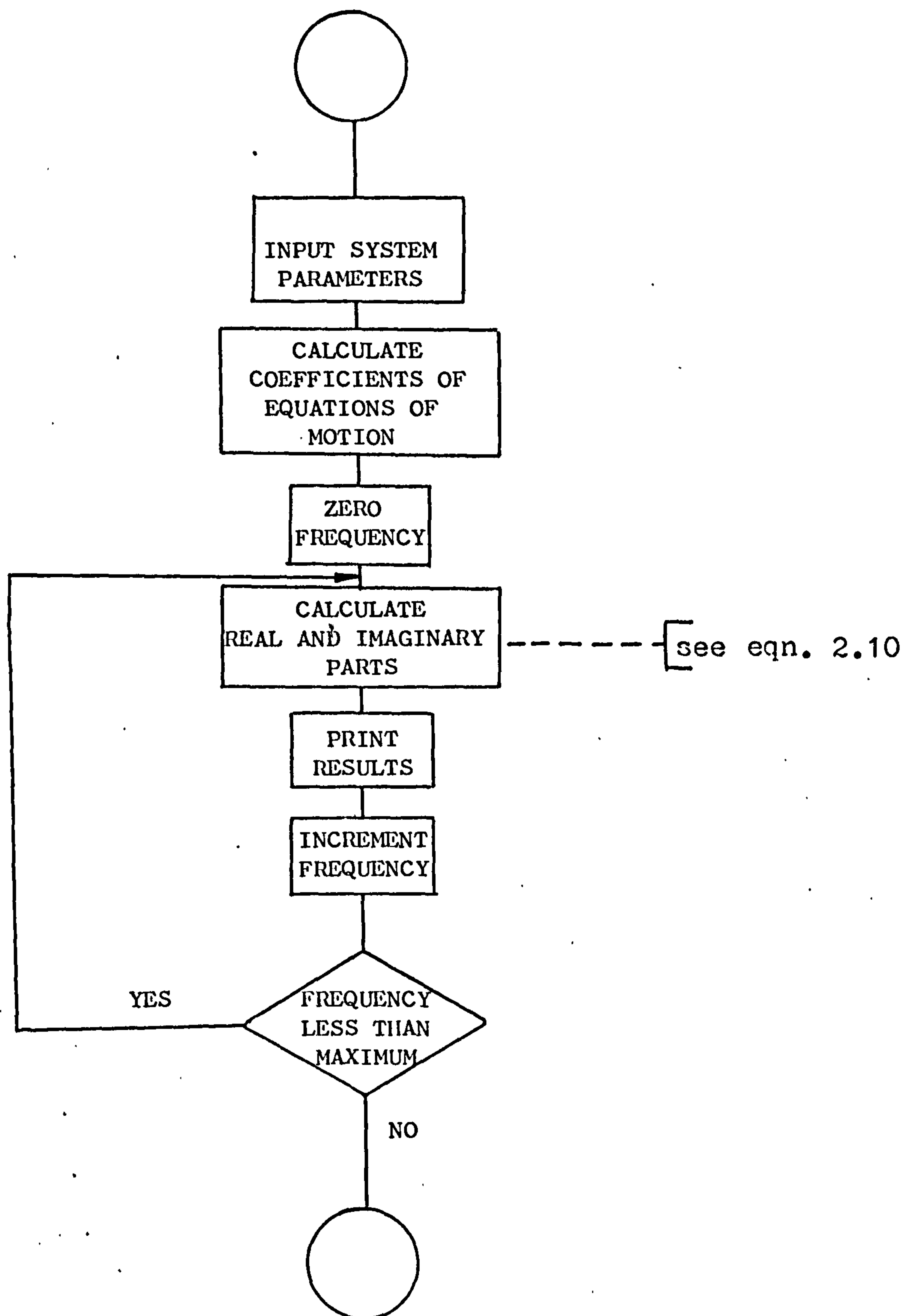
In the following appendices, the flowcharts and listings of the various programmes used during this research are given. The accompanying notes are intended only to provide a brief description of the programmes, and how to use them.

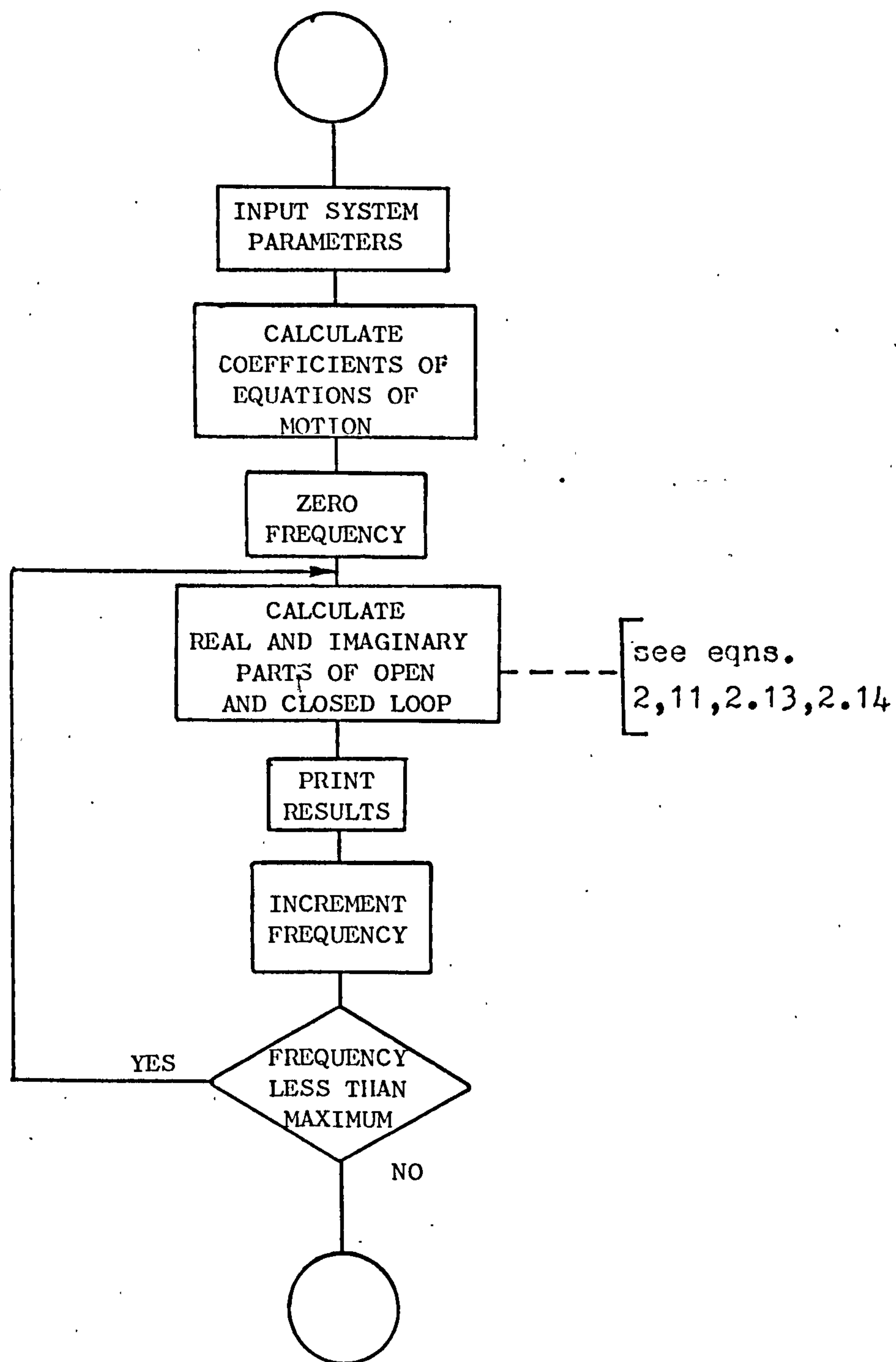
Appendix A contains the flowcharts and Appendix B contains the listings. Programmes written in the NOVA assembler language are extensively commented to aid understanding.

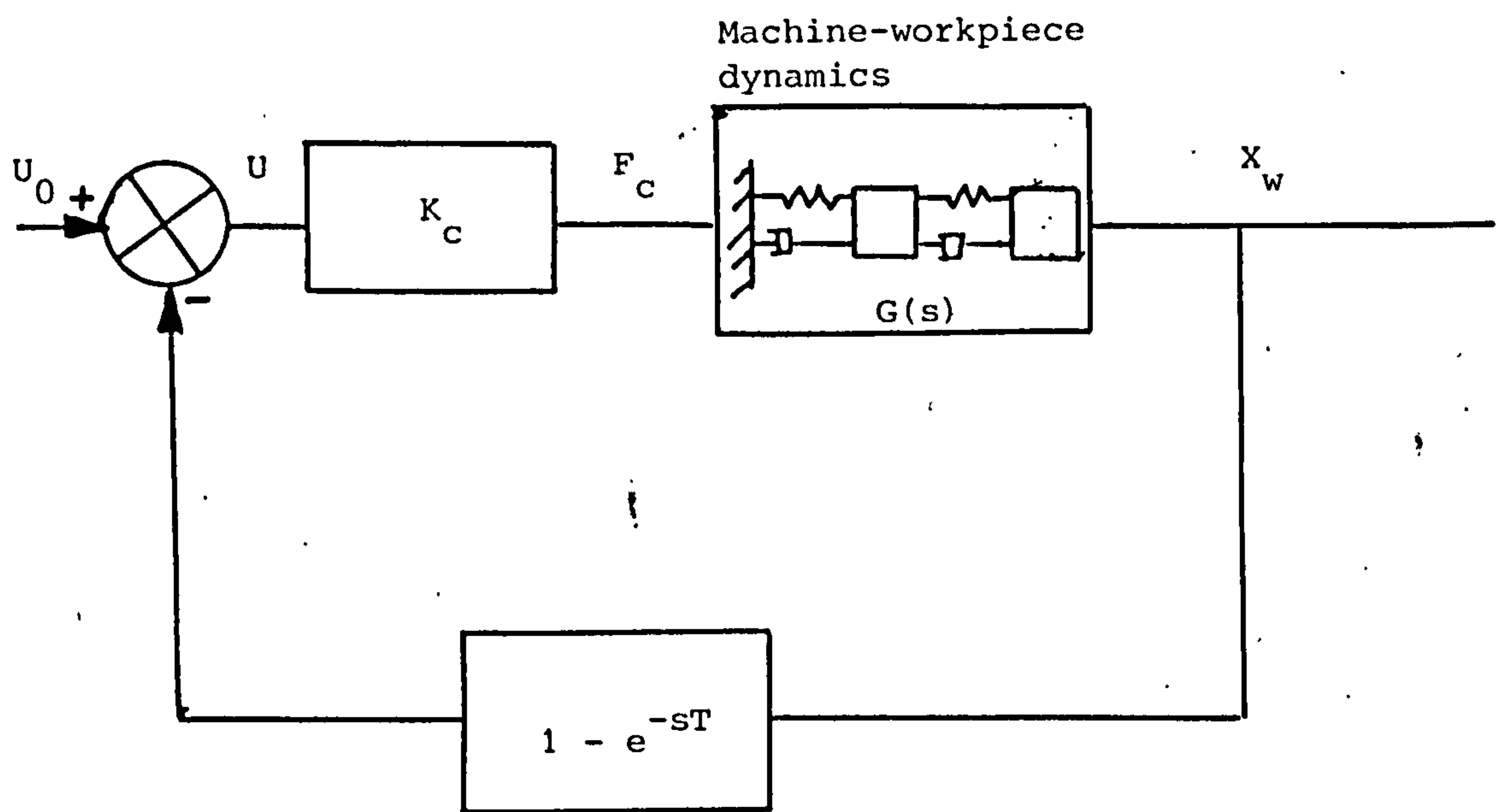
APPENDIX A.

Flowcharts and block diagrams for the computer programmes.

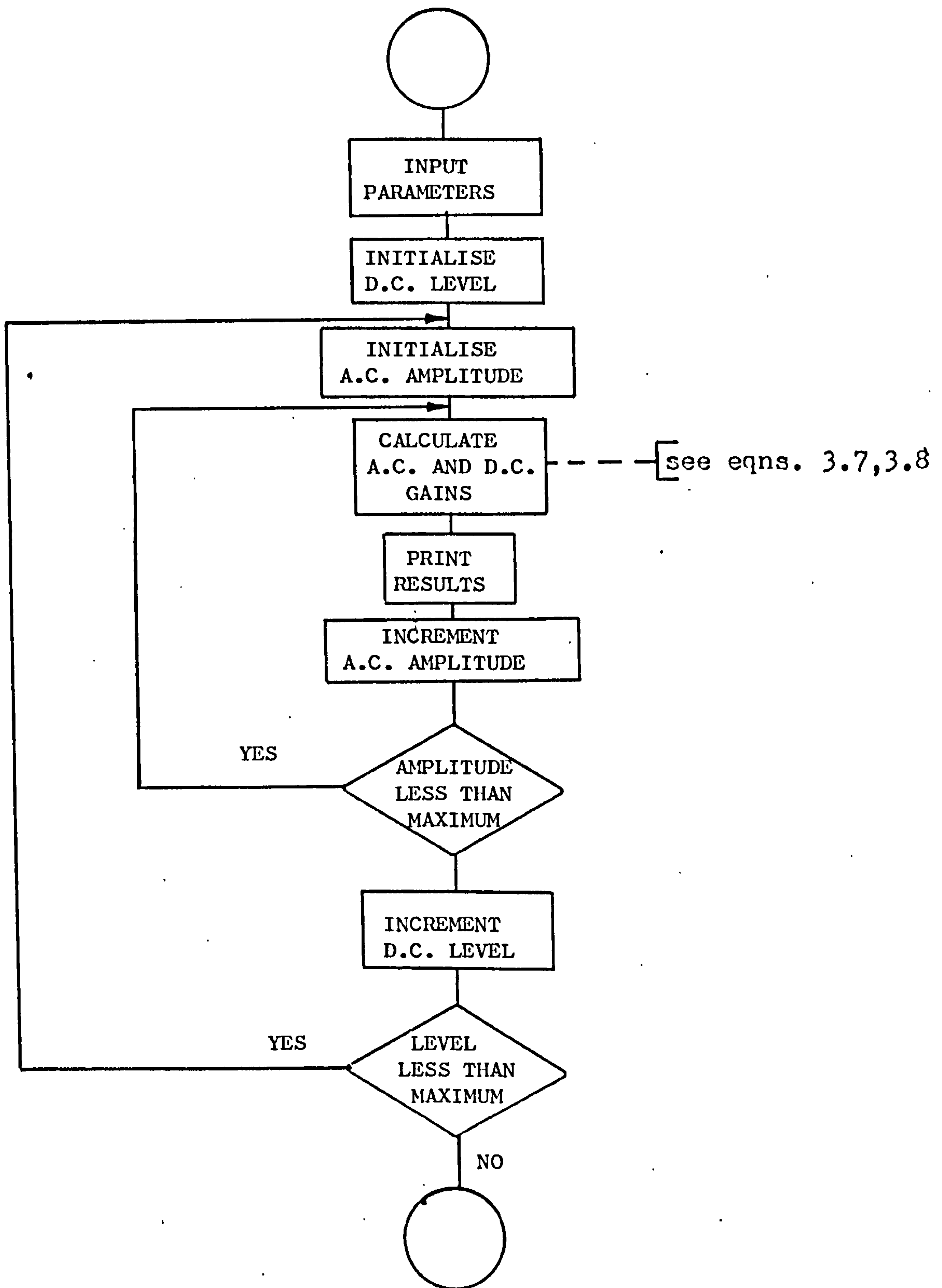
- A. 1. Machine-workpiece frequency response.
- A. 2. Open and closed loop frequency response of chatter model.
- A. 3. Model used for chatter simulation.
- A. 4. Dual-input describing function for idealised controller.
- A. 5. Model used for chatter suppression simulation.
- A. 6. C.N.C. turning.
- A. 7. On-line identification programme.
- A. 8. Active control of machine tool chatter.



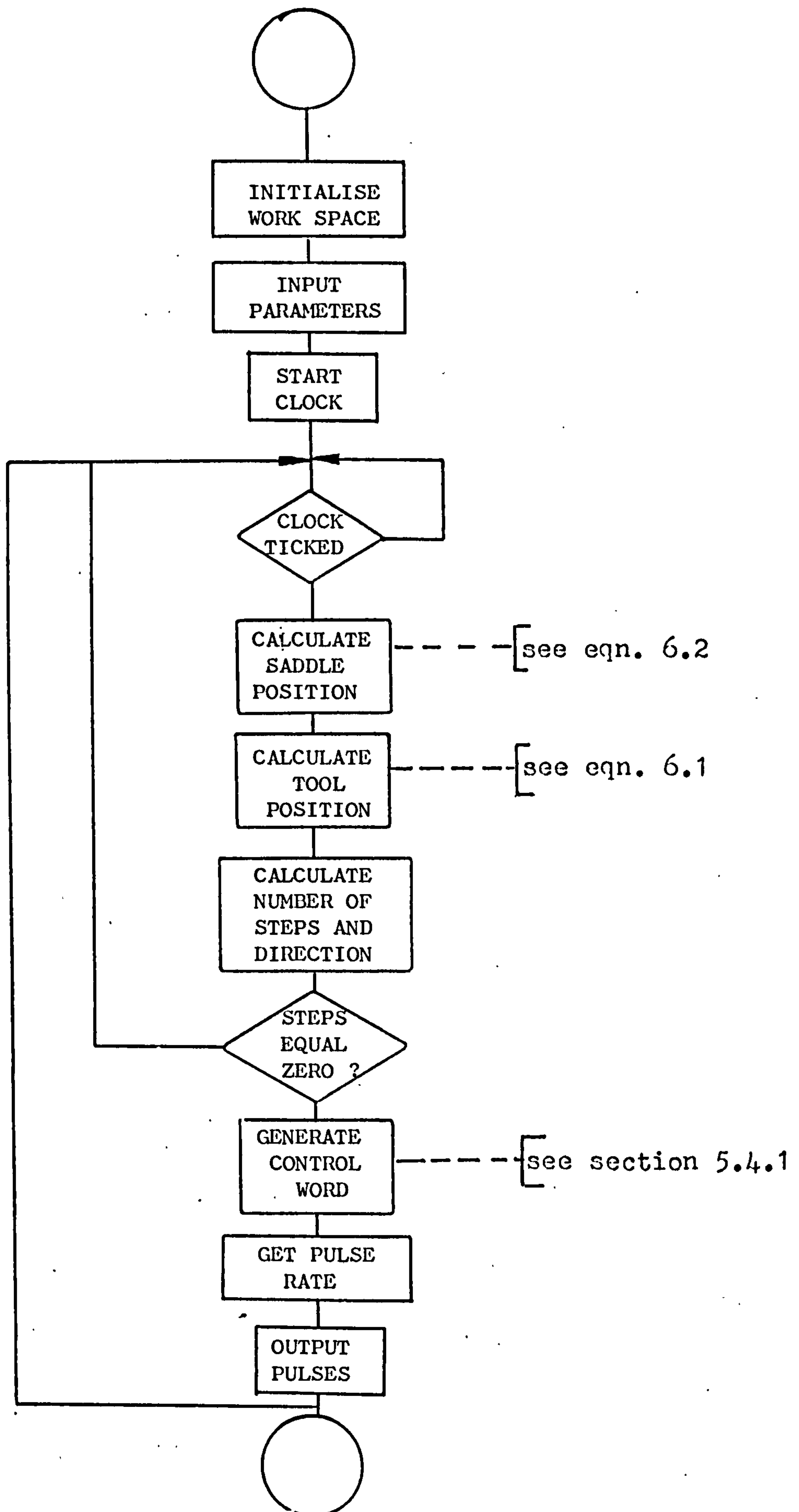


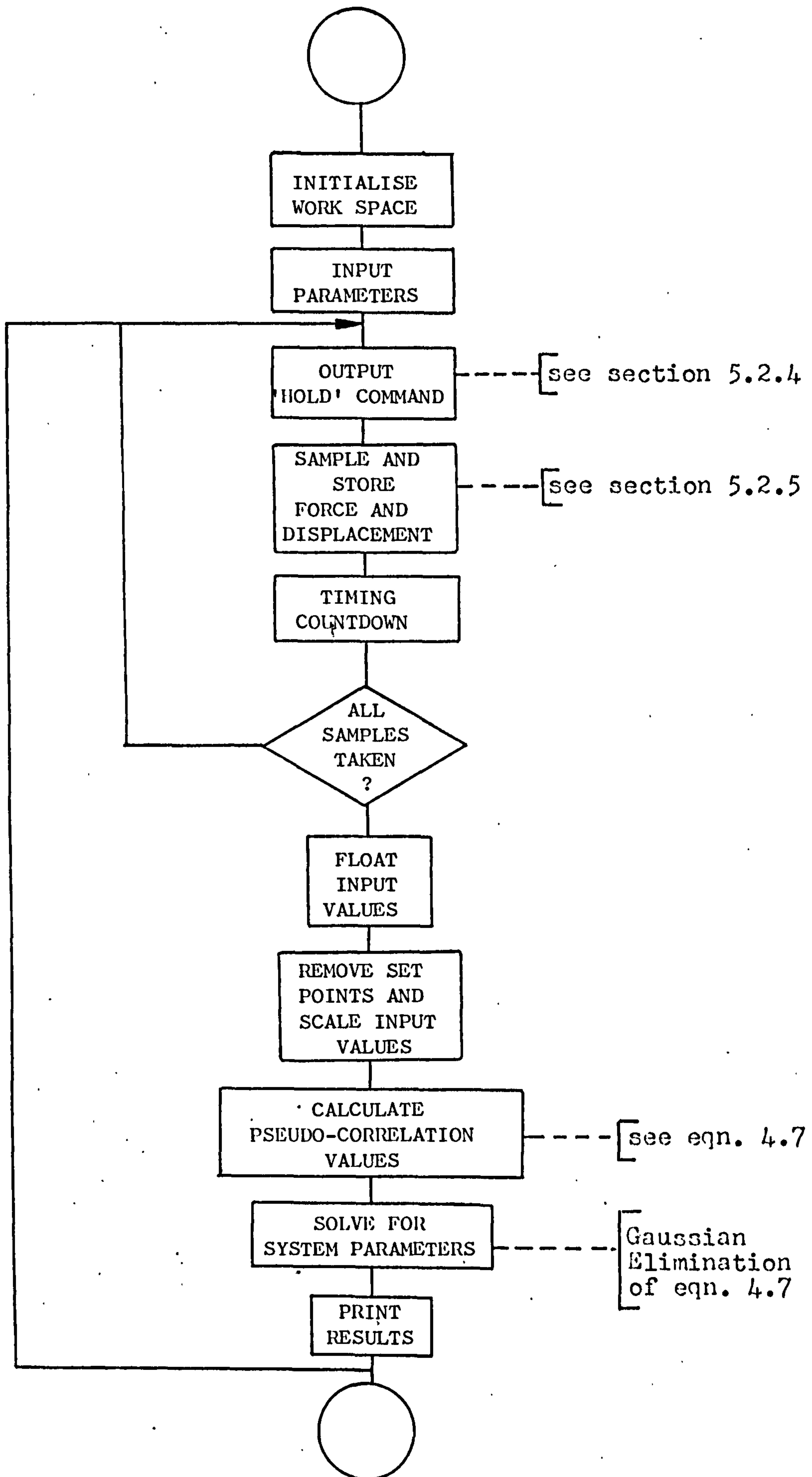


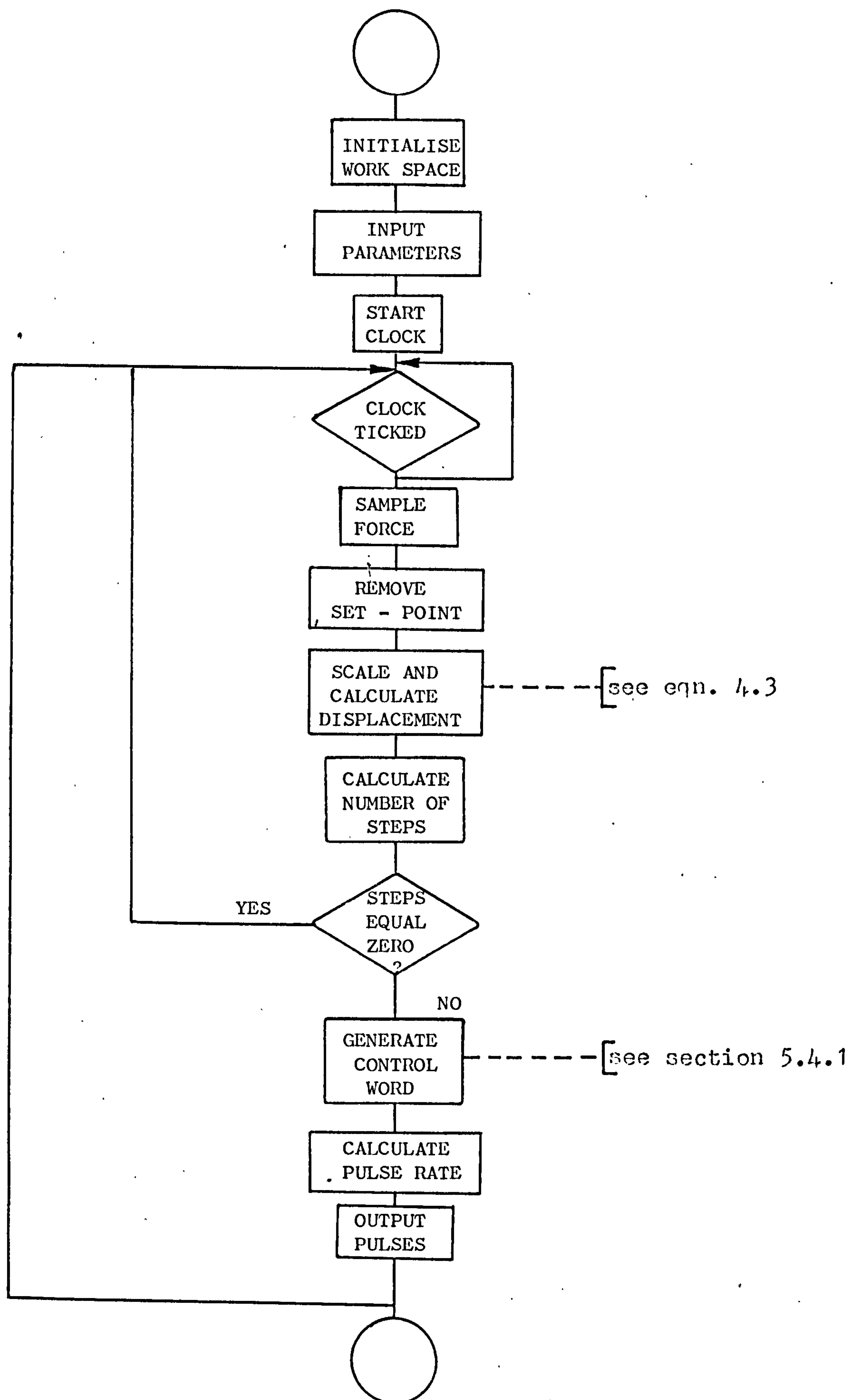
See equation 2.5 for expression of $G(s)$



See FIG3. 8.







APPENDIX B.

Listings of computer programmes.

- B. 1. Machine-workpiece frequency response (BASIC)
- B. 2. Open and closed loop frequency response of chatter model (FORTRAN)
- B. 3. Chatter simulation (C.S.M.P.)
- B. 4. Dual-input describing function for idealised controller (FORTRAN)
- B. 5. Chatter suppression simulation (C.S.M.P.)
- B. 6. C.N.C. turning (ASSEMBLER)
- B. 7. On-line identification programme (ASSEMBLER)
- B. 8. Active control of machine tool chatter (ASSEMBLER)

PROGRAMME DESCRIPTION

Purpose: To calculate the frequency response of the machine-workpiece structure.

Language: Basic

Associated Equations: 2.5 and 2.6

Associated Figures: FIG 2.1

Inputs:

<u>Name</u>	<u>Type</u>	<u>Comments</u>
M_1	Floating point in Data statement ↓	$\equiv M_M$
C_1		$\equiv C_M$
K_1		$\equiv K_M$
M_2		$\equiv M_w$
C_2		$\equiv C_w$
K_2		$\equiv K_w$

Outputs:

<u>Name</u>	<u>Type</u>	<u>Comments</u>
F	Floating point ↓	Frequency (rad/sec)
R		Real part
I		Imaginary part
G		Gain
P		Phase


```

1      100  READ M1,C1,K1
2      110  READ M2,C2,K2
3      120  DATA 0.03,0.1,120000
4      130  DATA 0.03,0.01,12000
5      140  LET A0=K1+K2
6      150  LET A1=C1+C2
7      160  LET A2=M1
8      170  LET B0=K1*K2
9      180  LET B1=C2*K1+K2*C1
10     190  LET B2=M2*K1+M2*K2+C2*C1+M1*K2
11     200  LET B3=M2*C1+M2*C2+M1*C2
12     210  LET B4=M1*M2
13     220  FOR W=0 TO 1000 STEP 1
14     230  LET X1=A0-A2*W*W
15     240  LET X2=A1*W
16     250  LET Y1=B4*(W-4)-B2*W*W+B0
17     260  LET Y2=B1*W-B3*(W-3)
18     270  LET R=(X1*Y1+X2*Y2)/(Y1*Y1+Y2*Y2)
19     280  LET I=(X2*Y1-X1*Y2)/(Y1*Y1+Y2*Y2)
20     290  LET G=SQR(R*R+I*I)
21     300  LET P=ATN(I/R)
22     310  PRINT W,R,I,G,P
23     320  NEXT W
24     330  STOP
25     340  END

```

PROGRAMME DESCRIPTION

Purpose: To calculate the open + closed loop frequency responses of the machining system.

Language: Fortran

Associated Equations: 2.5, 2.10, 2.11, 2.13 and 2.14

Associated Figures: FIG 2.4

Inputs:

<u>Name</u>	<u>Type</u>	<u>Comments</u>
M_1	ES.3	$\left. \begin{array}{l} A_5 \\ \text{for} \\ B.1 \end{array} \right\}$
C_1	ES.3	
K_2	ES.1	
M_2	ES.3	
C_2	ES.3	
K_2	ES.1	
K	ES.1	$\equiv K_c$
T	E4.2	Workpiece rotation period (sec)
DELTA	E4.2	Frequency increment

Outputs:

<u>Name</u>	<u>Type</u>	<u>Comments</u>
W	F12.5	Frequency (rad/sec)
REOL	\downarrow	Real part - open loop
IMOL		Imaginary part - open loop
RECL		Real part - closed loop
IMCL		Imaginary part - closed loop
GAIN		Gain - closed loop
PHASE		Phase - closed loop


```

1      REAL M1,M2,K1,K2,K,IMOL,IMCL
2      READ(5,100)M1,C1,K1
3      READ(5,100)M2,C2,K2
4      READ(5,110)K,T,DELT
5      100 FORMAT(2F5.3,F8.1)
6      110 FORMAT(F5.1,2F4.2)
7      WRITE(6,120)
8      120 FORMAT(25X,9HOPEN LOOP,20X,1H:,35X,11HCLOSED LOOP)
9      WRITE(6,121)
10     121 FORMAT(8X,9HFREQUENCY,6X,2(1X,9HREAL PART,6X,14HIMAGINARY PART
11         &,4X),4HGAIN,12X,5HPHASE)
12     B0=K2*(K1+K2)-K2*K2
13     B1=C2*(K1+K2)+K2*(C1+C2)-2.0*C2*K2
14     B2=M2*(K1+K2)+C2*(C1+C2)+M1*K2-C2*C2
15     B3=M2*(C1+C2)+M1*C2
16     B4=M1*M2
17     A0=K1+K2
18     A1=C1+C2
19     A2=M1
20     DO 140 IW=0,2000,1
21     W=IW*DELT
22     E1=A0-A2*W*W
23     F2=A1*W
24     F1=B4*(W**4)-B2*W*W+B0
25     F2=B1*W-B3*(W**3)
26     X=E1*F1+E2*F2
27     Y=E2*F1-E1*F2
28     Z=F1*F1+F2*F2
29     P=1.0-COS(W*T)
30     Q=SIN(W*T)
31     U=X*P-Y*Q
32     V=Y*P+X*Q
33     REOL=U*K/Z
34     IMOL=V*K/Z
35     S=(Z/K+U)**2+V**2
36     RECL=(X*(Z/K+U)+Y*V)/S
37     IMCL=(Y*(Z/K+U)-X*V)/S
38     GAIN=SQRT(RECL**2+IMCL**2)
39     PHASE=ATAN(IMCL/RECL)
40     WRITE(6,130)W,REOL,IMOL,RECL,IMCL,GAIN,PHASE
41     130 FORMAT(7(5X,F12.5))
42     140 CONTINUE
43     STOP
44     END

```

PROGRAMME DESCRIPTION

Purpose: Digital simulator of the machining system
- chatter model.

Language: C.S.M.P.

Associated Equations: —

Associated Figures: See A.3

Inputs:

<u>Name</u>	<u>Type</u>	<u>Comments</u>
K_c	Floating point - Parameter blocks ↓	$\equiv K_c$
T		Workpiece rotation period
$u\phi$		Normal depth of cut
P_1		{ Parameters for random noise generator to modify K_c
P_2		
P_3		
M_1		
C_1		{ As for B.1
K_1		
M_2		
C_2		
K_2		

Outputs:

<u>Name</u>	<u>Type</u>	<u>Comments</u>
F	Plotted ↓	$\equiv F_c$
X		$\equiv X_m$
y		$\equiv X_w$


```

1  TITLE CHATTER SIMULATION-SEPIAL.
2  INITIAL
3  METHOD RKSEFX
4  INCON  IC1=0.0,IC2=0.0,IC3=0.0,IC4=0.0
5  PARAM  KC=750.0,T=0.2,U0=0.02
6  PARAM  P1=1,P2=1.0,P3=0.2
7  PARAM  M1=0.03,C1=0.1,K1=120000
8  PARAM  M2=0.03,C2=0.01,K2=12000
9  DYNAMIC
10      F=KC*U*GAUSS(P1,P2,P3)
11      YDD=(F+C2*X0+K2*X-C2*Y0-K2*Y)/M2
12      Y0=INTGPL(IC1,YDD)
13      Y=INTGRL(IC2,Y0)
14      XDD=(C2*Y0+K2*Y-(C1+C2)*X0-(K1+K2)*X)/M1
15      X0=INTGRL(IC3,XDD)
16      X=INTGRL(IC4,X0)
17      U=U0-Y+DELAY(200,T,Y)
18  TIMER  DELT=0.0005,FINTIM=0.5,OUTDEL=0.001
19  PRTPLT F,X,Y
20  END
21  STOP
22  ENDJOB

```

PROGRAMME DESCRIPTION

Purpose: To calculate the D.I.D.F. for the simplified chatter suppressor.

Language: Fortran

Associated Equations: 3.7 and 3.8

Associated Figures: FIG 3.5

Inputs:

<u>Name</u>	<u>Type</u>	<u>Comments</u>
D	E12.5 ↓	} Quantizer parameters
H		
CA		A increment
CB		B increment

Outputs:

<u>Name</u>	<u>Type</u>	<u>Comments</u>
A	F12.5 ↓	AC amplitude
B		DC level
NA		AC gain
NB		DC gain


```

1      REAL NA,NB
2      READ(5,100)D,H,CA,CB
3      100 FORMAT(4E12.5)
4      WRITE(6,90)
5      90  FORMAT(47H          A          B          NA          NB)
6      DO 200 K=1,100
7      A=CA*K
8      DO 190 J=1,10
9      B=CB*J
10     NA=1.0
11     NB=1.0
12     DO 170 I=1,100
13     RI=FLOAT(I)
14     G1=((2.0*RI-1.0)/2.0)*H+B)/A
15     G2=((2.0*RI-1.0)/2.0)*H-B)/A
16     IF(ABS(G1).LE.1.0) GO TO 120
17     Q1=0.0
18     IF(G1.GT.1.0) GO TO 110
19     P1=-0.5
20     GO TO 130
21     110 P1=0.5
22     GO TO 130
23     120 P1=ARSIN(G1)/3.1416
24     Q1=SQRT(1.0-G1*G1)*2.0/3.1416
25     130 IF(ABS(G2).LE.1.0) GO TO 150
26     Q2=0.0
27     IF(G2.GT.1.0) GO TO 140
28     P2=-0.5
29     GO TO 160
30     140 P2=0.5
31     GO TO 160
32     150 P2=ARSIN(G2)/3.1416
33     Q2=SQRT(1.0-G2*G2)*2.0/3.1416
34     160 CONTINUE
35     NB=NB-D/B*(P1-P2)
36     NA=NA-D/A*(Q1+Q2)
37     170 CONTINUE
38     WRITE(6,180)A,B,NA,NB
39     180 FORMAT(F12.5,1X,E12.5,1X,E12.5,1X,E12.5)
40     190 CONTINUE
41     200 CONTINUE
42     STOP
43     END

```

PROGRAMME DESCRIPTION

Purpose: Digital simulation of the machining system with the chatter suppressor

Language: C.S.M.P..

Associated Equations: —

Associated Figures: FIG 3.8

Inputs:

<u>Name</u>	<u>Type</u>	<u>Comments</u>
KC	Floating point in parameter block ↓	} As for B.3
T		
uq		
S		$\equiv S_s$ - step size
P1		} As for B.3
P2		
P3		
M1, C1, K1		} As for B.1
M2, C2, K2		
K7		} Tool positioner dynamics
K8		
M3		

Outputs:

<u>Name</u>	<u>Type</u>	<u>Comments</u>
F	Plotted ↓	$\equiv F_c$
X		$\equiv X_m$
Y		$\equiv X_w$
XP		$\equiv X_p'$
XQ		$\equiv X_c'$
XT		$\equiv X_t'$
D		$\equiv X_w - X_t'$


```

1  TITLE CHATTER SUPPRESSION
2  INITIAL
3  METHOD RKSF
4  INCON IC1=0.0,IC2=0.0,IC3=0.0,IC4=0.0
5  INCON IC5=0.0,IC6=0.0,IC7=0.0,IC8=0.0
6  PARAM KC=2000.0,T=0.2,U0=0.02,S=0.00067
7  PARAM P1=1,P2=1.0,P3=0.2
8  PARAM M1=0.03,C1=0.1,K1=120000
9  PARAM M2=0.09,C2=0.01,K2=12000
10 PARAM K7=0.2,K8=750.0
11 PARAM M3=0.099
12      K4=K2
13      K6=SQRT(K2/M3)
14      K5=C2/(2.0*M2*K6)
15  DYNAMIC
16      F=KC*U*GAUSS(P1,P2,P3)
17      YDD=(F+C2*XD+K2*X-C2*YD-K2*Y)/M2
18      YD=INTGRL(IC1,YDD)
19      Y=INTGRL(IC2,YD)
20      XDD=(C2*YD+K2*Y-(C1+C2)*XD-(K1+K2)*X)/M1
21      XD=INTGRL(IC3,XDD)
22      X=INTGRL(IC4,XD)
23      U=U0-D+DFLAY(200,T,D)
24      XF=F*K6*K6/K4
25      XP=CMPLXPL(IC5,IC6,K5,K6,XF)
26      XQ=QNTZR(S,XP)*K8*K8
27      XT=CMPLXPL(IC7,IC8,K7,K8,XQ)
28      D=Y-XT
29  TIMER  DFLT=0.001,FINTIM=0.5,OUTDFL=0.002
30  PRTPLT F,X,Y,XP,XQ,XT,D
31  FND
32  STOP
33  ENDJOB

```

PROGRAMME DESCRIPTION

Purpose: To drive the tool positioner as a C.N.C. system

Language: Data General Assembler

Associated Equations: 6.2 and 6.3

Associated Figures: —

Inputs:

<u>Name</u>	<u>Type</u>	<u>Comments</u>
RPM	Floating point ↓	Speed
FEED		Feedrate
SF		Scaling factor
DT		Time increment
SS		Step size
ZERO		ϕ - ϕ

Outputs:

<u>Name</u>	<u>Type</u>	<u>Comments</u>
-------------	-------------	-----------------

NB. (i) Needs subroutines PARAMS and EQUUS

PARAMS — inputs F.P. shape parameters

EQUUS — calculates the tool displacement for a given axial displacement

(ii) SF is a factor to maintain consistency of units in the calculation of axial displacement.

CARD ORDINAL NO.	ERRORS	LOC	VALUE	INPUT CARD	
1		00000	00000	.LOC 0	
2		00000	00000	0	
3		00001	024042	INTER	:ADDRESS OF INTERRUPT ROUTINE
4		00007	000007	.LOC 7	
5		00007	027000	27000	:ADDRESS OF WRITEABLE AREA
6		00040	000040	.LOC 40	
7		00040	024160	GETC	:ADDRESSES OF I/O ROUTINES
8		00041	024177	PUTC	:
9		00044	000044	.LOC 44	
10		00044	026000	PARAM:26000	:ADDRESS OF S/R TO INPUT SHAPE PARAMETERS
11		00045	026100	EQUINS:26100	:ADDRESS OF S/R TO GENERATE PROFILE
12		00046	024024	REP:LOOP	
13		024000	024000	.LOC 24000	
14		24000	102400	SUB 0,0	:CLEAR AC'S AND CARRY
15		24001	126400	SUR 1,1	
16		24002	152400	SUR 2,2	
17		24003	176440	SURN 3,3	
18		24004	054544	STA 3,PP	:EMPTY WORKING STORAGE
19		24005	006005	FINI	
20		24006	006004	FFTR	
21		24007	006044	FJSR	:INPUT SHAPE PARAMETERS
22		24010	120000	FDFC 0	:INPUT F.P.CONSTANTS
23		24011	040514	FSTA 0,RPM	
24		24012	120000	FDFC 0	
25		24013	040514	FSTA 0,FEED	
26		24014	120000	FDFC 0	
27		24015	040514	FSTA 0,SF	
28		24016	120000	FDFC 0	
29		24017	040514	FSTA 0,DT	
30		24020	120000	FDFC 0	
31		24021	040514	FSTA 0,SS	
32		24022	120000	FDFC 0	
33		24023	040514	FSTA 0,ZERO	
34		24024	020513	FLDA 0,ZERO	
35		24025	040514	FSTA 0,T	
36		24026	040515	FSTA 0,X	
37		24027	040516	FSTA 0,N	
38		24030	100000	FEXT	:EMPTY F.P.WORKING STORAGE
39		24031	063077	HALT	
40		24032	060177	INTFN	:WAIT FOR 'CONTINUE'
41		24033	020524	LDA 0,MASK	
42		24034	062077	MSKN 0	:MASK ALL BUT CLOCK
43		24035	020512	LDA 0,TICK	
44		24036	061114	DOAS 0,RTC	
45		24037	000401	JMP -+1	:START CLOCK
46		24040	000777	JMP -1	:WAIT FOR CLOCK TO TICK
47		24041	000777	JMP -1	
48		24042	060114	NINS RTC	
49		24043	060177	INTFN	:CLOCK TICKED,RESTART CLOCK
50		24044	006005	FINI	:RE-ENABLE INTERRUPTS
51		24045	006004	FFTR	
52		24046	020473	FLDA 0,T	
53		24047	024464	FLDA 1,DT	:CALCULATE SADDLE(X) POSITION
54		24050	123000	FADD 1,0	

INPUT CARD

CARD ERRORS LOC VALUE

CARD
ORDINAL

109	24137	000000	ZERN:J
110	24140	000000	ZFRDA:0
111	24141	000000	T:0
112	24142	000000	TA:0
113	24143	000000	X:0
114	24144	000000	XA:0
115	24145	000000	N:0
116	24146	000000	NA:0
117	24147	000001	TICK:1
118	24150	000000	PP:0
119	24151	120000	FOR:120000
120	24152	160000	REV:160000
121	24153	000001	PLUS:1
122	24154	177777	MINUS:177777
123	24155	000000	STEPS:0
124	24156	000000	CNT:0
125	24157	177773	MASK:177773
126	24160	054431	GETC: STA 3,SGET
127	24161	060110	TTI NINS TTI
128	24162	063610	SKPDN TTI
129	24163	000777	JMP --1
130	24164	060610	DIAC 0,TTI
131	24165	034430	LDA 3,C177
132	24166	163400	AND 3,0
133	24167	004410	JSR PUTC
134	24170	034423	LDA 3,CR
135	24171	116404	SUB 0,3,SZR
136	24172	002417	JMP ASGET
137	24173	020421	LDA 0,LF
138	24174	004403	JSR PUTC
139	24175	020416	LDA 0,CR
140	24176	002413	JMP ASGET
141	24177	063511	SKPBZ TTI
142	24200	000777	JMP --1
143	24201	061111	DNAS 0,TTI
144	24202	101004	MOV 0,0,SZR
145	24203	001400	JMP 0,3
146	24204	054406	STA 3,SPUT
147	24205	020406	LDA 0,CR
148	24206	004771	JSR PUTC
149	24207	102400	SUB 0,0
150	24210	002402	JMP ASPUT
151	24211	000000	SGET:0
152	24212	000000	SPUT:0
153	24213	000015	CR:15
154	24214	000012	LF:12
155	24215	000177	C177:177
156		100000	.END

:MOTOR CONTROL WORD-FORWARD
:MOTOR CONTROL WORD-REVERSE

:S/R TO INPUT CHARACTER FROM TTY

:S/R TO OUTPUT CHARACTER TO TTY

CROSS REFERENCE TABLE				
NAME	LOC & TYPE	CARD ORDINAL		
		NUMBER		
CNT	024156	85	86	90
CR	024213	134	139	147
C177	024215	131		
DT	024133	29	53	
DTA	024134			
EQUNS	000045	62		
FEED	024127	25	58	
FEEDA	024130			
FOR	024151	73		
GETC	024160	7		
INTER	024042	3		
LF	024214	137		
LOOP	024024	12		
MASK	024157	41		
MINUS	024154	81		
N	024145	37	65	66
NA	024146	68		
NOSTP	024124	72		
NP	024105	97		
PARAM	000044	21		
PLUS	024153	74		
PP	024150	18	69	93
PUTC	024177	8	133	138
REP	010046			148
REV	024152	80		
RPM	024125	23	56	
RPMA	024126			
SF	024131	27	60	
SFA	024132			
SGET	024211	126	136	140
SPUT	024212	146	150	
SS	024135	31	63	
SSA	024136			
STEPS	024155	82	96	
T	024141	35	52	55
TA	024142			
TICK	024147	43		
WAIT	024037	98		
X	024143	36		
XA	024144			
ZERO	024137	33	34	
ZEROA	024140			
* ABSOLUTE ASSEMBLY				
O THERE WERE AT LEAST O ERRORS IN THIS ASSEMBLY				

PROGRAMME DESCRIPTION

Purpose: On-line identification programme

Language: Data General Assembler

Associated Equations: 4.5, 4.6 (see ref. 36), 5.1 and 5.2

Associated Figures: —

Inputs:

<u>Name</u>	<u>Type</u>	<u>Comments</u>
ALPHA	Floating point ↓	Weighting term = 1.0
SP1		} set points for displacement I/P's
SP2		
SF0		} Scaling factors for force & displacement I/P's
SP1		
SP2		
SQRT2		
ZERO		$\sqrt{2} = 1.414$ 0.0

Outputs:

<u>Name</u>	<u>Type</u>	<u>Comments</u>
Y2	Floating point ↓	$\equiv B2$
Y1		$\equiv B1$
X2		$\equiv A2$
X1		$\equiv A1$

CARD ORIGINAL NO.	ERRORS	LOC	VALUE	INPUT CARD
1		00007	000007	.LOC 7
2			000270	270
3			000040	.LOC 40
4		00040	001175	GETC
5		00041	001214	PUTC
6			000044	.LOC 44
7		00044	000517	CALC
8		00045	000426	NEXT
9		00046	000000	
10		00047	000000	
11		00050	000000	
12		00051	000000	
13		00052	000000	
14		00053	000000	
15		00054	000000	
16		00055	000000	
17		00056	000000	
18		00057	000000	
19		00060	000000	
20		00061	000000	
21		00062	000000	
22		00063	000000	
23		00064	000000	
24		00065	000000	
25		00066	000000	
26		00067	000000	
27		00070	000000	
28		00071	000000	
29		00072	000000	
30		00073	000000	
31		00074	000000	
32		00075	000000	
33		00076	000000	
34		00077	000000	
35		00100	000000	
36		00101	000000	
37		00102	000000	
38		00103	000000	
39		00104	000000	
40		00105	000000	
41		00106	000000	
42		00107	000000	
43		00110	000000	
44		00111	000000	
45		00112	000000	
46		00113	000000	
47		00114	000000	
48		00115	000000	
49		00116	000000	
50		00117	000000	
51		00120	000000	
52		00121	000000	
53		00122	000000	
54		00123	000000	

: ADDRESS OF WRITEABLE AREA

: ADDRESSES OF I/O ROUTINES

: ADDRESSES OF PROGRAMME STEPS

A11:0

A11A:0

A12:0

A12A:0

A13:0

A13A:0

A14:0

A14A:0

F1:0

F1A:0

A21:0

A21A:0

A22:0

A22A:0

A23:0

A23A:0

A24:0

A24A:0

F2:0

F2A:0

A31:0

A31A:0

A32:0

A32A:0

A33:0

A33A:0

A34:0

A34A:0

F3:0

F3A:0

A41:0

A41A:0

A42:0

A42A:0

A43:0

A43A:0

A44:0

A44A:0

F4:0

F4A:0

SQRT2:0

SQRT8:0

ALPHA:0

ALPHA8:0

SPI:0

SPIA:0

INPUT CARD

CARD
ORDINAL

ERRORS

LOC

VALUE

NO.	00124	000000	SP2:0
55	00125	000000	SP2A:0
56	00126	000000	SF0:0
57	00127	000000	SF0A:0
58	00130	000000	SF1:0
59	00131	000000	SF1A:0
60	00132	000000	SF2:0
61	00133	000000	SF2A:0
62	00134	000000	M1:0
63	00135	000000	M1A:0
64	00136	000000	C1X:0
65	00137	000000	C1XA:
66	00140	000000	C1:0
67	00141	000000	C1A:0
68	00142	000000	M2:0
69	00143	000000	M2A:0
70	00144	000000	C2X:0
71	00145	000000	C2XA:0
72	00146	000000	C2:0
73	00147	000000	C2A:0
74	00150	000000	M3:0
75	00151	000000	M3A:0
76	00152	000000	C3X:0
77	00153	000000	C3XA:0
78	00154	000000	C3:0
79	00155	000000	C3A:0
80	00156	000000	A22X:0
81	00157	000000	A22XA:0
82	00160	000000	A23X:0
83	00161	000000	A23XA:0
84	00162	000000	A24X:0
85	00163	000000	A24XA:0
86	00164	000000	F2X:0
87	00165	000000	F2XA:0
88	00166	000000	F3X:0
89	00167	000000	F3XA:0
90	00170	000000	A34X:0
91	00171	000000	A34XA:0
92	00172	000000	A33X:0
93	00173	000000	A33XA:0
94	00174	000000	A32X:0
95	00175	000000	A32XA:0
96	00176	000000	A42X:0
97	00177	000000	A42XA:0
98	00200	000000	A43X:0
99	00201	000000	A43XA:0
100	00202	000000	A44X:0
101	00203	000000	A44XA:0
102	00204	000000	F4X:0
103	00205	000000	F4XA:0
104	00206	000000	X1:0
105	00207	000000	X1A:0
106	00210	000000	X2:0
107	00211	000000	X2A:0
108			

INPUT CARD

CARD
ORDINAL
NO.
109
110
111
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161
162ERRORS
LOC
VALUE

00212	000000	Y1:0	
00213	000000	Y1A:0	
00214	000000	Y2:0	
00215	000000	Y2A:0	
00216	007610	ADST:7610	
00217	000530	TIMER:530	
00220	000000	CNTD:0	
00221	000000	CHAN:0	
00222	000250	SAMP1:250	
00223	000070	SAMP.33:70	
00224	000000	CNT1:0	
00225	000000	CNT.33:0	
00226	000011	NINE:11	
00227	000000	CNT:0	
00230	000000	CSL:0	
00231	000134	ADM1:M1	
00232	000000	ZERN:0	
00233	000000	ZERQA:0	
00234	000000	PNT:0	
00235	000003	THREE:3	
00236	000000	SGET:0	
00237	000000	SPIJT:0	
00240	000000	SAVE:0	
00241	000007	C7:7	
00242	000177	C177:177	
00243	000015	CR:15	
00244	000012	LF:12	
00400	000400	START:	
00401	102400	LOC 400	
00402	126400	SUR 0,0	
00403	152400	SUR 1,1	
00404	176440	SUR 2,2	
00405	006005	SUR 3,3	
00406	006004	FINI	
00407	120000	FFTR	
00410	040120	FDFC	
00411	120000	FSTA 0,ALPHA	
00412	040124	FDFC 0	
00413	120000	FSTA 0,SPI	
00414	040126	FDFC 0	
00415	120000	FSTA 0,SP2	
00416	040130	FDFC 0	
00417	120000	FSTA 0,SFO	
00420	040132	FDFC 0	
00421	120000	FSTA 0,SF1	
00422	040116	FDFC 0	
00423	120000	FSTA 0,SF2	
00424	040232	FDFC 0	
00425	020232	FSTA 0,SQRT2	
00426	040046	FLDA 0,ZERO	
00427	040050	FSTA 0,ZERO	
00430	040052	FSTA 0,A11	
00431		FSTA 0,A12	
		FSTA 0,A13	

:START ADDRESS FOR SAMPLE STORAGE
:TIMING COUNT FOR SAMPLE INPUT(530 GIVES 1MSEC)
:NUMBER OF SETS OF SAMPLES
:NUMBER OF GROUPS OF SETS(SAMP1/3)
:ADDRESS OF START OF STORAGE FOR GROUPS
:CLEAR AC'S AND CARPY
:INPUT F.P.CONSTANTS AND ZERO
:EMPTY WORKING STORAGE

CARD ORDINAL	NO.	ERRORS	LOC	VALUE	INPUT CARD
217	00520		054227	STA 3,CNT	
218	00521		034231	LDA 3,ADM1	
219	00522		102440	SUBN 0,0	;PREPARE SAMPLES FOR FLOATING
220	00523		026234	LDA 1,APNT	
221	00524		125112	MOVL# 1,1,SZC	
222	00525		100000	CNM 0,0	
223	00526		041400	STA 0,0,3	
224	00527		045401	STA 1,1,3	
225	00530		175400	INC 3,3	
226	00531		175400	INC 3,3	
227	00532		010234	ISZ PNT	
228	00533		014227	DSZ CNT	
229	00534		000766	JMP FLNAT	
230	00535		006005	FINI	
231	00536		006004	FFTP	
232	00537		064235	FLD3 THREE	
233	00540		070227	FST3 CNT	
234	00541		064231	FLD3 ADM1	
235	00542		070230	FST3 CSL	
236	00543		062230	FFLO ACSL	;FLOAT,REMOVE SET POINT,AND SCALE
237	00544		022230	FLDA 0,ACSL	
238	00545		024126	FLDA 1,SFO	
239	00546		120100	FMPY 1,0	
240	00547		042230	FSTA 0,ACSL	
241	00550		010230	FIS7 CSL	
242	00551		010230	FISZ CSL	
243	00552		062230	FFLO ACSL	
244	00553		022230	FLDA 0,ACSL	
245	00554		024122	FLDA 1,SPI	
246	00555		122400	FSUR 1,0	
247	00556		024130	FLDA 1,SFI	
248	00557		104100	FMPY 0,1	
249	00560		010230	FISZ CSL	
250	00561		010230	FISZ CSL	
251	00562		062230	FFLO ACSL	
252	00563		022230	FLDA 0,ACSL	
253	00564		030124	FLDA 2,SP2	
254	00565		142400	FSUB 2,0	
255	00566		030132	FLDA 2,SF2	
256	00567		140100	FMPY 2,0	
257	00570		123000	FADD 1,0	
258	00571		024116	FLDA 1,SORT2	
259	00572		120200	FDIV 1,0	
260	00573		042230	FSTA 0,ACSL	
261	00574		010230	FISZ CSL	
262	00575		010230	FISZ CSL	
263	00576		014227	FDSZ CNT	
264	00577		000744	FJMP SCALE	
265	00600		034120	FLDA 3,ALPHA	;UPDATE ALL
266	00601		020046	FLDA 0,ALL	
267	00602		160100	FMPY 3,0	
268	00603		024142	FLDA 1,M2	
269	00604		030142	FLDA 2,M2	
270	00605		144100	FMPY 2,1	

CARD ORDINAL NO.	ERRORS	LOC	VALUE	INPUT CARD	
271		00606	123000	FADD 1,0	; UPDATE A12 & A21
272		00607	040046	FSTA 0,A11	
273		00610	020050	FLDA 0,A12	
274		00611	160100	FMPY 3,0	; UPDATE A13 & A31
275		00612	024134	FLDA 1,M1	
276		00613	144100	FMPY 2,1	
277		00614	123000	FADD 1,0	; UPDATE A14 & A41
278		00615	040050	FSTA 0,A12	
279		00616	040060	FSTA 0,A21	
280		00617	020052	FLDA 0,A13	; UPDATE A24 & A42
281		00620	160100	FMPY 3,0	
282		00621	024146	FLDA 1,C2	
283		00622	144100	FMPY 2,1	; UPDATE A23 & A32
284		00623	124400	FNEG 1,1	
285		00624	123000	FADD 1,0	
286		00625	040052	FSTA 0,A13	; UPDATE A34 & A43
287		00626	040072	FSTA 0,A31	
288		00627	020054	FLDA 0,A14	
289		00630	160100	FMPY 3,0	; UPDATE A34 & A43
290		00631	024140	FLDA 1,C1	
291		00632	130100	FMPY 1,2	
292		00633	150400	FNEG 2,2	; UPDATE A22
293		00634	143000	FADD 2,0	
294		00635	040054	FSTA 0,A14	
295		00636	040104	FSTA 0,A41	; UPDATE A24 & A42
296		00637	020066	FLDA 0,A24	
297		00640	160100	FMPY 3,0	
298		00641	030134	FLDA 2,M1	; UPDATE A23 & A32
299		00642	144100	FMPY 2,1	
300		00643	124400	FNEG 1,1	
301		00644	123000	FADD 1,0	; UPDATE A34 & A43
302		00645	040066	FSTA 0,A24	
303		00646	040106	FSTA 0,A42	
304		00647	020062	FLDA 0,A22	; UPDATE A34 & A43
305		00650	160100	FMPY 3,0	
306		00651	024134	FLDA 1,M1	
307		00652	144100	FMPY 2,1	; UPDATE A34 & A43
308		00653	123000	FADD 1,0	
309		00654	040062	FSTA 0,A22	
310		00655	020064	FLDA 0,A23	; UPDATE A34 & A43
311		00656	160100	FMPY 3,0	
312		00657	024146	FLDA 1,C2	
313		00660	130100	FMPY 1,2	; UPDATE A34 & A43
314		00661	150400	FNEG 2,2	
315		00662	143000	FADD 2,0	
316		00663	040064	FSTA 0,A23	; UPDATE A34 & A43
317		00664	040074	FSTA 0,A32	
318		00665	020076	FLDA 0,A33	
319		00666	160100	FMPY 3,0	; UPDATE A34 & A43
320		00667	030146	FLDA 2,C2	
321		00670	130100	FMPY 1,2	
322		00671	143000	FADD 2,0	; UPDATE A34 & A43
323		00672	040076	FSTA 0,A33	
324		00673	020100	FLDA 0,A34	

CARD ORDINAL	ERRORS	LOC	VALUE	INPUT CARD	
NO.					
325		00674	160100	FMPY 3,0	
326		00675	030140	FLDA 2,C1	
327		00676	144100	FMPY 2,1	
328		00677	123000	FADD 1,0	
329		00700	040100	FSTA 0,A34	
330		00701	040110	FSTA 0,A43	
331		00702	020112	FLDA 0,A44	
332		00703	160100	FMPY 3,0	; UPDATE A44
333		00704	024140	FLDA 1,C1	
334		00705	144100	FMPY 2,1	
335		00706	123000	FADD 1,0	
336		00707	040112	FSTA 0,A44	
337		00710	020114	FLDA 0,F4	; UPDATE F4
338		00711	160100	FMPY 3,0	
339		00712	024154	FLDA 1,C3	
340		00713	130100	FMPY 1,2	
341		00714	150400	FNFG 2,2	
342		00715	143000	FADD 2,0	
343		00716	040114	FSTA 0,F4	
344		00717	020070	FLDA 0,F2	; UPDATE F2
345		00720	160100	FMPY 3,0	
346		00721	030134	FLDA 2,M1	
347		00722	130100	FMPY 1,2	
348		00723	143000	FADD 2,0	
349		00724	040070	FSTA 0,F2	
350		00725	020102	FLDA 0,F3	; UPDATE F3
351		00726	160100	FMPY 3,0	
352		00727	030146	FLDA 2,C2	
353		00730	130100	FMPY 1,2	
354		00731	150400	FNFG 2,2	
355		00732	143000	FADD 2,0	
356		00733	040102	FSTA 0,F3	
357		00734	020056	FLDA 0,F1	; UPDATE F1
358		00735	160100	FMPY 3,0	
359		00736	030142	FLDA 2,M2	
360		00737	130100	FMPY 1,2	
361		00740	143000	FADD 2,0	
362		00741	040056	FSTA 0,F1	
363		00742	100000	FEXT	
364		00743	014225	DSZ CNT,33	
365		00744	002044	JMP 244	
366		00745	006005	FINI	GAUSEL:
367		00746	006004	FFTR	
368		00747	034046	FLDA 3,A11	; SOLVE FOR PARAMETERS USING GAUSSIAN ELIMINATION
369		00750	020060	FLDA 0,A21	; FORM A11/A21
370		00751	114200	FDIV 0,3	
371		00752	020062	FLDA 0,A22	; FORM A22
372		00753	160100	FMPY 3,0	
373		00754	024050	FLDA 1,A12	
374		00755	122400	FSUB 1,0	
375		00756	040156	FSTA 0,A22X	
376		00757	020064	FLDA 0,A23	; FORM A23
377		00760	160100	FMPY 3,0	
378		00761	024052	FLDA 1,A13	

CARD ORDINAL NO.	ERRORS	LDC	VALUE	INPUT CARD	
379	00762		122400	FSUR 1,0	
380	00763		040160	FSTA 0,A23X	
381	00764		020066	FLDA 0,A24	: FORM A24
382	00765		160100	FMPY 3,0	
383	00766		024054	FLDA 1,A14	
384	00767		122400	FSUR 1,0	
385	00770		040162	FSTA 0,A24X	
386	00771		020070	FLDA 0,F2	: FORM F2
387	00772		160100	FMPY 3,0	
388	00773		030056	FLDA 2,F1	
389	00774		142400	FSUB 2,0	
390	00775		040164	FSTA 0,F2X	
391	00776		034046	FLDA 3,A11	: FORM A11/A31
392	00777		020072	FLDA 0,A31	
393	01000		114200	FDIV 0,3	
394	01001		020102	FLDA 0,F3	: FORM F3
395	01002		160100	FMPY 3,0	
396	01003		142400	FSUR 2,0	
397	01004		040166	FSTA 0,F3X	
398	01005		020100	FLDA 0,A34	: FORM A34
399	01006		160100	FMPY 3,0	
400	01007		122400	FSUR 1,0	
401	01010		040170	FSTA 0,A34X	
402	01011		020076	FLDA 0,A33	: FORM A33
403	01012		160100	FMPY 3,0	
404	01013		024052	FLDA 1,A13	
405	01014		122400	FSUR 1,0	
406	01015		040172	FSTA 0,A33X	
407	01016		020074	FLDA 0,A32	: FORM A32
408	01017		160100	FMPY 3,0	
409	01020		030050	FLDA 2,A12	
410	01021		142400	FSUR 2,0	
411	01022		040174	FSTA 0,A32X	: FORM A11/A41
412	01023		034046	FLDA 3,A11	
413	01024		020104	FLDA 0,A41	
414	01025		114200	FDIV 0,3	
415	01026		020106	FLDA 0,A42	: FORM A42
416	01027		160100	FMPY 3,0	
417	01030		142400	FSUR 2,0	
418	01031		040176	FSTA 0,A42X	
419	01032		020110	FLDA 0,A43	: FORM A43
420	01033		160100	FMPY 3,0	
421	01034		122400	FSUR 1,0	
422	01035		040200	FSTA 0,A43X	
423	01036		020112	FLDA 0,A44	: FORM A44
424	01037		160100	FMPY 3,0	
425	01040		024054	FLDA 1,A14	
426	01041		122400	FSUR 1,0	
427	01042		040202	FSTA 0,A44X	
428	01043		020114	FLDA 0,F4	: FORM F4
429	01044		160100	FMPY 3,0	
430	01045		024056	FLDA 1,F1	
431	01046		122400	FSUR 1,0	
432	01047		040204	FSTA 0,F4X	:

ORDINAL

NO.			INPUT CARD	
433	01050	034156	FLDA 3,A22X	:FORM A22/A32
434	01051	020174	FLDA 0,A32X	:
435	01052	114200	FDIV 0,3	:FORM A33
436	01053	020172	FLDA 0,A33X	
437	01054	160100	FMPY 3,0	
438	01055	024160	FLDA 1,A23X	
439	01056	122400	FSUB 1,0	
440	01057	040172	FSTA 0,A33X	:
441	01060	020170	FLDA 0,A34X	:FORM A34
442	01061	160100	FMPY 3,0	
443	01062	024162	FLDA 1,A24X	
444	01063	122400	FSUR 1,0	
445	01064	040170	FSTA 0,A34X	:
446	01065	020166	FLDA 0,F3X	:FORM F3
447	01066	160100	FMPY 3,0	
448	01067	030164	FLDA 2,F2X	
449	01070	142400	FSUR 2,0	
450	01071	040166	FSTA 0,F3X	:
451	01072	034156	FLDA 3,A22X	:FORM A22/A42
452	01073	020176	FLDA 0,A42X	
453	01074	114200	FDIV 0,3	:
454	01075	020204	FLDA 0,F4X	:FORM F4
455	01076	160100	FMPY 3,0	
456	01077	142400	FSUR 2,0	
457	01100	040204	FSTA 0,F4X	:
458	01101	020202	FLDA 0,A44X	:FORM A44
459	01102	160100	FMPY 3,0	
460	01103	122400	FSUR 1,0	:
461	01104	040202	FSTA 0,A44X	:FORM A43
462	01105	020200	FLDA 0,A43X	
463	01106	160100	FMPY 3,0	
464	01107	024160	FLDA 1,A23X	
465	01110	122400	FSUR 1,0	
466	01111	040200	FSTA 0,A43X	:
467	01112	034172	FLDA 3,A33X	:FORM A33/A43
468	01113	020200	FLDA 0,A43X	
469	01114	114200	FDIV 0,3	:
470	01115	020202	FLDA 0,A44X	:FORM A44
471	01116	160100	FMPY 3,0	
472	01117	024170	FLDA 1,A34X	
473	01120	122400	FSUB 1,0	
474	01121	040202	FSTA 0,A44X	:
475	01122	030204	FLDA 2,F4X	:FORM F4
476	01123	170100	FMPY 3,2	
477	01124	024166	FLDA 1,F3X	
478	01125	132400	FSUR 1,2	
479	01126	050204	FSTA 2,F4X	:
480	01127	110200	FDIV 0,2	:Y2=F4/A44
481	01130	050214	FSTA 2,Y2	
482	01131	150000	FFDC 2	:OUTPUT Y2
483	01132	020170	FLDA 0,A34X	
484	01133	140100	FMPY 2,0	:Y2*A34
485	01134	024166	FLDA 1,F3X	
486	01135	106400	FSUB 0,1	:F3-Y2*A34

CARD ORDINAL	NO.	ERRORS	LOC	VALUE	INPUT CARD	
487	01136			034172	FLDA 3,A33X	
488	01137			164200	FDIV 3,1	
489	01140			044212	FSTA 1,Y1	:Y1=(F3'-Y2*A34')/A33'
490	01141			144000	FFDC 1	:OUTPUT Y1
491	01142			034162	FLDA 3,A24X	
492	01143			154100	FMPY 2,3	:Y2*A24'
493	01144			020164	FLDA 0,F2X	
494	01145			162400	FSUB 3,0	:F2'-Y2*A24'
495	01146			034160	FLDA 3,A23X	
496	01147			134100	FMPY 1,3	:Y1*A23'
497	01150			162400	FSUB 3,0	:F2'-Y2*A24'-Y1*A23'
498	01151			034156	FLDA 3,A22X	
499	01152			160200	FDIV 3,0	:X2=(F2'-Y2*A24'-Y1*A23')/A22'
500	01153			040210	FSTA 0,X2	:OUTPUT X2
501	01154			140000	FFDC 0	
502	01155			034054	FLDA 3,A14	
503	01156			170100	FMPY 3,2	:Y2*A14
504	01157			034052	FLDA 3,A13	
505	01160			164100	FMPY 3,1	:Y1*A13
506	01161			034050	FLDA 3,A12	
507	01162			160100	FMPY 3,0	:X2*A12
508	01163			034056	FLDA 3,F1	
509	01164			116400	FSUB 0,3	:F1-X2*A12
510	01165			136400	FSUB 1,3	:F1-X2*A12-Y1*A13
511	01166			156400	FSUB 2,3	:F1-X2*A12-Y1*A13-Y2*A14
512	01167			020046	FLDA 0,A11	
513	01170			114200	FDIV 0,3	:X1=(F1-X2*A12-Y1*A13-Y2*A14)/A11
514	01171			054206	FSTA 3,X1	
515	01172			154000	FFDC 3	:OUTPUT X1
516	01173			002045	FJMP 3	:REPEAT PROGRAMME,G0 TO NEXT
517	01174			100000	FEXT 3	
518	01175			054236	STA 3,SGET	:S/R TO INPUT CHARACTER FROM TTY
519	01176			060110	NIOS TTI	
520	01177			063610	SKPNN TTI	
521	01200			000777	JMP -1	
522	01201			060610	DIAC 0,TTI	
523	01202			034242	LDA 3,C177	
524	01203			163400	AND 3,0	
525	01204			004410	JSR PIJTC	
526	01205			034243	LDA 3,CP	
527	01206			116404	SUB 0,3,SZR	
528	01207			002236	JMP 3,SZR	
529	01210			020244	LDA 0,LF	
530	01211			004403	JSR PUTC	
531	01212			020243	LDA 0,CR	
532	01213			002236	JMP 3,SZR	
533	01214			063511	SKPBZ TTI	:S/R TO OUTPUT CHARACTER TO TTY
534	01215			000777	JMP -1	
535	01216			061111	DOAS 0,TTD	
536	01217			101004	MNV 0,0,SZR	
537	01220			001400	JMP 0,3	
538	01221			054237	STA 3,SPUT	
539	01222			020243	LDA 0,CR	
540	01223			004771	JSR PUTC	

NOVA/SUPERNOVA ASSEMBLER VERSION 1.04

CARD ORDINAL NO.	ERRORS	LOC	VALUE	INPUT CARD
541		01224	020244	LDA 0,LF
542		01225	004767	JSR PUTC
543		01226	102400	SUB 0,0
544		01227	002237	JMP 2SPUT
545		01230	054240	STA 3,SAVE
546		01231	126400	SUB 1,1
547		01232	004743	JSR GETC
548		01233	034243	LDA 3,CR
549		01234	116415	SUB 0,3,SNR
550		01235	002240	JMP 2SAVE
551		01236	034241	LDA 3,C7
552		01237	163400	AND 3,0
553		01240	127120	ADDZL 1,1
554		01241	125120	MOVZL 1,1
555		01242	107000	ADD 0,1
556		01243	000767	JMP OCTL
557			100000	.END

OCTBN:

OCTL:

CROSS REFERENCE TABLE						
NAME	LOC & TYPE	CARD	ORDINAL			
		NUMBER				
ADM1	070231	218	234			
ADST	000216	181	214			
ALPHA	000120	144	265			
ALPHB	000121					
A11	000046	160	266	272	368	391 412 512
A11A	000047					
A12	000050	161	273	278	373	409 506
A12A	000051					
A13	000052	162	280	286	378	404 504
A13A	000053					
A14	000054	163	288	294	383	425 502
A14A	000055					
A21	000060	165	279	369		
A21A	000061					
A22	000062	166	304	309	371	
A22A	000063					
A22X	000156	375	433	451	498	
A22XA	000157					
A23	000064	167	310	316	376	
A23A	000065					
A23X	000160	380	438	464	495	
A23XA	000161					
A24	000066	168	296	302	381	
A24A	000067					
A24X	000162	385	443	491		
A24XA	000163					
A31	000072	170	287	392		
A31A	000073					
A32	000074	171	317	407		
A32A	000075					
A32X	000174	411	434			
A32XA	000175					
A33	000076	172	318	323	402	
A33A	000077					
A33X	000172	406	436	440	467	487
A33XA	000173					
A34	000100	173	324	329	398	
A34A	000101					
A34X	000170	401	441	445	472	483
A34XA	000171					
A41	000104	175	295	413		
A41A	000105					
A42	000106	176	303	415		
A42A	000107					
A42X	000176	418	452			
A42XA	000177					
A43	000110	177	330	419		
A43A	000111					
A43X	000200	422	462	466	468	
A43XA	000201					
A44	000112	179	331	334	479	
A44A	000113	180	332	335	480	
A44X	000114	181	333	336	481	
A44XA	000115	182	334	337	482	

[illegible]

SF1	000130	152	247
SF1A	000131		
SF2	000132	154	255
SF2A	000133		
SGET	000236	518	528 532
SPUT	000237	538	544
SP1	000122	146	245
SP1A	000123		
SP2	000124	148	253
SP2A	000125		
SQRT8	000117		
SQRT2	000116	156	258
START	000400		
THREE	000235	191	232
TIMER	000217	183	208
UPDAT	000600		
X1	000206	514	
X1A	000207		
X2	000210	500	
X2A	000211		
Y1	000212	489	
Y1A	000213		
Y2	000214	481	
Y2A	000215		
ZERO	000232	158	159
ZEROA	000233		

* ABSOLUTE ASSEMBLY
 0 THERE WERE AT LEAST 0 ERRORS IN THIS ASSEMBLY

PROGRAMME DESCRIPTION

Purpose: To sample the cutting force, predict the workpiece displacement, and control the tool position.

Language: Data General Assembler

Associated Equations: 3.2 and 5.3

Associated Figures: FIG-1.5, FIG-3.8 and FIG-7.33

Inputs:

<u>Name</u>	<u>Type</u>	<u>Comments</u>
SP	Integer ↓	Set point = $\phi.\phi$
K		Scaled step size (S_s)
IA1		Scaled A1
IA2		Scaled A2
IB1		Scaled B1
IB2		Scaled B2
TICK		Clock frequency
TIMER		Step timer

Outputs:

<u>Name</u>	<u>Type</u>	<u>Comments</u>
-------------	-------------	-----------------

NB. IA1, IA2, IB1, IB2 and K are the set of lowest integer values such that :-

$$\frac{IA1}{K} = \frac{A1}{S_s} ; \frac{IA2}{K} = \frac{A2}{S_s} ; \frac{IB1}{K} = \frac{B1}{S_s} ; \frac{IB2}{K} = \frac{B2}{S_s}$$

CARD ORDINAL NO.	ERRORS	LOC	VALUE	INPUT CARD
1		000200		.LOC 200
2		000000		
3		000000		
4		000000		
5		000000		
6		000000		
7		000000		
8		000000		
9		000000		
10		000000		
11		000000		
12		000000		
13		000000		
14		000000		
15		000000		
16		000000		
17		000000		
18		000000		
19		000000		
20		000000		
21		000000		
22		000000		
23		000000		
24		000000		
25		000000		
26		000000		
27		000000		
28		000000		
29		000000		
30		000000		
31		000000		
32		000000		
33		000000		
34		000000		
35		000000		
36		000000		
37		000000		
38		000000		
39		000000		
40		000000		
41		000000		
42		000000		
43		000000		
44		000000		
45		000000		
46		000000		
47		000000		
48		000000		
49		000000		
50		000000		
51		000000		
52		000000		
53		000000		
54		000000		

;
MOTOR CONTROL WORD-REVERSF0

;
MOTOR CONTROL WORD-FORWARD0

;
CLOCK FREQUENCY CONTROL WORD

;
CLEAR ACC AND CARRY

;
EMPTY WORKING STORAGE

;
INPUT CONSTANTS

CARD ORDINAL NO.	ERRORS	LOC	VALUE	INPUT CARD	
55		00430	127120	ADDZL 1,1	
56		00431	125120	MOVZL 1,1	
57		00432	107000	ADD 0,1	
58		00433	000754	JMP NEX	
59		00434	030211	LDA 2,LF	
60		00435	063511	SKPRZ TIO	
61		00436	000777	JMP -1	
62		00437	071111	DNAS 2,TIO	
63		00440	046204	STA 1,APNT	
64		00441	010204	TSZ PNT	
65		00442	000744	JMP DATA	
66		00443	020232	LDA 0,TICK	:START CLOCK
67		00444	061114	DNAS 0,RTC	
68		00445	063614	SKPDN RTC	:WAIT FOR CLOCK TO TICK
69		00446	000402	JMP +2	
70		00447	063077	HALT	
71		00450	063614	SKPDN RTC	
72		00451	000777	JMP -1	
73		00452	060114	NINS RTC	:CLOCK TICKS,RESTART
74		00453	020212	LDA 0,CHAN	
75		00454	061121	DNAS 0,ANCV	:START ADCV
76		00455	063621	SKPDN ADCV	
77		00456	000777	JMP -1	
78		00457	062621	DICC 0,ANCV	:INPUT FORCE
79		00460	024225	LDA 1,SP	
80		00461	132400	SUB 1,2	:REMOVE SET POINT
81		00462	024227	LDA 1,IA1	
82		00463	004531	JSR SGT	
83		00464	102440	SUBN 0,0	
84		00465	073301	MUL	
85		00466	030224	LDA 2,SIGN	
86		00467	151015	MOV# 2,2,SNR	
87		00470	000404	JMP PMS1	
88		00471	152520	SUBZL 2,2	
89		00472	147000	ADD 2,1	
90		00473	124000	COM 1,1	
91		00474	044213	STA 1,R1	:R1=IA1*FORCE
92		00475	024230	LDA 1,IB1	
93		00476	030200	LDA 2,N	
94		00477	004515	JSR SGT	
95		00500	102440	SUBN 0,0	
96		00501	030224	LDA 2,SIGN	
97		00502	151015	MOV# 2,2,SNR	
98		00503	000404	JMP PMS2	
99		00504	152520	SUBZL 2,2	
100		00505	147000	ADD 2,1	
101		00506	124000	COM 1,1	
102		00507	044214	STA 1,R2	:R2=IB1*N
103		00510	024231	LDA 1,IB2	
104		00511	030202	LDA 2,N1	
105		00512	004502	JSR SGT	
106		00513	102440	SUBN 0,0	
107		00514	073301	MUL	
108		00515	030224	LDA 2,SIGN	

CARD ORDINAL	NO.	ERRORS	LOC	VALUE	INPUT CARD
109	00516		151015	MOV#	2,2,SNR
110	00517		000404	JMP	PNS3
111	00520		152520	SURZL	2,2
112	00521		147000	ADD	2,1
113	00522		124000	COM	1,1
114	00523		020213	LDA	0,R1
115	00524		122400	SUB	1,0
116	00525		024214	LDA	1,R2
117	00526		122400	SUB	1,0
118	00527		105000	MOV	0,1
119	00530		030226	LDA	2,K
120	00531		004463	JSR	SGTT
121	00532		102440	SURN	0,0
122	00533		C73101	DIV	
123	00534		030224	LDA	2,SIGN
124	00535		151005	MOV	2,2,SNR
125	00536		000406	JMP	PNS4
126	00537		152520	SURZL	2,2
127	00540		147000	ADD	2,1
128	00541		124000	COM	1,1
129	00542		030200	LDA	2,N
130	00543		050202	STA	2,N1
131	00544		044200	STA	1,N
132	00545		101112	MOVL#	0,0,SZC
133	00546		010200	ISZ	N
134	00547		030200	LDA	2,N
135	00550		024201	LDA	1,PP
136	00551		132400	SUB	1,2
137	00552		151015	MOV#	2,2,SNR
138	00553		000440	JMP	NOSTP
139	00554		151113	MOVL#	2,2,5NC
140	00555		000413	JMP	PNS
141	00556		126520	SURZL	1,1
142	00557		132400	SUB	1,2
143	00560		150000	COM	2,2
144	00561		050223	STA	2,STEPS
145	00562		024233	LDA	1,TIMER
146	00563		102440	SURN	0,0
147	00564		073101	DIV	
148	00565		030215	LDA	2,REV
149	00566		034216	LDA	3,MINUS
150	00567		000407	JMP	LOOP
151	00570		050223	STA	2,STEPS
152	00571		024233	LDA	1,TIMER
153	00572		102440	SURN	0,0
154	00573		073101	DIV	
155	00574		030217	LDA	2,FNR
156	00575		034220	LDA	3,PLUS
157	00576		072176	DOBS	2,76
158	00577		044221	STA	1,CNT
159	00600		014221	DSZ	CNT
160	00601		000777	JMP	-1
161	00602		060276	NIOC	76
162	00603		044221	STA	1,CNT

POS3:

POS4:

POS:

LOOP:

:IA1*FORCE-IB1*N-IR2*N1

:N=(IA1*FORCE-IB1*N-IB2*N1)/K

:CALCULATE NUMBER OF STEPS TO MOVE

:NO STEPS, WAIT FOR NEXT TICK

:CALCULATE PULSE RATE AND DIRECTION

:OUTPUT PULSES

NOVA/SUPERNOVA ASSEMBLER VERSION 1.04				PAGE 4
CARD ORDINAL	NO.	ERRORS	LOC VALUE	INPUT CARD
163	00604	014221	DSZ	CNT
164	00605	000777	JMP	.-1
165	00606	020201	LDA	0,PP
166	00607	163000	ADD	3,0
167	00610	040201	STA	0,PP
168	00611	014223	DSZ	STEPS
169	00612	000764	JMP	LOOP
170	00613	000632	JMP	WAIT
171	00614	054222	STA	3,SAVE
172	00615	102440	SUBN	0,0
173	00616	125113	MNVL#	1,1,SNC
174	00617	000405	JMP	.+5
175	00620	100000	COM	0,0
176	00621	176520	SURZL	3,3
177	00622	166400	SUR	3,1
178	00623	124000	COM	1,1
179	00624	151133	MNVLZL#	2,2,SNC
180	00625	000405	JMP	.+5
181	00626	100000	COM	0,0
182	00627	176520	SURZL	3,3
183	00630	166400	SUR	3,1
184	00631	124000	COM	1,1
185	00632	040224	STA	0,SIGN
186	00633	034222	LDA	3,SAVE
187	00634	001400	JMP	0,3
188		100000	.END	

NOSTP:
 SGTI:
 :FINISHED, WAIT FOR NEXT TICK
 :S/R TO GENERATE SIGN OF A PRODUCT

CROSS REFERENCE TABLE				
NAME	LOC & TYPE	CARD	ORDINAL	NUMBER
ADST	000203	35		
CHAN	000212	74		
CLK	000443	52		
CNT	000221	158	159	162 163
CR	000206	47		
C177	000205	42		
C52	000207	50		
C7	000210	53		
DATA	000406	65		
FOR	000217	155		
IAI	000227	81		
IR1	000230	92		
IR2	000231	103		
K	000226	119		
LAST	000434	49		
LF	000211	59		
LOOP	000576	150	169	
MINUS	000216	149		
N	000200	32	93	129 131 133 134
NEXT	000407	58		
NOSTP	000613	138		
N1	000202	34	104	130
PLUS	000220	156		
PNT	000204	36	63	64
PNS	000570	140		
PNS1	000474	87		
PNS2	000507	98		
PNS3	000523	110		
PNS4	000544	125		
PP	000201	33	135	165 167
REV	000215	148		
R1	000213	91	114	
R2	000214	102	116	
SAVE	000222	171	186	
SGTT	000614	82	94	105 120
SIGN	000224	85	96	108 123 185
SP	000225	5	79	
START	000400			
STEPS	000223	144	151	168
TICK	000232	66		
TIMER	000233	145	152	
WAIT	000445	170		
* ABSOLUTE ASSEMBLY				
0 THERE WERE AT LEAST 0 ERRORS IN THIS ASSEMBLY				